

# Odd behavior in the coefficients of reciprocals of binary power series

Katie Anders

University of Texas at Tyler

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# Introduction

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**Question:** What happens if we take the coefficients from a different set?

# The Stern Sequence

**Example:** If we take coefficients from the set  $\{0, 1, 2\}$ , then the binary representation is no longer unique. For example, there are three ways to write  $n = 4$  as  $\sum \epsilon_i 2^i$ ,  $\epsilon_i \in \{0, 1, 2\}$ :

$$4 = 2 \cdot 1 + 1 \cdot 2 = 0 \cdot 1 + 0 \cdot 2 + 1 \cdot 2^2 = 0 \cdot 1 + 2 \cdot 2.$$

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$$4 = 2 \cdot 1 + 1 \cdot 2 = 0 \cdot 1 + 0 \cdot 2 + 1 \cdot 2^2 = 0 \cdot 1 + 2 \cdot 2.$$

Taking coefficients from this set, the number of representations of  $n - 1$  corresponds to the  $n$ th term in the Stern sequence, which is defined by  $s(2n) = s(n)$  and  $s(2n + 1) = s(n) + s(n + 1)$ , with  $s(0) = 0$  and  $s(1) = 1$ .

```

          1     1
        1  2  1
      1  3  2  3  1
    1  4  3  5  2  5  3  4  1
  1  5  4  7  3  8  5  7  2  7  5  8  3  7  4  5  1
1  6  5  9  4 11  7 10  3 11  8 13  5 12  7  9  2  9  7 12  5 13  8 11  3 10  7 11  4  9  5  6  1
... 14 11 19  8 21 13 18  5 17 12 19  7 16  9 11  2 11  9 16  7 19 12 17  5 18 13 21  8 19 11 14  ...

```

## Generalizing the Ideas

Let  $\mathcal{A} = \{0 = a_0 < a_1 < \dots < a_j\}$  denote a finite subset of  $\mathbb{N}$  containing 0. Let  $f_{\mathcal{A}}(n)$  denote the number of ways to write  $n$  in the form

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We associate to  $\mathcal{A}$  its characteristic function  $\chi_{\mathcal{A}}(n)$  and the generating function

$$\phi_{\mathcal{A}}(x) := \sum_{n=0}^{\infty} \chi_{\mathcal{A}}(n) x^n = \sum_{a \in \mathcal{A}} x^a = 1 + x^{a_1} + \dots + x^{a_j}.$$



# Product Representation

Denote the generating function of  $f_{\mathcal{A}}(n)$  by

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Viewing the number of ways to write  $n$  as a partition problem, we obtain the following product representation for  $F_{\mathcal{A}}(x)$ .

$$F_{\mathcal{A}}(x) = \prod_{k=0}^{\infty} \left( 1 + x^{a_1 2^k} + \dots + x^{a_j 2^k} \right) = \prod_{k=0}^{\infty} \phi_{\mathcal{A}}(x^{2^k})$$

In *Congruence Properties of Binary Partition Functions*, Anders, Denison, Lansing, and Reznick studied the behavior of  $(f_{\mathcal{A}}(n)) \pmod{2}$ . Theorem 1.1 states that

$$\phi_{\mathcal{A}}(x)F_{\mathcal{A}}(x) = 1 \quad \text{in } \mathbb{F}_2[x].$$

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We can make similar definitions for an infinite set  $\mathcal{A}$  containing 0, and the above result still applies. This relates our work to work by Cooper, Eichhorn, and O'Bryant.

## Return to the Stern Sequence

| $n$ | $f_{\{0,1,2\}}(n)$ | $s(n)$ | $n$ | $f_{\{0,1,2\}}(n)$ | $s(n)$ |
|-----|--------------------|--------|-----|--------------------|--------|
| 0   | 1                  | 0      | 9   | 3                  | 4      |
| 1   | 1                  | 1      | 10  | 5                  | 3      |
| 2   | 2                  | 1      | 11  | 2                  | 5      |
| 3   | 1                  | 2      | 12  | 5                  | 2      |
| 4   | 3                  | 1      | 13  | 3                  | 5      |
| 5   | 2                  | 3      | 14  | 4                  | 3      |
| 6   | 3                  | 2      | 15  | 1                  | 4      |
| 7   | 1                  | 3      | 16  | 5                  | 1      |
| 8   | 4                  | 1      |     |                    |        |

Stern noticed in 1858 that the parity of  $s(n)$  is periodic with period 3, and Reznick proved in 1989 that  $s(n) = f_{\{0,1,2\}}(n-1)$ .

## Example

Note that  $\phi_{\{0,1,2\}}(x) = 1 + x + x^2$ , and applying the theorem, we see that in  $F_2[[x]]$ ,

$$\begin{aligned} F_{\{0,1,2\}}(x) &= \frac{1}{1 + x + x^2} \\ &= \frac{1 + x}{1 + x^3} \\ &= (1 + x)(1 + x^3 + x^6 + \dots) \\ &= 1 + x + x^3 + x^4 + x^6 + x^7 + \dots \end{aligned}$$

## Another Example

Dennison observed in her thesis that if  $\mathcal{A} = \{0, 1, 3\}$ ,  $f_{\mathcal{A}}(n)$  is periodic with period 7 and each period has four odd terms. Specifically,  $f_{\mathcal{A}}(n)$  is odd when  $n \equiv 0, 1, 2, 4 \pmod{7}$ .

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Using our main theorem, we find that in  $F_2[[x]]$ ,

$$F_{\{0,1,3\}}(x) = \frac{1}{1+x+x^3} = \frac{1+x+x^2+x^4}{1+x^7}.$$



Similarly, Dennison noted that if  $\mathcal{A} = \{0, 2, 3\}$ ,  $f_{\mathcal{A}}(n)$  is periodic with period 7 and each period has four odd terms, which occur when  $n \equiv 0, 2, 3, 4 \pmod{7}$ .

Similarly, Dennison noted that if  $\mathcal{A} = \{0, 2, 3\}$ ,  $f_{\mathcal{A}}(n)$  is periodic with period 7 and each period has four odd terms, which occur when  $n \equiv 0, 2, 3, 4 \pmod{7}$ .

Again, it follows from our main theorem that

$$F_{\{0,2,3\}}(x) = \frac{1}{1 + x^2 + x^3} = \frac{1 + x^2 + x^3 + x^4}{1 + x^7}.$$

## Definitions and Observations

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- ▶ Let  $D = D(p(x))$  denote the *order* of  $p(x)$ , the smallest integer  $D$  such that  $p(x) \mid 1 + x^D$ . Whenever  $p(0) = 1$ , such a  $D$  exists.

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- ▶ Define  $p^*(x)$  by  $p(x)p^*(x) = 1 + x^D$ .

## An Example from Our Paper

Let  $\mathcal{A} = \{0, 1, 4, 9\}$ . In  $\mathbb{F}_2[x]$ ,

$$\phi_{\mathcal{A}} = 1 + x + x^4 + x^9 = (1 + x)^4(1 + x + x^2)(1 + x^2 + x^3).$$

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Quick computations show that the period of  $\phi_{\mathcal{A}}$  is 84. Recall that this means  $\phi_{\mathcal{A}}\phi_{\mathcal{A}}^* = 1 + x^{84}$ . Further computations show that  $\phi_{\mathcal{A}}^*$  has 41 terms with exponents in the set  $\{0, 1, 2, 3, \dots, 70, 75\}$ .



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As we will see, this means that  $(f_{\{0,1,4,9\}}(n) \bmod 2)$  is periodic with period 84 and has 41 odd terms and 43 even terms in each period.

We have

$$F_{\mathcal{A}}(x) = \frac{1}{\phi_{\mathcal{A}}(x)} = \frac{\phi_{\mathcal{A}}^*(x)}{1 + x^D} \quad \text{in } \mathbb{F}_2[x]. \quad (1)$$

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If  $\phi_{\mathcal{A}}^*(x) = \sum_{i=1}^r x^{b_i}$ , where  $0 = b_1 < \dots < b_r = D - \max \mathcal{A}$ , then

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In any block of  $D$  consecutive integers,

$$\begin{aligned} \#\{n : f_{\mathcal{A}}(n) \text{ is odd}\} &= \ell(\phi_{\mathcal{A}}^*) = \beta_1(\phi_{\mathcal{A}}) \\ \#\{n : f_{\mathcal{A}}(n) \text{ is even}\} &= D - \ell(\phi_{\mathcal{A}}^*) = \beta_0(\phi_{\mathcal{A}}). \end{aligned}$$

In *Reciprocals of Binary Power Series*, which appeared in *International Journal of Number Theory* in 2006, Cooper, Eichhorn, and O'Bryant considered the fraction  $\ell(\phi_{\mathcal{A}}^*)/D$ , as we did in our paper. Here I instead consider the ordered pair

$$\beta(\phi_{\mathcal{A}}) := (\beta_1(\phi_{\mathcal{A}}), \beta_0(\phi_{\mathcal{A}})),$$

which gives more detailed information than reduced fractions.

The first coordinate represents the number of times  $f_{\mathcal{A}}(n)$  is odd in a minimal period, and the second coordinate represents the number of times  $f_{\mathcal{A}}(n)$  is even in a minimal period.

# Robust polynomials

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We call a polynomial  $f(x)$  *robust* if  $\beta_1(f) > \beta_0(f) + 1$ . This is equivalent to saying that  $\beta_1(f) > (D + 1)/2$ , where  $D$  is the order of  $f(x)$ .

They also posed the problem of describing the set

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Since  $f(x) = 1 + x^D$  has order  $D$  and  $\beta_1(f) = \ell(f^*(x)) = 1$ , we see the greatest lower bound of the set is 0. I will exhibit four sequences  $\{f_n\}$  of polynomials such that  $\beta_1(f_n) - \beta_0(f_n) \rightarrow \infty$ , and, moreover,

$$\lim_{n \rightarrow \infty} \frac{\beta_1(f_n)}{\beta_0(f_n) + \beta_1(f_n)} = 1.$$

For  $n$  with standard binary representation

$$n = 2^{b_k} + 2^{b_{k-1}} + \dots + 2^{b_1} + 2^{b_0},$$

define

$$P_n(x) = x^{b_k} + x^{b_{k-1}} + \dots + x^{b_1} + x^{b_0}.$$

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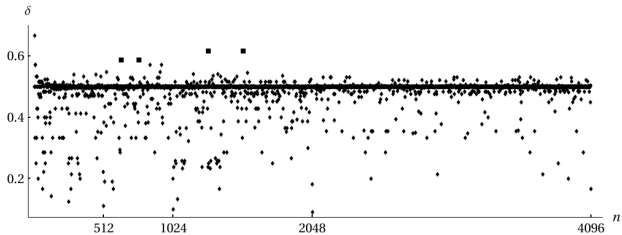
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For example,  $11 = 2^3 + 2^1 + 2^0$ , so  $P_{11}(x) = x^3 + x + 1$ . For odd  $n$ , consider the fraction

$$\frac{\ell(P_n^*)}{\text{ord}(P_n)}.$$



# Reciprocal Polynomials

## Definition

For a polynomial  $f(x)$  of degree  $n$ , the *reciprocal polynomial* of  $f(x)$  is  $f_{(R)}(x) := x^n f(1/x)$ .

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If  $\text{order}(f(x)) = D$ , then  $\text{order}(f_{(R)}(x)) = D$ . Thus  $\beta(f(x)) = \beta(f_{(R)}(x))$ , and the robustness of  $f(x)$  is equivalent to the robustness of  $f_{(R)}(x)$ .

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With  $\mathcal{A} = \{0 = a_0 < a_1 < \dots < a_j\}$ , define

$$\tilde{\mathcal{A}} = \{0, a_j - a_{j-1}, \dots, a_j - a_1, a_j\}.$$

Then  $\phi_{\mathcal{A},(R)}(x) = \phi_{\tilde{\mathcal{A}}}$ .



# First Theorem

## Theorem

Fix  $r \geq 3$ .

- (i) *The order of  $f_{r,1}(x) := (1+x)(1+x^{2^r-1}+x^{2^r})$  divides  $4^r - 1$ .*
- (ii)  $\beta_1(f_{r,1}) = 4^r - 3^r$
- (iii) *Hence  $\beta(f_{r,1}) = (4^r - 3^r, 3^r - 1)$  and  $f_{r,1}(x)$  is robust.*

## Example

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# Proof

Define

$$g_{r,1}(x) = \prod_{j=0}^{r-1} \left( 1 + x^{(2^r-1)2^j} + x^{2^r 2^j} \right) + x^{4^r-2^r}.$$

By a lemma,

$$(1 + x^{2^r-1} + x^{2^r}) g_{r,1}(x) = 1 + x^{4^r-1}.$$

Because

$$g_{r,1}(1) = \prod_{j=0}^{r-1} (1 + 1 + 1) + 1 \equiv 0 \pmod{2},$$

we know  $(1 + x) \mid g_{r,1}(x)$ .



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Write  $(1 + x)h_{r,1}(x) = g_{r,1}(x)$ , so

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$$(1 + x^{2^r-1} + x^{2^r}) (1 + x)h_{r,1}(x) = 1 + x^{4^r-1}.$$

Thus  $f_{r,1}(x) \mid (1 + x^{4^r-1})$  and

$$f_{r,1}h_{r,1} = 1 + x^{4^r-1}.$$

Rewrite

$$g_{r,1}(x) = \prod_{j=0}^{r-1} \left( 1 + x^{(2^r-1)2^j} + x^{2^r 2^j} \right) + x^{4^r-2^r}$$

to obtain

$$g_{r,1}(x) = \prod_{j=0}^{r-1} \left( 1 + x^{(2^r-1)2^j} (1 + x^{2^j}) \right) + x^{4^r-2^r}.$$

Expand the product and rewrite, using  $1 + x^{2^j} = (1 + x)^{2^j}$ , to obtain

$$\begin{aligned}g_{r,1}(x) &= 1 + x^{4^r - 2^r} + \sum_{n=1}^{2^r - 1} x^{(2^r - 1)n} (1 + x)^n \\&= (1 + x) \left( \frac{1 + x^{4^r - 2^r}}{1 + x} + \sum_{n=1}^{2^r - 1} x^{(2^r - 1)n} (1 + x)^{n-1} \right) \\&= (1 + x) \left( \sum_{j=0}^{4^r - 2^r - 1} x^j + \sum_{n=1}^{2^r - 1} x^{(2^r - 1)n} (1 + x)^{n-1} \right).\end{aligned}$$

Ultimately,  $(\beta_1(f_{r,1}), \beta_0(f_{r,1})) = (4^r - 3^r, 3^r - 1)$ .

## Corollary

*The reciprocal polynomials  $f_{(R),r,1} = (1+x)(1+x+x^{2^r})$  are also robust with order dividing  $4^r - 1$ .*

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- ▶ order  $f_{(R),3,1} = 4^3 - 1 = 63$
- ▶  $\beta(f_{(R),3,1}) = (37, 26)$

## Theorem

Fix  $r \geq 3$ .

- (i) The order of  $f_{r,2}(x) := (1+x)(1+x^{2^r}+x^{2^r+1})$  divides  $4^r + 2^r + 1$ .
- (ii)  $\beta_1(f_{r,2}) = 4^r - 3^r + 2^r$
- (iii)  $\beta(f_{r,2}) = (4^r - 3^r + 2^r, 3^r + 1)$  and  $f_{r,2}(x)$  is robust.

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*The reciprocal polynomials  $f_{(R),r,2}(x) = (1+x)(1+x+x^{2^r+1})$  are also robust with order dividing  $4^r + 2^r + 1$ .*

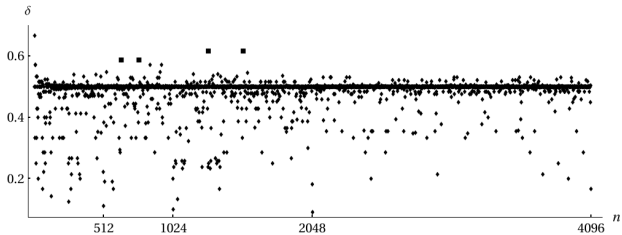
## Corollary

The reciprocal polynomials  $f_{(R),r,2}(x) = (1+x)(1+x+x^{2^r+1})$  are also robust with order dividing  $4^r + 2^r + 1$ .

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Consider  $f_{(R),3,2}(x) = 1 + x^2 + x^9 + x^{10}$ .

- ▶ order  $f_{(R),3,2} = 73$
- ▶  $\beta(f_{(R),3,2}) = (45, 28)$



## Future Research Ideas

- ▶ Finding more families of robust polynomials
- ▶ Determining the cluster points of

$$\left\{ \frac{\beta_1(f)}{\beta_0(f) + \beta_1(f)} : f(x) \text{ is a polynomial} \right\}$$

- ▶ Exploring properties of  $f_{\mathcal{A}}(n)$  in bases other than 2



# Acknowledgements

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- ▶ The presenter also wishes to thank Professor Bruce Reznick for his time, ideas, and encouragement.

Recall  $f_{\mathcal{A}}(n)$  is the number of ways to write

$$n = \sum_{i=0}^{\infty} \epsilon_i 2^i, \text{ where } \epsilon_i \in \mathcal{A} := \{0 = a_0 < a_1 < \dots < a_z\}.$$

Expanding the sum, we see that

$$\begin{aligned} n &= \epsilon_0 + \epsilon_1 2 + \epsilon_2 2^2 + \dots \\ &= \epsilon_0 + 2(\epsilon_1 + \epsilon_2 2 + \dots) \end{aligned}$$

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We will now examine the asymptotic behavior of

$$\sum_{n=2^r}^{2^{r+1}-1} f_{\mathcal{A}}(n).$$

Write  $\mathcal{A} = \{0 = 2b_1, 2b_2, \dots, 2b_s, 2c_1 + 1, \dots, 2c_t + 1\}$ .

Write  $\mathcal{A} = \{0 = 2b_1, 2b_2, \dots, 2b_s, 2c_1 + 1, \dots, 2c_t + 1\}$ .

If  $n$  is even, then  $\epsilon_0 = 0, 2b_2, 2b_3, \dots$ , or  $2b_s$  and

$$f_{\mathcal{A}}(n) = f_{\mathcal{A}}\left(\frac{n}{2}\right) + f_{\mathcal{A}}\left(\frac{n - 2b_2}{2}\right) + f_{\mathcal{A}}\left(\frac{n - 2b_3}{2}\right) + \dots + f_{\mathcal{A}}\left(\frac{n - 2b_s}{2}\right).$$

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Writing  $n = 2\ell$ , we have

$$f_{\mathcal{A}}(2\ell) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - b_2) + f_{\mathcal{A}}(\ell - b_3) + \cdots + f_{\mathcal{A}}(\ell - b_s).$$

If  $n$  is odd, then  $\epsilon_0 = 2c_1 + 1, 2c_2 + 1, \dots$ , or  $2c_t + 1$ , and

$$f_{\mathcal{A}}(n) = f_{\mathcal{A}}\left(\frac{n - (2c_1 + 1)}{2}\right) + f_{\mathcal{A}}\left(\frac{n - (2c_2 + 1)}{2}\right) \\ + \cdots + f_{\mathcal{A}}\left(\frac{n - (2c_t + 1)}{2}\right).$$

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Writing  $n = 2\ell + 1$ , we have

$$f_{\mathcal{A}}(2\ell + 1) = f_{\mathcal{A}}(\ell - c_1) + f_{\mathcal{A}}(\ell - c_2) + \cdots + f_{\mathcal{A}}(\ell - c_t).$$



## Example

If  $\mathcal{A} = \{0, 1, 4, 9\} = \{2 \cdot 0, 2 \cdot 0 + 1, 2 \cdot 2, 2 \cdot 4 + 1\}$ , then we have

$$f_{\mathcal{A}}(2\ell) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 2)$$

and

$$f_{\mathcal{A}}(2\ell + 1) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 4).$$

For positive integers  $k$ ,  $m$ , and  $a_z$ , let

$$\omega_k(m) = \begin{pmatrix} f_{\mathcal{A}}(2^k m) \\ f_{\mathcal{A}}(2^k m - 1) \\ \vdots \\ f_{\mathcal{A}}(2^k m - a_z) \end{pmatrix}.$$

We will show that for  $a_z$  sufficiently large, there exists a fixed  $(a_z + 1) \times (a_z + 1)$  matrix  $M$  such that for any  $k \geq 0$ ,

$$\omega_{k+1} = M\omega_k.$$

## Example

Let  $\mathcal{A} = \{0, 1, 3, 4\}$ . Then

$$f_{\mathcal{A}}(2\ell) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 2)$$

and

$$f_{\mathcal{A}}(2\ell + 1) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 1).$$

## {0, 1, 3, 4} continued

$$\omega_{k+1}(m) = \begin{pmatrix} f_{\mathcal{A}}(2^{k+1}m) \\ f_{\mathcal{A}}(2^{k+1}m - 1) \\ f_{\mathcal{A}}(2^{k+1}m - 2) \\ f_{\mathcal{A}}(2^{k+1}m - 3) \\ f_{\mathcal{A}}(2^{k+1}m - 4) \end{pmatrix} = \begin{pmatrix} f_{\mathcal{A}}(2^k m) + f_{\mathcal{A}}(2^k m - 2) \\ f_{\mathcal{A}}(2^k m - 1) + f_{\mathcal{A}}(2^k m - 2) \\ f_{\mathcal{A}}(2^k m - 1) + f_{\mathcal{A}}(2^k m - 3) \\ f_{\mathcal{A}}(2^k m - 2) + f_{\mathcal{A}}(2^k m - 3) \\ f_{\mathcal{A}}(2^k m - 2) + f_{\mathcal{A}}(2^k m - 4) \end{pmatrix}.$$

## $\{0, 1, 3, 4\}$ continued

$$\omega_{k+1}(m) = \begin{pmatrix} f_{\mathcal{A}}(2^{k+1}m) \\ f_{\mathcal{A}}(2^{k+1}m - 1) \\ f_{\mathcal{A}}(2^{k+1}m - 2) \\ f_{\mathcal{A}}(2^{k+1}m - 3) \\ f_{\mathcal{A}}(2^{k+1}m - 4) \end{pmatrix} = \begin{pmatrix} f_{\mathcal{A}}(2^k m) + f_{\mathcal{A}}(2^k m - 2) \\ f_{\mathcal{A}}(2^k m - 1) + f_{\mathcal{A}}(2^k m - 2) \\ f_{\mathcal{A}}(2^k m - 1) + f_{\mathcal{A}}(2^k m - 3) \\ f_{\mathcal{A}}(2^k m - 2) + f_{\mathcal{A}}(2^k m - 3) \\ f_{\mathcal{A}}(2^k m - 2) + f_{\mathcal{A}}(2^k m - 4) \end{pmatrix}.$$

$$\text{and } M = \begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 1 \end{pmatrix} \text{ satisfies } \omega_{k+1}(m) = M\omega_k(m).$$

## Theorem

Let  $\mathcal{A}$ ,  $f_{\mathcal{A}}(n)$ ,  $M$ , and  $\omega_k(m)$  be as above, with the additional assumption that there exists some odd  $a_i \in \mathcal{A}$ . Define

$$s_{\mathcal{A}}(r) = \sum_{n=2^r}^{2^{r+1}-1} f_{\mathcal{A}}(n).$$

Let  $|\mathcal{A}|$  denote the number of elements in the set  $\mathcal{A}$ . Then

$$\lim_{r \rightarrow \infty} \frac{s_{\mathcal{A}}(r)}{|\mathcal{A}|^r} = c(\mathcal{A}),$$

where  $c(\mathcal{A}) \in \mathbb{Q}$ , so

$$s_{\mathcal{A}}(r) \approx c(\mathcal{A}) |\mathcal{A}|^r.$$

Example:  $\mathcal{A} = \{0, 2, 3\}$

$$f_{\mathcal{A}}(2\ell) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 1)$$

$$f_{\mathcal{A}}(2\ell + 1) = f_{\mathcal{A}}(\ell - 1)$$

$$\begin{pmatrix} f_{\mathcal{A}}(2^{k+1}m) \\ f_{\mathcal{A}}(2^{k+1}m - 1) \\ f_{\mathcal{A}}(2^{k+1}m - 2) \end{pmatrix} = \begin{pmatrix} 1 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix} \begin{pmatrix} f_{\mathcal{A}}(2^k m) \\ f_{\mathcal{A}}(2^k m - 1) \\ f_{\mathcal{A}}(2^k m - 2) \end{pmatrix}$$

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The characteristic polynomial of  $M$  is  $g(x) = -(x - 1)(x^2 - x - 1)$ .



## {0, 2, 3} continued

$$\begin{aligned} s_{\mathcal{A}}(r) &= \sum_{n=2^r}^{2^{r+1}-1} f_{\mathcal{A}}(n) \\ &= \sum_{n=2^{r-1}}^{2^r-1} (f_{\mathcal{A}}(2n) + f_{\mathcal{A}}(2n+1)) \\ &= \sum_{n=2^{r-1}}^{2^r-1} (f_{\mathcal{A}}(n) + f_{\mathcal{A}}(n-1) + f_{\mathcal{A}}(n-1)) \\ &= s_{\mathcal{A}}(r-1) + 2 \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n-1) \end{aligned}$$

$$\begin{aligned}
s_{\mathcal{A}}(r) &= s_{\mathcal{A}}(r-1) + 2 \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n) + 2f_{\mathcal{A}}(2^{r-1}-1) - 2f_{\mathcal{A}}(2^r-1) \\
&= 3s_{\mathcal{A}}(r-1) + 2f_{\mathcal{A}}(2^{r-1}-1) - 2f_{\mathcal{A}}(2^r-1) \\
&= 3s_{\mathcal{A}}(r-1) + 2F_{r-2} - 2F_{r-1} \\
&= 3s_{\mathcal{A}}(r-1) - 2F_{r-3}
\end{aligned}$$

- ▶ Solution to homogeneous recurrence relation

$$s_{\mathcal{A}}(r) = c_1 3^r$$

- ▶ Solution to inhomogeneous recurrence relation

$$s_{\mathcal{A}}(r) = c_1 3^r + c_2 \phi^r + c_3 \bar{\phi}^r + c_4 (1)^r$$

$$\begin{aligned}s_{\mathcal{A}}(r+2) - s_{\mathcal{A}}(r+1) - s_{\mathcal{A}}(r) &= c_1 3^r (3^2 - 3 - 1) + c_2 \phi^r (\phi^2 - \phi - 1) \\ &\quad + c_3 \bar{\phi}^r (\bar{\phi}^2 - \bar{\phi} - 1) + c_4 (1^2 - 1 - 1) \\ &= c_1 3^r \cdot 5 - c_4\end{aligned}$$

$$\begin{aligned}
 s_{\mathcal{A}}(r+2) - s_{\mathcal{A}}(r+1) - s_{\mathcal{A}}(r) &= c_1 3^r (3^2 - 3 - 1) + c_2 \phi^r (\phi^2 - \phi - 1) \\
 &\quad + c_3 \bar{\phi}^r (\bar{\phi}^2 - \bar{\phi} - 1) + c_4 (1^2 - 1 - 1) \\
 &= c_1 3^r \cdot 5 - c_4
 \end{aligned}$$

We can plug in  $r = 0$  and  $r = 1$  and compute sums to solve and find that  $c_1 = \frac{2}{5}$ . Hence

$$\lim_{r \rightarrow \infty} \frac{s_{\mathcal{A}}(r)}{|\mathcal{A}|^r} = \lim_{r \rightarrow \infty} \frac{s_{\{0,2,3\}}(r)}{4^r} = \frac{2}{5}.$$

## Proof

Let  $g(\lambda) := \det(M - \lambda I)$  be the characteristic polynomial of  $M$  with eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_y$ , where each  $\lambda_i$  has multiplicity  $e_i$ , so

$$g(\lambda) = \sum_{k=0}^{a_z+1} \alpha_k \lambda^k.$$

By Cayley-Hamilton, we know that  $g(M) = 0$ . Thus we have

$$0 = g(M) = \sum_{k=0}^{a_z+1} \alpha_k M^k$$

and hence, for all  $r$ ,

$$0 = \left( \sum_{k=0}^{a_z+1} \alpha_k M^k \right) \omega_r(m) = \sum_{k=0}^{a_z+1} \alpha_k \omega_{r+k}(m).$$

Let  $I_r = \{2^r, 2^r + 1, 2^r + 2, \dots, 2^{r+1} - 1\}$ . Then  $I_r = 2I_{r-1} \cup (2I_{r-1} + 1)$ . Thus

$$\begin{aligned}
 s_{\mathcal{A}}(r) &= \sum_{n=2^r}^{2^{r+1}-1} f_{\mathcal{A}}(n) \\
 &= \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(2n) + f_{\mathcal{A}}(2n+1) \\
 &= \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n) + f_{\mathcal{A}}(n-b_2) + \dots + f_{\mathcal{A}}(n-b_s) \\
 &\quad + f_{\mathcal{A}}(n-c_1) + \dots + f_{\mathcal{A}}(n-c_t).
 \end{aligned}$$

Now

$$\sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n-k) = \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n) + \sum_{j=1}^k (f_{\mathcal{A}}(2^{r-1}-j) - f(2^r-j)),$$

so

$$s_{\mathcal{A}}(r) = |\mathcal{A}| \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n) + h(r) = |\mathcal{A}| s_{\mathcal{A}}(r-1) + h(r),$$

where  $h_r$  is such that

$$\sum_{k=0}^{a_z+1} \alpha_k h(r+k) = 0.$$



The solution to this inhomogeneous recurrence relation is of the form

$$s_{\mathcal{A}}(r) = c_1 |\mathcal{A}|^r + \sum_{i=1}^y p_i(\lambda_i),$$

where  $p_i(\lambda_i) = \sum_{j=1}^{e_i} c_{ij} r^{j-1} \lambda_i^r$ .

We can compute  $\sum_{k=0}^{a_z+1} \alpha_k s_{\mathcal{A}}(r+k)$ , and for sufficiently large  $r$ , we have

$$\sum_{k=0}^{a_z+1} \alpha_k s_{\mathcal{A}}(r+k) = c_1 \sum_{k=0}^{a_z+1} \alpha_k |\mathcal{A}|^{r+k} + 0 = c_1 |\mathcal{A}|^r g(|\mathcal{A}|).$$

Then we can solve for  $c_1$  to see that

$$c_1 = \frac{\sum_{k=0}^{a_z+1} \alpha_k s_{\mathcal{A}}(r+k)}{|\mathcal{A}|^r g(|\mathcal{A}|)}.$$

| $\mathcal{A}$  | $c(\mathcal{A})$       | $N(c(\mathcal{A}))$ | $\mathcal{A}$  | $c(\mathcal{A})$         | $N(c(\mathcal{A}))$ |
|----------------|------------------------|---------------------|----------------|--------------------------|---------------------|
| $\{0, 1, 2\}$  | 1                      | 1.000               | $\{0, 1, 3\}$  | $\frac{4}{5}$            | 0.800               |
| $\{0, 1, 4\}$  | $\frac{5}{8}$          | 0.625               | $\{0, 1, 5\}$  | $\frac{14}{25}$          | 0.560               |
| $\{0, 1, 6\}$  | $\frac{425}{852}$      | 0.499               | $\{0, 1, 7\}$  | $\frac{176}{391}$        | 0.450               |
| $\{0, 1, 8\}$  | $\frac{137}{338}$      | 0.405               | $\{0, 1, 9\}$  | $\frac{1448}{3775}$      | 0.384               |
| $\{0, 1, 10\}$ | $\frac{1990}{5527}$    | 0.360               | $\{0, 1, 11\}$ | $\frac{3223}{9476}$      | 0.340               |
| $\{0, 1, 12\}$ | $\frac{2020}{6283}$    | 0.322               | $\{0, 1, 13\}$ | $\frac{47228}{154123}$   | 0.306               |
| $\{0, 1, 14\}$ | $\frac{35624}{122411}$ | 0.291               | $\{0, 1, 15\}$ | $\frac{699224}{2501653}$ | 0.280               |

| $\mathcal{A}$       | $c(\mathcal{A})$           | $\tilde{\mathcal{A}}$ | $c(\tilde{\mathcal{A}})$   |
|---------------------|----------------------------|-----------------------|----------------------------|
| $\{0, 1, 2, 4\}$    | $\frac{7}{11}$             | $\{0, 2, 3, 4\}$      | $\frac{3}{11}$             |
| $\{0, 2, 3, 6\}$    | $\frac{2531}{9536}$        | $\{0, 3, 4, 6\}$      | $\frac{1344}{9536}$        |
| $\{0, 1, 6, 9\}$    | $\frac{3401207}{16513920}$ | $\{0, 3, 8, 9\}$      | $\frac{1156032}{16513920}$ |
| $\{0, 1, 7, 9\}$    | $\frac{132416}{655040}$    | $\{0, 2, 8, 9\}$      | $\frac{51145}{655040}$     |
| $\{0, 4, 5, 6, 9\}$ | $\frac{4044}{83753}$       | $\{0, 3, 4, 5, 9\}$   | $\frac{6716}{83753}$       |

## Theorem

Let  $\mathcal{A}$ ,  $f_{\mathcal{A}}(n)$  and  $M = [m_{\alpha,\beta}]$  be as above. Define

$$\tilde{\mathcal{A}} := \{0, a_z - a_{z-1}, \dots, a_z - a_1, a_z\}.$$

## Theorem

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$$\tilde{\mathcal{A}} := \{0, a_z - a_{z-1}, \dots, a_z - a_1, a_z\}.$$

Let  $N = [n_{\alpha,\beta}]$  be the  $(a_z + 1) \times (a_z + 1)$  matrix such that

$$\begin{pmatrix} f_{\tilde{\mathcal{A}}}(2n) \\ f_{\tilde{\mathcal{A}}}(2n-1) \\ \vdots \\ f_{\tilde{\mathcal{A}}}(2n-a_z) \end{pmatrix} = N \begin{pmatrix} f_{\tilde{\mathcal{A}}}(n) \\ f_{\tilde{\mathcal{A}}}(n-1) \\ \vdots \\ f_{\tilde{\mathcal{A}}}(n-a_z) \end{pmatrix}.$$

## Theorem

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Let  $N = [n_{\alpha,\beta}]$  be the  $(a_z + 1) \times (a_z + 1)$  matrix such that

$$\begin{pmatrix} f_{\tilde{\mathcal{A}}}(2n) \\ f_{\tilde{\mathcal{A}}}(2n-1) \\ \vdots \\ f_{\tilde{\mathcal{A}}}(2n-a_z) \end{pmatrix} = N \begin{pmatrix} f_{\tilde{\mathcal{A}}}(n) \\ f_{\tilde{\mathcal{A}}}(n-1) \\ \vdots \\ f_{\tilde{\mathcal{A}}}(n-a_z) \end{pmatrix}.$$

Then  $m_{\alpha,\beta} = n_{a_z-\alpha, a_z-\beta}$ .

# Proof

Recall we can write

$$\mathcal{A} := \{0, 2b_1, \dots, 2b_s, 2c_1 + 1, \dots, 2c_t + 1\},$$

so that

$$f_{\mathcal{A}}(2n - 2j) = f_{\mathcal{A}}(n - j) + f_{\mathcal{A}}(n - j - b_1) + \dots + f_{\mathcal{A}}(n - j - b_s)$$

and

$$f_{\mathcal{A}}(2n - 2j - 1) = f_{\mathcal{A}}(n - j - c_1 - 1) + \dots + f_{\mathcal{A}}(n - j - c_t - 1)$$

for  $j$  sufficiently large.



Then  $m_{\alpha,\beta} = 1$

$\iff f_{\mathcal{A}}(n - \beta)$  is a summand in the recursive sum  
that expresses  $f_{\mathcal{A}}(2n - \alpha)$

$\iff 2n - \alpha = 2(n - \beta) + K$ , where  $K \in \mathcal{A}$

$\iff 2\beta - \alpha \in \mathcal{A}$ .

Now  $n_{a_z - \alpha, a_z - \beta} = 1$

$\iff f_{\tilde{\mathcal{A}}}(n - (a_z - \beta))$  is a summand in the recursive sum that expresses  $f_{\tilde{\mathcal{A}}}(2n - (a_z - \alpha))$

$\iff 2n - (a_z - \alpha) = 2(n - (a_z - \beta)) + \tilde{K}$ , where  $\tilde{K} \in \tilde{\mathcal{A}}$

$\iff a_z + \alpha - 2\beta = \tilde{K}$

$\iff 2\beta - \alpha \in \mathcal{A}$ .

Thus  $M = A^{-1}NA$ , where

$$A = \begin{pmatrix} 0 & 0 & \cdots & 0 & 1 \\ 0 & 0 & \cdots & 1 & 0 \\ \vdots & \vdots & & \vdots & \vdots \\ 0 & 1 & \cdots & 0 & 0 \\ 1 & 0 & \cdots & 0 & 0 \end{pmatrix},$$

so  $M$  and  $N$  have the same characteristic polynomial. Hence the denominator of  $c(\mathcal{A})$  is the same as the denominator of  $c(\tilde{\mathcal{A}})$ .

## Future Research Ideas

- ▶ Finding more families of robust polynomials
- ▶ Determining the cluster points of

$$\left\{ \frac{\beta_1(f)}{\beta_0(f) + \beta_1(f)} : f(x) \text{ is a polynomial} \right\}$$

- ▶ Finding formulas for  $c(\mathcal{A})$
- ▶ Exploring properties of  $f_{\mathcal{A}}(n)$  in bases other than 2

Let  $f(x)$  be an element of  $\mathbb{F}_2[x]$  with  $\deg(f(x)) = k$ . Then Lidl & Niederreiter's *Finite Fields* gives an upper bound of

$$|\beta_1(f(x)) - \beta_0(f(x))| \leq 2^{k/2}.$$

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Thus

$$|\beta_1(f_{3,1}(x)) - \beta_0(f_{3,1}(x))| = 37 - 26 = 11 \leq 2^{9/2} \approx 22.6$$

and

$$|\beta_1(f_{3,2}(x)) - \beta_0(f_{3,2}(x))| = 45 - 28 = 17 \leq 2^{10/2} = 32.$$

In general,

$$\begin{aligned} |\beta_1(f_{r,1}(x)) - \beta_0(f_{r,1}(x))| &= 4^r - 3^r - (3^r - 1) \\ &= 4^r - 2 \cdot 3^r + 1 \\ &\ll 2^{\frac{1}{2}(2^r+1)} \\ &= 4^{2^{r-2} + \frac{1}{4}} \end{aligned}$$

and

$$\begin{aligned} |\beta_1(f_{r,2}(x)) - \beta_0(f_{r,2}(x))| &= 4^r - 3^r + 2^r - (3^r + 1) \\ &= 4^r - 2 \cdot 3^r + 2^r - 1 \\ &\ll 2^{\frac{1}{2}(2^r+2)} \\ &= 4^{2^{r-2} + \frac{1}{2}}. \end{aligned}$$

Example:  $\mathcal{A} = \{0, 1, 4\}$

$$f_{\mathcal{A}}(2\ell) = f_{\mathcal{A}}(\ell) + f_{\mathcal{A}}(\ell - 2)$$

$$f_{\mathcal{A}}(2\ell + 1) = f_{\mathcal{A}}(\ell)$$

$$\begin{pmatrix} f_{\mathcal{A}}(2^{k+1}m) \\ f_{\mathcal{A}}(2^{k+1}m - 1) \\ f_{\mathcal{A}}(2^{k+1}m - 2) \\ f_{\mathcal{A}}(2^{k+1}m - 3) \\ f_{\mathcal{A}}(2^{k+1}m - 4) \end{pmatrix} = \begin{pmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 \end{pmatrix} \begin{pmatrix} f_{\mathcal{A}}(2^k m) \\ f_{\mathcal{A}}(2^k m - 1) \\ f_{\mathcal{A}}(2^k m - 2) \\ f_{\mathcal{A}}(2^k m - 3) \\ f_{\mathcal{A}}(2^k m - 4) \end{pmatrix}$$



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The characteristic polynomial of  $M$  is  $g(x) = (x - 1)^4(x + 1)$ .

## {0, 1, 4} continued

$$\begin{aligned} s_{\mathcal{A}}(r) &= \sum_{n=2^r}^{2^{r+1}-1} f_{\mathcal{A}}(n) \\ &= \sum_{n=2^{r-1}}^{2^r-1} (f_{\mathcal{A}}(2n) + f_{\mathcal{A}}(2n+1)) \\ &= \sum_{n=2^{r-1}}^{2^r-1} (f_{\mathcal{A}}(n) + f_{\mathcal{A}}(n-2) + f_{\mathcal{A}}(n)) \\ &= 2s_{\mathcal{A}}(r-1) + \sum_{n=2^{r-1}}^{2^r-1} f_{\mathcal{A}}(n-2) \\ &= 3s_{\mathcal{A}}(r-1) + f_{\mathcal{A}}(2^{r-1}-2) + f_{\mathcal{A}}(2^{r-1}-1) \\ &\quad - f_{\mathcal{A}}(2^r-2) - f_{\mathcal{A}}(2^r-1) \end{aligned}$$

- ▶ Solution to homogeneous recurrence relation

$$s_{\mathcal{A}}(r) = c_1 3^r$$

- ▶ Solution to inhomogeneous recurrence relation

$$s_{\mathcal{A}}(r) = c_1 3^r + c_2 (-1)^r + c_3 (1)^r + c_4 r (1)^r + c_5 r^2 (1)^r + c_6 r^3 (1)^r$$

- ▶ Hence

$$\lim_{r \rightarrow \infty} \frac{s_{\mathcal{A}}(r)}{|\mathcal{A}|^r} = c_1.$$