# Operator algebras, boundaries of buildings and K-theory

## Guyan Robertson

http://maths.newcastle.edu.au/~ guyan/

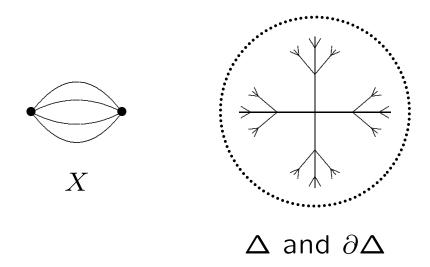
Spaces that arise in analysis are often pathological and cannot be studied by classical geometric methods. Consider instead an associated **algebraic** object.

For example, the topology of a "good" space S is completely determined by the commutative algebra

$$C(S) = \{ f : S \to \mathbb{C} \mid f \text{ is continuous} \}.$$

If S is a "bad" space, replace C(S) by a **non-commutative** algebra.

**Example**: A finite connected graph X, with all vertices of degree > 2. The universal covering tree  $\Delta$  has boundary  $\partial \Delta$ .



Let  $\Gamma = \pi(X)$ , the fundamental group of X.  $\Gamma$  is a free group which acts freely on  $\Delta$  and

$$\Gamma \backslash \Delta = X$$

 $\Gamma$  also acts on  $\partial \Delta$ , but this action is "bad":  $\Gamma \backslash \partial \Delta$  is not Hausdorff.

 $\Gamma$  acts on  $C(\partial \Delta)$ :

$$\gamma(f)(\omega) = f(\gamma^{-1}\omega)$$

Study the "bad" action by forming the **crossed product**  $C^*$ -algebra:

$$\mathcal{A}(\Gamma) = C(\partial \Delta) \rtimes \Gamma$$
$$= C^* \langle \Gamma \cup C(\partial \Delta) ; \gamma(f) = \gamma f \gamma^{-1} \rangle$$

Here

$$C(\partial \Delta) \subset \mathcal{A}(\Gamma)$$
 an abelian subalgebra  $\Gamma \subset \mathcal{A}(\Gamma)$  a group of unitaries

 $\mathcal{A}(\Gamma)$  is generated (as a  $C^*$ -algebra) by finite sums

$$\sum f_i \gamma_i, \qquad f_i \in C(\partial \Delta), \ \gamma_i \in \Gamma$$

product:  $f_1\gamma_1 \cdot f_2\gamma_2 = f_1\gamma_1(f_2)\gamma_1\gamma_2$ 

involution:  $(f\gamma)^* = \gamma^{-1}(f^*)\gamma^{-1}$ 

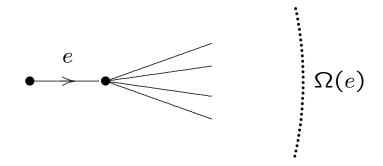
What about "good" actions?

Example:  $X = \Gamma \setminus \Delta$ .

Answer:  $C_0(\Delta) \rtimes \Gamma \approx C(X)$ .

Let  $E = \{ \text{oriented edges of } \Delta \}$ . (Each geometric edge has two orientations.)

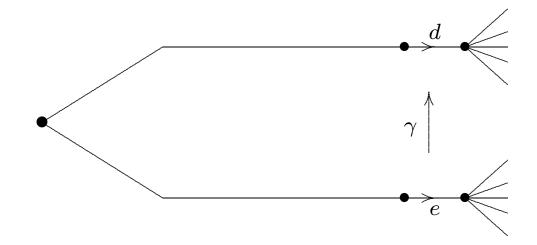
If  $e \in E$ , define a clopen subset  $\Omega(e)$  of  $\partial \Delta$ 



The indicator function  $p_e \in C(\partial \Delta) \subset \mathcal{A}(\Gamma)$ .

If  $e \in E$  and  $d = \gamma e$ , where  $\gamma \in \Gamma$ , then define a **partial isometry** 

$$s_{d,e} = \gamma p_e$$
.



$$s_{d,e}^* s_{d,e} = p_e$$
 initial projection 
$$s_{d,e} s_{d,e}^* = \gamma p_e \gamma^{-1}$$
 
$$= \gamma(p_e)$$
 
$$= p_d$$
 final projection

Therefore  $p_d \sim p_e$ 

(Murray-von Neumann equivalence)

#### Facts:

- (1)  $\mathcal{A}(\Gamma)$  is simple, purely infinite and generated by the operators  $s_{d,e}$ , where  $d \in \Gamma e$ . A Cuntz-Krieger algebra.
- (2)  $\mathcal{A}(\Gamma)$  is classified by the abelian group  $K_0(\mathcal{A}(\Gamma))$  and [1], where

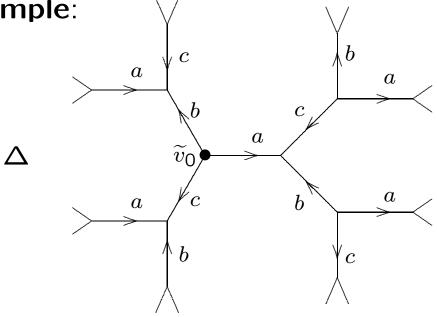
$$K_0(\mathcal{A}) = \{[p] : p \text{ is a nonzero idempotent in } \mathcal{A}\}$$

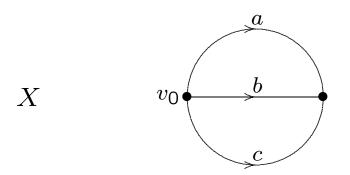
addition : 
$$[p] + [q] = [p + q]$$
, if  $pq = 0$ ,

zero element : [p-p'], where  $p \sim p' < p$ .

If  $e \in E$ ,  $[p_e] \in K_0(\mathcal{A}(\Gamma))$  depends only on  $\Gamma e$ . Let  $A := \{\Gamma e : e \in E\}$ , (a finite alphabet).  $A \approx \{\text{directed edges of } X\}$ 

# Example:





 $A = \{a, \overline{a}, b, \overline{b}, c, \overline{c}\}$ 

For  $a = \Gamma e \in A$ , let  $[a] := [p_e] \in K_0(\mathcal{A}(\Gamma))$ .

These are **all** the generators for  $K_0(\mathcal{A}(\Gamma))$ .

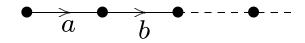
The idempotents  $p_e$  satisfy

$$p_e = \sum_{\substack{e' \in E \\ e \to e'}} p_{e'}$$



Define 0-1 matrix M, for a,  $b \in A$  by

$$M(a,b) = 1 \Longleftrightarrow$$



Relations:

$$[a] = \sum_{b \in A} M(a,b)[b].$$

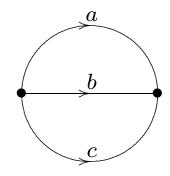
These are the **only** relations . . .

Theorem.

$$K_0(\mathcal{A}(\Gamma)) = \left\langle A \mid a = \sum_{b \in A} M(a, b)b \right\rangle.$$

This is easily computed from the graph X:

Example:



generators:  $\{a, \overline{a}, b, \overline{b}, c, \overline{c}\}$ 

relations:

$$a = \overline{b} + \overline{c}, \quad b = \overline{c} + \overline{a}, \quad c = \overline{a} + \overline{b}$$
 $\overline{a} = b + c, \quad \overline{b} = c + a, \quad \overline{c} = a + b$ 

#### Result:

 $K_0(\mathcal{A}(\Gamma)) = \mathbb{Z}^r \oplus \mathbb{Z}/(r-1)\mathbb{Z}$ , where r is the rank of  $\Gamma$ . The class  $[1] \in K_0(\mathcal{A}(\Gamma))$  has order

$$r-1=-\chi(X)$$
 (Euler characteristic)

**Remark**: It follows that  $A(\Gamma)$  depends only on  $\Gamma$ .

### Special case:

Let p be prime and  $\Gamma$  a torsion free lattice in

$$G = \mathsf{PGL}_2(\mathbb{Q}_p)$$
.

G acts on  $\Delta$  (homogeneous tree of degree p+1),

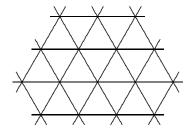
 $X = \Gamma \backslash \Delta$  is a finite graph,  $\Gamma = \pi(X)$  and

$$\chi(X) = -\frac{(p-1)}{2} \cdot \#\{\text{vertices of } X\}$$

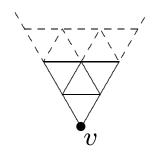
$$\mathsf{PGL}_3(\mathbb{Q}_p)$$

 $G = \operatorname{PGL}_3(\mathbb{Q}_p)$  acts on its **building** of type  $\widetilde{A}_2$ , which is a topologically contractible 2-dimensional complex  $\Delta$ .

 $\Delta$  is a union of **apartments**: flat subcomplexes isomorphic to a tessellation of  $\mathbb{R}^2$  by equilateral triangles.



The boundary  $\partial \Delta$  is a compact totally disconnected space whose points correspond to sectors in  $\Delta$  based at a fixed vertex v.



## The boundary algebra $\mathcal{A}(\Gamma)$ .

If  $\Gamma$  is a torsion free lattice in  $\operatorname{PGL}_3(\mathbb{Q}_p)$  then  $\Gamma$  acts freely on  $\Delta$ , the universal cover of the 2-dimensional complex  $X = \Gamma \backslash \Delta$ .

X is determined by  $\Gamma$ , by Strong Rigidity.

 $\Gamma$  acts on  $\Delta$ , and on  $\partial \Delta$ . Define

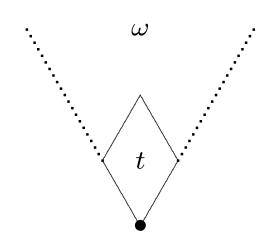
$$\mathcal{A}(\Gamma) := C(\partial \Delta) \rtimes \Gamma.$$

[Depends only on  $\Gamma$ .]

The algebras  $\mathcal{A}(\Gamma) = C(\partial \Delta) \rtimes \Gamma$  are examples of **higher rank Cuntz-Krieger algebras** whose structure theory has been developed by G. Robertson and T. Steger (1998-2001).

Given a basepointed tile  $t = \diamondsuit$  in  $\Delta$ ,

let  $\Omega_t$  be the set of all  $\omega \in \partial \Delta$  such that



Let

 $p_t = \text{char. function of } \Omega_t \in C(\partial \Delta) \subseteq \mathcal{A}(\Gamma).$ 

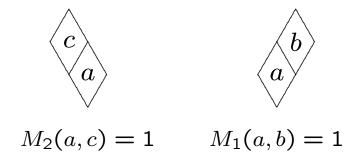
Then

$$\gamma p_t \gamma^{-1} = p_{\gamma t}$$

SO

 $[p_t] \in K_0$  depends only on  $\Gamma t$ .

Let  $A:=\{\Gamma t: t \text{ a tile}\},$  (a finite alphabet). Define 0-1 matrices  $M_1$ ,  $M_2$ , for  $a, b \in A$  by



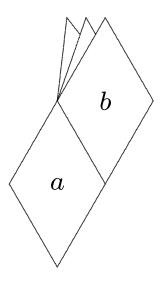
For  $a = \Gamma t \in A$ , let  $[a] := [p_t] \in K_0(\mathcal{A}(\Gamma))$ .

Relations:

• 
$$[a] = \sum_{b \in A} M_1(a, b)[b];$$

• 
$$[a] = \sum_{b \in A} M_2(a, b)[b]$$
.

These are the **only** relations . . .



$$[a] = \sum_{b \in A} M_1(a, b)[b];$$

Let

$$C = \left\langle A \mid a = \sum_{b \in A} M_j(a, b)b, j = 1, 2 \right\rangle.$$

**Theorem.** [G. Robertson, T. Steger, 2001]  $K_0(\mathcal{A}(\Gamma)) = C \oplus \mathbb{Z}^{\operatorname{rank}(C)}.$ 

**Note**: There are generators of  $K_0$  **not** of the form  $[a] = [p_t]$ .

**Theorem.** m.[1] = 0 in  $K_0(\mathcal{A}(\Gamma))$ , where  $m = \gcd(3, p-1) \cdot \frac{(p^2-1)}{3} \cdot \#\{\text{vertices of } \Gamma \setminus \Delta\}$ 

Strong numerical evidence suggests that the order of [1] is actually :

$$\frac{(p-1)}{\gcd(3,p-1)} \cdot \#\{\text{vertices of } \Gamma \setminus \Delta\}$$

#### Note:

$$\chi(\Gamma \backslash \Delta) = \frac{(p-1)(p^2-1)}{3} \cdot \#\{\text{vertices of } \Gamma \backslash \Delta\}.$$

**Example**: The simplest possible  $\Gamma$  has generators  $x_0, x_1, \ldots, x_6$ , and relations

$$\begin{cases} x_0x_1x_4, x_0x_2x_1, x_0x_4x_2, x_1x_5x_5, \\ x_2x_3x_3, x_3x_5x_6, x_4x_6x_6. \end{cases}$$

- $\Gamma$  is a torsion free lattice in  $PGL_3(\mathbb{Q}_2)$ .
- $\Gamma$  acts transitively on vertices of  $\Delta$ .

$$K_0(\mathcal{A}(\Gamma)) = (\mathbb{Z}/2\mathbb{Z})^2 \oplus \mathbb{Z}/3\mathbb{Z},$$
$$[1] = 0$$

 $\exists$  exactly 3 such  $\Gamma < PGL_3(\mathbb{Q}_2)$ . (Cartwright, Mantero, Steger, Zappa, 1993)

3 different groups  $K_0(\mathcal{A}(\Gamma))$ :

$$\mathbb{Z}/3\mathbb{Z}$$
  $(\mathbb{Z}/2\mathbb{Z})^2 \oplus \mathbb{Z}/3\mathbb{Z}$   $(\mathbb{Z}/2\mathbb{Z})^4 \oplus \mathbb{Z}/3\mathbb{Z}$ 

Other affine buildings: the boundary algebras  $\mathcal{A}(\Gamma)$  are again simple and purely infinite, but  $K_0(\mathcal{A}(\Gamma))$  is harder to compute. However

**Theorem.** Let G be a semisimple Chevalley group over  $\mathbb{Q}_p$ . Let  $\Gamma$  be a lattice in G. Then [1] has finite order in  $K_0(\mathcal{A}(\Gamma))$ .

If G is not type  $\widetilde{E}_8$  or  $\widetilde{F}_4$ , and  $\Gamma$  is torsion free, then

order of [1]  $< \#\{\text{faces of } \Gamma \setminus \Delta\}.$ 

Continuous Analogue:  $\Gamma < PSL_2(\mathbb{R})$ , the fundamental group of a Riemann surface M.

Γ acts on the Poincaré upper half-plane

$$\mathfrak{H}=\{z\in\mathbb{C}:\Im z>0\}.$$
 and on  $\partial\mathfrak{H}=\mathbb{R}\cup\{\infty\}=\mathbb{S}^1.$  Let

$$\mathcal{A}(\Gamma) = C(\mathbb{S}^1) \rtimes \Gamma.$$

Fact: The class  $[1] \in K_0(\mathcal{A}(\Gamma))$  has order  $-\chi(M)$ . (A. Connes; T. Natsume)

**Question**: Is [1] always torsion for geometric boundary algebras?

**Example**:  $G = \mathrm{PSL}_2(\mathbb{C})$  acts on hyperbolic 3-space and its boundary  $S^2$ .

If  $\Gamma < G$  is a countable discrete subgroup then [1] is **not** torsion in  $K_0(\mathcal{A}(\Gamma))$  (A. Connes).

### **APPENDIX**

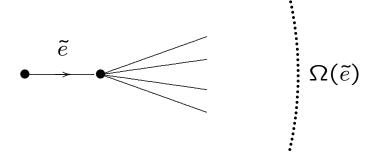
**Proof of tree case**:  $-\chi(\Gamma).[1] = 0$  in  $K_0(\mathcal{A}(\Gamma).$ 

$$\tilde{E} = \tilde{E}_{+} \sqcup \tilde{E}_{-} = \{ \text{oriented edges of } \Delta \}$$

$$\widetilde{V} = \{ \text{vertices of } \Delta \}$$

E, V: oriented edges, vertices of  $X = \Gamma \backslash \Delta$ 

If  $\tilde{e} \in \tilde{E}$ ,  $\Omega(\tilde{e})$  is a clopen subset of  $\partial \Delta$ :



The indicator function  $p_{\tilde{e}} \in C(\partial \Delta) \subset \mathcal{A}(\Gamma)$ .

 $[p_{\tilde{e}}] \in K_0(\mathcal{A}(\Gamma))$  depends only on  $e = \Gamma \tilde{e} \in E$ .

Reason:  $p_{\gamma \tilde{e}} = \gamma \cdot p_{\tilde{e}} = \gamma p_{\tilde{e}} \gamma^{-1}$ .

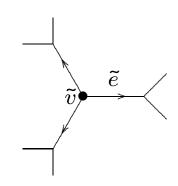
Therefore write  $[e] = [p_{\tilde{e}}] \in K_0(\mathcal{A}(\Gamma))$ .

The idempotents  $p_{\tilde{e}}$  satisfy the following relations

$$\sum_{\substack{\tilde{e} \in \tilde{E} \\ o(\tilde{e}) = \tilde{v}}} p_{\tilde{e}} = 1, \quad \text{for } \tilde{v} \in \tilde{V}; \quad \text{(1a)}$$

$$p_{\tilde{e}} + p_{\overline{\tilde{e}}} = 1, \quad \text{for } \tilde{e} \in \tilde{E}. \quad \text{(1b)}$$

$$p_{\tilde{e}} + p_{\overline{\tilde{e}}} = 1, \quad \text{for } \tilde{e} \in \tilde{E}. \quad (1b)$$



$$\Omega(\overline{ ilde{e}})$$
  $\widetilde{ ilde{e}}$   $\Omega( ilde{e})$ 

The relations (1) project to the following relations in  $K_0(\mathcal{A}(\Gamma))$ .

$$\sum_{\substack{e \in E \\ o(e) = v}} [e] = [1], \quad \text{for } v \in V; \quad \text{(2a)}$$
$$[e] + [\overline{e}] = [1], \quad \text{for } e \in E. \quad \text{(2b)}$$

Since the map  $e \mapsto o(e) : E \to V$  is surjective, the relations (2) imply that

$$n_{V}[1] = \sum_{v \in V} \sum_{\substack{e \in E \\ o(e) = v}} [e] = \sum_{e \in E} [e]$$

$$= \sum_{e \in E_{+}} ([e] + [\overline{e}]) = \sum_{e \in E_{+}} [1]$$

$$= n_{E_{+}}[1].$$

Therefore  $(n_V - n_{E_+}).[1] = 0.$ 

i.e 
$$\chi(X).[1] = 0.$$