

On embeddings of series-parallel graphs in Banach spaces.

Beata Randrianantoanina
(joint work with Mikhail Ostrovskii)

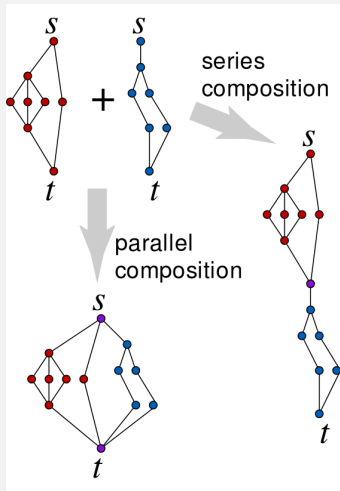
Department of Mathematics
Miami University
Ohio, USA

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What are series parallel graphs

Definition

A series parallel graph $G = (V, E)$ with terminals $s, t \in V$ is either a single edge (s, t) , or a series combination or a parallel combination of two series parallel graphs G_1 and G_2 with terminals s_1, t_1 and s_2, t_2 . The series combination of G_1 and G_2 is formed by setting $s = s_1, t = t_2$ and identifying $s_2 = t_1$ the parallel combination is formed by identifying $s = s_1 = s_2, t = t_1 = t_2$.



A generalization of series parallel graphs

Graphs whose every maximal 2-connected component is a series parallel graph, these are graphs of treewidth 2,

they are characterized by exclusion of K_4 as a minor.

Examples:

- Trees
- Diamonds
- Laakso graphs

Results about embeddings of series parallel graphs

- Bourgain 1986

X is not super-reflexive $\iff \sup_n c_X(T_n) < \infty$

- Gupta, Newman, Rabinovich, Sinclair 2004

$c_1(\mathcal{F}_{K_4}) \leq 14$, where \mathcal{F}_{K_4} is a family of graphs with a forbidden minor K_4

Improved to $c_1(\mathcal{F}_{K_4}) = 2$, by Chakrabarti, Jaffe, Lee, Vincent 2008, and Lee, Raghavendra 2010

Conjecture (GNRS2004) For every family \mathcal{F} of finite graphs $c_1(\mathcal{F}) < \infty \iff \mathcal{F}$ forbids some minor.

- Lee, Mendel, Naor 2004

For any $r \in \mathbb{N}$ there exists a constant $C(r)$ such that for every $0 < \alpha < 1$, an α -snowflake version of any K_r -excluded finite graph embeds into ℓ_2 with distortion at most $C(r)/\sqrt{1-\alpha}$.

- Chekuri, Gupta, Newman, Rabinovich, Sinclair 2006

$$c_1(\text{Outerplanar}(n)) \leq 2^{O(n)}, \quad \forall n \in \mathbb{N}$$

- Lee, Sidiropoulos 2013

Every minor-closed family of finite graphs \mathcal{F} which does not contain every possible tree satisfies $c_1(\mathcal{F}) < \infty$.

- Open Is $c_1(\text{Planar Graphs}) < \infty$?

- Johnson, Schechtman 2009

$$X \text{ is not super-reflexive} \iff \sup_n c_X(D_n) < \infty$$

$$\iff \sup_n c_X(L_n) < \infty$$

Here D_n denotes the diamond graphs, and L_n denotes the Laakso graphs

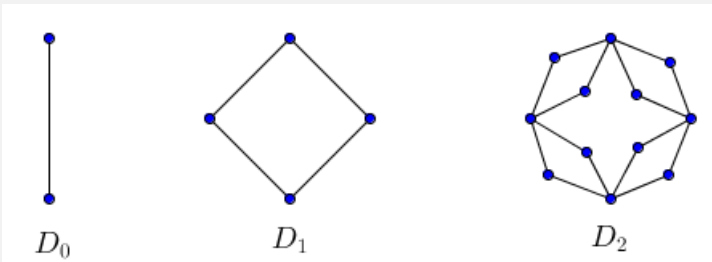
Remark “It might very well be that Theorem 1 extends to any series parallel graph. We didn’t pursue this farther.”

Diamond graphs

Definition (Gupta, Newman, Rabinovich, Sinclair, 2004)

The *diamond graph* of level 0, denoted D_0 , contains two vertices joined by an edge.

The *diamond graph* D_n is obtained from D_{n-1} by replacing every edge $uv \in E(D_{n-1})$, by a quadrilateral u, a, v, b , with edges ua, av, vb, bu .



Outline of the talk

1. Examples of series parallel graphs which embed into arbitrary Banach spaces of low dimension,
2. A construction of embeddings of arbitrarily large multi-branching diamonds into arbitrary non-superreflexive Banach spaces.

Problem (Schechtman)

Fix $n \in \mathbb{N}$ satisfying $n \gg 1$ and a constant $K \gg 1$. Characterize all metric spaces admitting embeddings with distortion $\leq K$ into each n -dimensional Banach space.

Classical Answers

- (A) Metric spaces admitting low-distortion embeddings into log n -dimensional Euclidean spaces - consequence of the Dvoretzky theorem
- (B) Equilateral spaces of size $\approx a^n$, where a depends on K .
A metric space is called *equilateral* if all non-zero distances are equal to each other.
- (C) Metric spaces from the classes mentioned in (A) and (B) can be combined using a general construction, called the *metric composition*.
This includes *ultrametric spaces*.

Question of Gideon Schechtman

Can one suggest examples of metric spaces admitting embeddings with distortion $\leq K$ into each n -dimensional Banach space, which are completely different from the examples mentioned above?

Theorem (Ostrovskii, R 2015)

Yes.

Question of Gideon Schechtman

Can one suggest examples of metric spaces admitting embeddings with distortion $\leq K$ into each n -dimensional Banach space, which are completely different from the examples mentioned above?

Theorem (Ostrovskii, R 2015)

There exists a family of graphs $\{W_n\}_{n \in \mathbb{N}}$ with $|W_n| \rightarrow \infty$, so that for every $C \geq 1$ there exists a constant $D = D(C) \geq 1$ such that for all n , the graphs W_n D -embed into every Banach space X with a Schauder basis with basis constant smaller than or equal to C and

$$\dim X \geq \frac{1}{2}(\log_2 |W_n|)^2.$$

Theorem (Szarek and Tomczak-Jaegermann 2009)

There exist absolute constants $A, B, C > 0$ so that for every $n \geq A$ and for every n -dimensional normed space X , there exists a subspace $Y \subseteq X$ so that $\dim Y \geq B \exp(\frac{1}{2} \sqrt{\ln n})$ and Y is C -isomorphic to an ℓ_p -space for some $p \in \{1, 2, \infty\}$.

Corollary

There exist constants $c, N, D > 0$ so that for every $n \geq N$, the graph W_n can be embedded with the distortion not exceeding D in every Banach space X so that

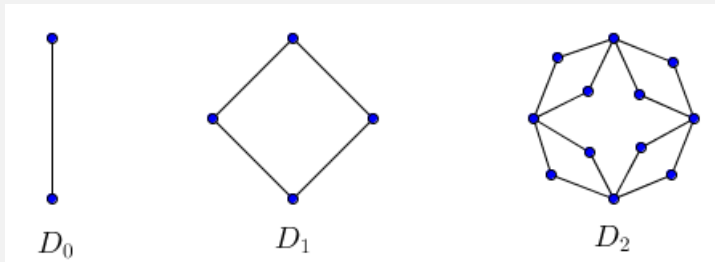
$$\dim X \geq \exp(c(\log \log |W_n|)^2).$$

Diamond graphs

Definition (Gupta, Newman, Rabinovich, Sinclair, 2004)

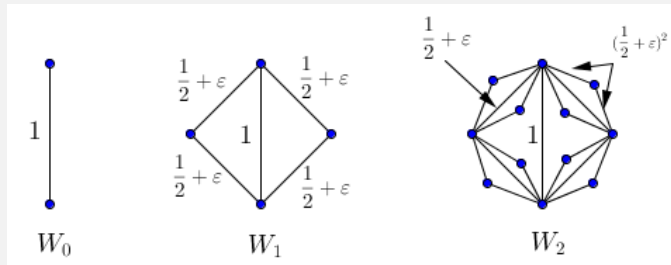
The *diamond graph* of level 0, denoted D_0 , contains two vertices joined by an edge.

The *diamond graph* D_n is obtained from D_{n-1} by replacing every edge $uv \in E(D_{n-1})$, by a quadrilateral u, a, v, b , with edges ua, av, vb, bu .



Weighted diamond graphs

Let $\varepsilon \in (0, \frac{1}{2})$. The sequence $\{W_n\}_{n=0}^{\infty}$ of *weighted diamonds* is defined in terms of diamonds $\{D_n\}_{n=0}^{\infty}$ as follows:



- $W_0 = D_0$. The one edge of D_0 is given weight 1.
- $W_1 = D_1 \cup W_0$ with edges of D_1 given weights $(\frac{1}{2} + \varepsilon)$; weight of the edge of W_0 stays as 1.
- $W_2 = D_2 \cup W_1$ with edges of D_2 given weights $(\frac{1}{2} + \varepsilon)^2$; weights of the edges of W_1 stay as they were.

- $W_n = D_n \cup W_{n-1}$ with edges of D_n given weights $(\frac{1}{2} + \varepsilon)^n$; weights of the edges of W_{n-1} stay as they were in the previous step of the construction.
 - Graphs $\{W_n\}$ are endowed with the shortest path distance.
-

Proposition

W_n 's are bilipschitz equivalent to snowflakes of D_n 's (with distortion $\leq \frac{8}{1-4\varepsilon^2}$).

Definition

Let (X, d_X) be a metric space and $0 < \alpha < 1$. The space X endowed with a modified metric $(d_X(u, v))^\alpha$ is called a *snowflake* of (X, d_X) (or an *α -snowflaked version* of (X, d_X)).

For $n \geq 2$,

$$\frac{1}{2}4^n \leq |W_n| < 4^n.$$

W_n 's do not embed into low dimensional Euclidean spaces

The distortions of embeddings of W_n into $\ell_2^{k(n)}$ can be uniformly bounded only if $k(n) \geq cn = c \log(|W_n|)$ for some $c > 0$.

Thus the Dvoretzky theorem only guarantees that W_n embed in any space of dimension $\geq C_1 |W_n|^{C_2}$, for some $C_1, C_2 > 0$.

Our proof that W_n 's admit bounded-distortion embeddings into all Banach spaces with

$$\dim X \geq \frac{1}{2} (\log_2 |W_n|)^2,$$

if X has a basis, or, in general,

$$\dim X \geq \exp(c(\log \log |W_n|)^2)$$

uses a mixture of δ -net arguments and some “linear” manipulations.

More general examples

Definition

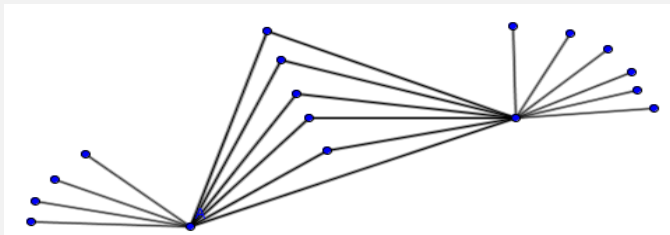
We define inductively a sequence $\{G_n\}_{n=0}^{\infty}$ of series parallel graphs, which we call *corals*.

Let $\lambda \in (\frac{1}{2}, 1)$ and $\{N_i\}_{i=0}^{\infty}$ be a sequence of natural numbers so that $N_0 = 2$ and $N_i \geq 1$ for all $i \geq 1$.

Vertices and edges of a coral come in *generations* denoted $\{V_i\}_{i=0}^{\infty}$ and $\{E_i\}_{i=0}^{\infty}$, respectively.

- $G_0 = D_0$, i.e. V_0 consists of two vertices v_0, v_1 joined by one edge of weight 1. Thus $G_0 = (V_0, E_0)$, where $|V_0| = 2$, $|E_0| = 1$.

- Suppose that $\bigcup_{i=0}^k V_i$, $\bigcup_{i=0}^k E_i$, and G_k have been defined. Let V_{k+1} be a set of cardinality N_{k+1} , disjoint with $\bigcup_{i=0}^k V_i$. The vertex set of the graph G_{k+1} is $\bigcup_{i=0}^{k+1} V_i$. The set E_{k+1} of new edges is a subset of edges joining the vertices of V_{k+1} with $\bigcup_{i=0}^k V_i$. Every edge in E_{k+1} is given weight λ^{k+1} . Edges in E_{k+1} are chosen so that each vertex in V_{k+1} has degree 1 or 2 and if a vertex $v \in V_{k+1}$ has degree 2 then it is adjacent to vertices $u, w \in \bigcup_{i=0}^k V_i$ which are joined by an edge uw in E_k , i.e. uw is of length λ^k in G_k .



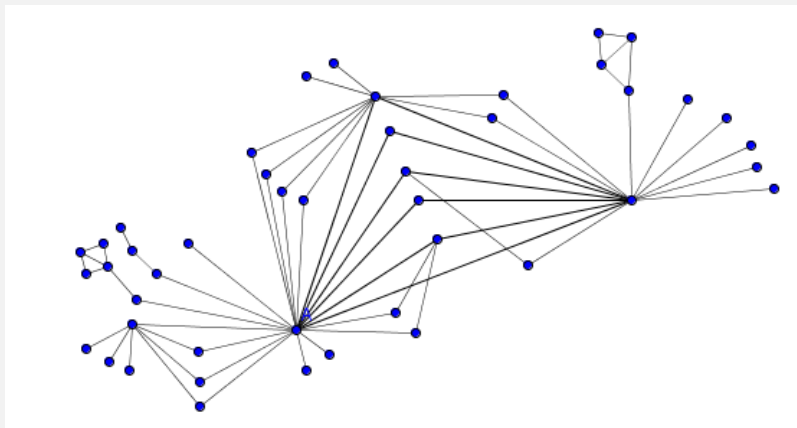


Figure: An example of a coral with a few generations

We define the function $L : \mathbb{N} \rightarrow \mathbb{N}$,

$$L(i) = \begin{cases} 1 & \text{if } i = 1, 2 \\ 2 & \text{if } i = 3, 4 \\ \lceil \log_4 i \rceil & \text{if } i \geq 5. \end{cases}$$

$L(i)$ shows the dimension which is sufficient to accommodate i δ -separated points, for $\delta = 1/16$, in the unit sphere.

Theorem

Let $C \geq 1$ and $\lambda \in (1/2, 1)$. Then there exists a constant $D = D(C, \lambda)$, so that every coral G_n with parameters λ and $\{N_i\}_{i=0}^n \subset \mathbb{N}$, D -embeds into any Banach space X which contains a basic sequence with basis constant $\leq C$ and of length

$$\sum_{i=0}^n L(N_i).$$

Part 2. Embeddings of multibranching diamonds

Theorem

Let X be a non-superreflexive Banach space.

Then for every $n, k \in \mathbb{N}$, and for every $\varepsilon > 0$, the multibranching diamond $D_{n,k}$ (n levels, k branches) embeds into X with distortion $\leq 9 + \varepsilon$.

Definition (Brunel, Sucheston 1975)

A sequence $\{e_n\}$ is called

- **equal signs additive (ESA)** if for any finitely non-zero sequence $\{a_i\}$ of real numbers such that $\text{sign } a_k = \text{sign } a_{k+1}$,

$$\left\| \sum_{i=1}^{k-1} a_i e_i + (a_k + a_{k+1}) e_k + \sum_{i=k+2}^{\infty} a_i e_i \right\| = \left\| \sum_{i=1}^{\infty} a_i e_i \right\|.$$

- **subadditive (SA)** if for any finitely non-zero sequence $\{a_i\}$

$$\left\| \sum_{i=1}^{k-1} a_i e_i + (a_k + a_{k+1}) e_k + \sum_{i=k+2}^{\infty} a_i e_i \right\| \leq \left\| \sum_{i=1}^{\infty} a_i e_i \right\|.$$

- **invariant under spreading (IS)** if for any finitely non-zero sequence $\{a_i\}$ and any increasing $(k_j)_i$

$$\left\| \sum_{i=1}^{\infty} a_i e_i \right\| = \left\| \sum_{i=1}^{\infty} a_i e_{k_i} \right\|.$$

Theorem (Brunel, Sucheston 1975) $\text{ESA} \iff (\text{SA and IS})$

Theorem (Brunel, Sucheston 1975)

For each non-superreflexive space X there is a Banach space E with an ESA basis which is finitely representable in X .

An embedding of $D_{1,k}$ into the space with the ESA basis.

We will work with sequences whose entries are 0 and ± 1 . We shall write $+1$ as $+$ and -1 as $-$.

We map the bottom of $D_{1,k}$ to 0, and the top to

$(++-- | ++-- | ++-- | ++-- | \dots | ++-- | 0000 \dots)$,

the sequence contains 2^k blocks of $++--$.

Note that the element $(++--)$ has 2 metric midpoints $(0+ -0)$ and $(+00-)$ whose distance from each other is

$$\|(+-+-)\| \geq \|(+-00)\| = \frac{1}{2} \|(++--)\|$$

The element

$$v_1 = (++-- | ++-- | ++-- | ++-- | \dots | ++-- | 0000 \dots),$$

has many midpoints.

Let r_1, \dots, r_k be the Rademachers on $\{1, 2, 3, \dots, 2^k\}$.

For $1 \leq i \leq k$, we define an element m_i using values of r_i :

$$\nu\text{-th block of } m_i = \begin{cases} 0+ -0 & \text{if } r_i(\nu) = 1, \\ +00- & \text{if } r_i(\nu) = -1. \end{cases}$$

By ESA, $\forall i$

$$\|m_i\| = \frac{1}{2} \|v_1\|$$

and

$$\|m_i - m_j\| \geq \frac{1}{4} \|v_1\|$$

$$h^{(n)} = \underbrace{+\dots+}_{2^n} - \underbrace{\dots-}_{2^n} = \sum_{l=1}^{2^n} e_l - \sum_{l=2^n+1}^{2^{n+1}} e_l.$$

The element $h^{(n)}$ is supported on the interval $[1, 2^{n+1}]$. Let

$$h_+^{(n)} = \underbrace{0\dots0}_{2^{n-1}} + \underbrace{\dots+}_{2^{n-1}} - \underbrace{\dots-}_{2^{n-1}} - \underbrace{0\dots0}_{2^{n-1}},$$

and

$$h_-^{(n)} = \underbrace{+\dots+}_{2^{n-1}} + \underbrace{0\dots0}_{2^{n-1}} + \underbrace{0\dots0}_{2^{n-1}} - \underbrace{\dots-}_{2^{n-1}}.$$

Note that by IS and ESA of the basis, we have

$$\|h_+^{(n)}\| = \|h_-^{(n)}\| = \frac{1}{2} \|h^{(n)}\| = 2^{n-1} \|e_1 - e_2\|.$$

For any $\alpha = 1, \dots, n$, and $\{\varepsilon_i\}_{i=1}^\alpha \in \{\pm 1\}_{i=1}^\alpha$, if $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}}^{(n)}$ is already defined, we define $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}, +}^{(n)}$ to be the element which has $+$ on $2^{n-\alpha}$ largest coordinates at which the element $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}}^{(n)}$ had $+$, and $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}, +}^{(n)}$ has $-$ on $2^{n-\alpha}$ smallest coordinates at which the element $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}}^{(n)}$ had $-$. We define $h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}, -}^{(n)} \stackrel{\text{def}}{=} h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}}^{(n)} - h_{\varepsilon_1, \dots, \varepsilon_{\alpha-1}, +}^{(n)}$.

$$h_{++}^{(n)} = \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{+ \dots +}_{2^{n-2}} \underbrace{- \dots -}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-1}},$$

$$h_{+-}^{(n)} = \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{+ \dots +}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{- \dots -}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-1}},$$

$$h_{-+}^{(n)} = \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{+ \dots +}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{- \dots -}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-2}},$$

$$h_{--}^{(n)} = \underbrace{+ \dots +}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{0 \dots 0}_{2^{n-1}} \underbrace{0 \dots 0}_{2^{n-2}} \underbrace{- \dots -}_{2^{n-2}},$$

and so on.

We map the bottom vertex of $D_{n,k}$ to be zero, and the top vertex $x_0^{(n)}$ to the sum 2^M disjoint shifted copies of the element $h^{(n)}$, where M is big enough,

$$x_0^{(n)} = \sum_{\nu=0}^{2^M-1} S^{2^{n+1}\nu}(h^{(n)}).$$

By IS and ESA of the basis we have

$$\|x_0^{(n)}\| = 2^n \left\| \sum_{\nu=0}^{2^M-1} S^{2^{n+1}\nu}(e_1 - e_2) \right\| = 2^n \left\| \sum_{\nu=0}^{2^M-1} S^{2\nu}(e_1 - e_2) \right\|.$$

Let $r_j, j \in \{1, \dots, M\}$, be the rademachers on $\{1, \dots, 2^M\}$. For $\alpha \leq n$, and $(j_1, \dots, j_\alpha) \in \{1, \dots, M\}^\alpha$, we denote by $r_{(j_1, \dots, j_\alpha)}$ the α -tuple of the rademachers

$$r_{(j_1, \dots, j_\alpha)} = (r_{j_l})_{l=1}^\alpha.$$

We define the image of a vertex $v_{\lambda; j_1, \dots, j_{s(\lambda)}}^{(n)}$ from level λ to be

$$x_{\lambda; j_1, \dots, j_{s(\lambda)}}^{(n)} = \sum_{\nu=0}^{2^M-1} S^{2^{n+1}\nu} \left(\sum_{\alpha=1}^{s(\lambda)} \lambda_\alpha h_{r_{\varphi(j_1, \dots, j_\alpha)}^{(n)}}^{(n)}(\nu) \right).$$

Thank you.