## Part IX, Chapter 44

## Maxwell's equations: control on the divergence

The analysis of Chapter 43 requires a coercivity property in $\boldsymbol{H}$ (curl). There is, however, a loss of coercivity when the lower bound on the model parameter $\nu$ becomes very small. This situation occurs in the following two situations: (i) in the low frequency limit $(\omega \rightarrow 0)$ when $\nu:=\mathrm{i} \omega \mu$ as in the eddy current problem; (ii) if $\kappa \in \mathbb{R}$ and $\sigma \ll \omega \epsilon$ when $\nu:=-\omega^{2} \epsilon+\mathrm{i} \omega \sigma$ as in the timeharmonic problem. We have also seen in Chapter 43 that a compactness property needs to be established to deduce an improved $\boldsymbol{L}^{2}$-error estimate by the duality argument. We show in this chapter that robust coercivity and compactness can be achieved by a weak control on the divergence of the discrete solution. The material of this chapter is based on [188].

### 44.1 Functional setting

In this section, we present the assumptions on the model problem and introduce a functional setting leading to a key smoothness result on the curl operator.

### 44.1.1 Model problem

We consider the model problem (43.9) on a Lipschitz domain $D$ in $\mathbb{R}^{3}$. For simplicity, we restrict the scope to the homogeneous Dirichlet boundary condition $\boldsymbol{A}_{\mid \partial D} \times \boldsymbol{n}=\mathbf{0}$ (so that $\partial D_{\mathrm{d}}=\partial D$ ). The weak formulation is

$$
\left\{\begin{array}{l}
\text { Find } \boldsymbol{A} \in \boldsymbol{V}_{0}:=\boldsymbol{H}_{0}(\operatorname{curl} ; D) \text { such that }  \tag{44.1}\\
a_{\nu, \kappa}(\boldsymbol{A}, \boldsymbol{b})=\ell(\boldsymbol{b}), \quad \forall \boldsymbol{b} \in \boldsymbol{V}_{0},
\end{array}\right.
$$

with $a_{\nu, \kappa}(\boldsymbol{a}, \boldsymbol{b}):=\int_{D}(\nu \boldsymbol{a} \cdot \overline{\boldsymbol{b}}+\kappa \nabla \times \boldsymbol{a} \cdot \nabla \times \overline{\boldsymbol{b}}) \mathrm{d} x$ and $\ell(\boldsymbol{b}):=\int_{D} \boldsymbol{f} \cdot \overline{\boldsymbol{b}} \mathrm{~d} x$. We assume that $\boldsymbol{f} \in \boldsymbol{L}^{2}(D)$ and that $\nabla \cdot \boldsymbol{f}=0$. The divergence-free condition on $\boldsymbol{f}$ implies the following important property on the solution $\boldsymbol{A}$ :

$$
\begin{equation*}
\nabla \cdot(\nu \boldsymbol{A})=0 \tag{44.2}
\end{equation*}
$$

Concerning the material properties $\nu$ and $\kappa$, we make the following assumptions: (i) Boundedness: $\nu, \kappa \in L^{\infty}(D ; \mathbb{C})$ and we set $\nu_{\sharp}:=\|\nu\|_{L^{\infty}(D ; \mathbb{C})}$ and $\kappa_{\sharp}:=\|\kappa\|_{L^{\infty}(D ; \mathbb{C})}$. (ii) Rotated positivity: there are real numbers $\theta, \nu_{b}>0$, and $\kappa_{b}>0$ s.t. (43.12) is satisfied, i.e.,

$$
\begin{equation*}
\underset{\boldsymbol{x} \in D}{\operatorname{ess} \inf } \Re\left(e^{\mathrm{i} \theta} \nu(\boldsymbol{x})\right) \geq \nu_{b}, \quad \underset{\boldsymbol{x} \in D}{\operatorname{ess} \inf } \Re\left(e^{\mathrm{i} \theta} \kappa(\boldsymbol{x})\right) \geq \kappa_{b} \tag{44.3}
\end{equation*}
$$

We define the contrast factors $\nu_{\sharp / b}:=\frac{\nu_{\sharp}}{\nu_{b}}$ and $\kappa_{\sharp / b}:=\frac{\kappa_{\sharp}}{\kappa_{b}}$. We also define the magnetic Reynolds number $\gamma_{\nu, \kappa}:=\nu_{\sharp} \ell_{D}^{2} \kappa_{\sharp}^{-1}$. Several magnetic Reynolds numbers can be defined if the material is highly contrasted, but we will not explore this situation further. (iii) Piecewise smoothness: there is a partition of $D$ into $M$ disjoint Lipschitz polyhedra $\left\{D_{m}\right\}_{m \in\{1: M\}}$ s.t. $\nu_{\mid D_{m}}, \kappa_{\mid D_{m}} \in$ $W^{1, \infty}\left(D_{m}\right)$ for all $m \in\{1: M\}$. The reader who is not comfortable with this assumption may think of $\nu, \kappa$ being constant without missing anything essential in the analysis.

### 44.1.2 A key smoothness result on the curl operator

Let us define the (complex-valued) functional spaces

$$
\begin{equation*}
M_{0}:=H_{0}^{1}(D), \quad M_{*}:=\left\{q \in H^{1}(D) \mid(q, 1)_{L^{2}(D)}=0\right\} \tag{44.4}
\end{equation*}
$$

as well as the following subspaces of $\boldsymbol{H}(\operatorname{curl} ; D)$ :

$$
\begin{align*}
\boldsymbol{X}_{0 \nu} & :=\left\{\boldsymbol{b} \in \boldsymbol{H}_{0}(\operatorname{curl} ; D) \mid(\nu \boldsymbol{b}, \nabla m)_{\boldsymbol{L}^{2}(D)}=0, \forall m \in M_{0}\right\}  \tag{44.5a}\\
\boldsymbol{X}_{* \kappa^{-1}} & :=\left\{\boldsymbol{b} \in \boldsymbol{H}(\operatorname{curl} ; D) \mid\left(\kappa^{-1} \boldsymbol{b}, \nabla m\right)_{\boldsymbol{L}^{2}(D)}=0, \forall m \in M_{*}\right\}, \tag{44.5b}
\end{align*}
$$

where $(\cdot, \cdot)_{\boldsymbol{L}^{2}(D)}$ denotes the inner product in $\boldsymbol{L}^{2}(D)$. The main motivation for introducing the above subspaces is that $\boldsymbol{A} \in \boldsymbol{X}_{0 \nu}$ owing to (44.2). Moreover, we will see below that $\kappa \nabla \times \boldsymbol{A} \in \boldsymbol{X}_{* \kappa^{-1}}$. Taking $m \in C_{0}^{\infty}(D)$ in (44.5a) shows that for all $\boldsymbol{b} \in \boldsymbol{X}_{0 \nu}$, the field $\nu \boldsymbol{b}$ has a weak divergence in $L^{2}(D)$ and $\nabla \cdot(\nu \boldsymbol{b})=0$. Similarly, the definition (44.5b) implies that for all $\boldsymbol{b} \in \boldsymbol{X}_{* \kappa^{-1}}$, the field $\kappa^{-1} \boldsymbol{b}$ has a weak divergence in $L^{2}(D)$ and $\nabla \cdot\left(\kappa^{-1} \boldsymbol{b}\right)=0$. Invoking the integration by parts formula (4.12) and the surjectivity of the trace map $\gamma^{\mathrm{g}}: H^{1}(D) \rightarrow H^{\frac{1}{2}}(\partial D)$ then shows that $\gamma^{\mathrm{d}}\left(\kappa^{-1} \boldsymbol{b}\right)=0$ for all $\boldsymbol{b} \in \boldsymbol{X}_{* \kappa^{-1}}$, where $\gamma^{\mathrm{d}}$ is the normal trace operator (recall that $\gamma^{\mathrm{d}}(\boldsymbol{v})=\boldsymbol{v}_{\mid \partial D} \cdot \boldsymbol{n}$ if the field $\boldsymbol{v}$ is smooth).

Let us first state a simple result related to the Helmholtz decomposition of vector fields in $\boldsymbol{V}_{0}:=\boldsymbol{H}_{0}$ (curl; $D$ ) using the subspace $\boldsymbol{X}_{0 \nu}$ (a similar result is available on $\boldsymbol{H}(\operatorname{curl} ; D)$ using the subspace $\left.\boldsymbol{X}_{* \kappa^{-1}}\right)$.

Lemma 44.1 (Helmholtz decomposition). The following holds true:

$$
\begin{equation*}
\boldsymbol{V}_{0}=\boldsymbol{X}_{0 \nu} \oplus \nabla M_{0} \tag{44.6}
\end{equation*}
$$

Proof. Let $\boldsymbol{b} \in \boldsymbol{V}_{0}$ and let $p \in M_{0}$ solve $(\nu \nabla p, \nabla q)_{\boldsymbol{L}^{2}(D)}=(\nu \boldsymbol{b}, \nabla q)_{\boldsymbol{L}^{2}(D)}$ for all $q \in M_{0}$. Our assumptions on $\nu$ imply that there is a unique solution to this problem. Then we set $\boldsymbol{v}:=\boldsymbol{b}-\nabla p$ and observe that $\boldsymbol{v} \in \boldsymbol{X}_{0 \nu}$. The sum is direct because if $\mathbf{0}=\boldsymbol{v}+\nabla p$, then the identity $\int_{D} \nu \nabla p \cdot \overline{\boldsymbol{v}} \mathrm{~d} x=0$, which holds true for all $p \in M_{0}$ and all $\boldsymbol{v} \in \boldsymbol{X}_{0 \nu}$, implies that $\nabla p=\mathbf{0}=\boldsymbol{v}$.

We can now state the main result of this section. This result extends Lemma 43.3 to heterogeneous domains. Given a smoothness index $s>0$, we set $\|\boldsymbol{b}\|_{\boldsymbol{H}^{s}(D)}:=\left(\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}+\ell_{D}^{2 s}|\boldsymbol{b}|_{\boldsymbol{H}^{s}(D)}^{2}\right)^{\frac{1}{2}}$, where $\ell_{D}$ is some characteristic length of $D$, e.g., $\ell_{D}:=\operatorname{diam}(D)$.

Lemma 44.2 (Regularity pickup). Let $D$ be a Lipschitz domain in $\mathbb{R}^{3}$. (i) Assume that the boundary $\partial D$ is connected and that $\nu$ is piecewise smooth. There exist $s>0$ and $\check{C}>0$ (depending on $D$ and the contrast factor $\nu_{\sharp / b}$ but not on $\nu_{b}$ alone) such that

$$
\begin{equation*}
\check{C} \ell_{D}^{-1}\|\boldsymbol{b}\|_{\boldsymbol{H}^{s}(D)} \leq\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}, \quad \forall \boldsymbol{b} \in \boldsymbol{X}_{0 \nu} . \tag{44.7}
\end{equation*}
$$

(ii) Assume that $D$ is simply connected and that $\kappa$ is piecewise smooth. There exist $s^{\prime}>0$ and $\check{C}^{\prime}>0$ (depending on $D$ and the contrast factor $\kappa_{\sharp / b}$ but not on $\kappa_{b}$ alone) such that

$$
\begin{equation*}
\check{C}^{\prime} \ell_{D}^{-1}\|\boldsymbol{b}\|_{\boldsymbol{H}^{s^{\prime}}(D)} \leq\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}, \quad \forall \boldsymbol{b} \in \boldsymbol{X}_{* \kappa^{-1}} \tag{44.8}
\end{equation*}
$$

Proof. See Jochmann [259], Bonito et al. [70].
Remark 44.3 (Smoothness index). There are some situations where the smoothness indices $s, s^{\prime}$ can be larger than $\frac{1}{2}$. One example is that of isolated inclusions in an otherwise homogeneous material. We refer the reader to Ciarlet [121, §5.2] for further insight and examples.

Lemma 44.2 has two important consequences. First, by restricting the smoothness index $s$ to zero in (44.7), we obtain the following important stability result on the curl operator.

Lemma 44.4 (Poincaré-Steklov). Assume that the boundary $\partial D$ is connected and that $\nu$ is piecewise smooth. There is $\hat{C}_{\mathrm{PS}}>0$ (depending on $D$ and the contrast factor $\nu_{\sharp / b}$ ) such that the following Poincaré-Steklov inequality holds true:

$$
\begin{equation*}
\hat{C}_{\mathrm{PS}} \ell_{D}^{-1}\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)} \leq\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}, \quad \forall \boldsymbol{b} \in \boldsymbol{X}_{0 \nu} \tag{44.9}
\end{equation*}
$$

The bound (44.9) is what we need to establish a coercivity property on $\boldsymbol{X}_{0 \nu}$ that is robust w.r.t. $\nu_{b}$. Indeed, we have

$$
\begin{align*}
\Re\left(e^{\mathrm{i} \theta} a_{\nu, \kappa}(\boldsymbol{b}, \boldsymbol{b})\right) & \geq \nu_{b}\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}+\kappa_{b}\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} \geq \kappa_{b}\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} \\
& \geq \frac{1}{2} \kappa_{b}\left(\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}+\hat{C}_{\mathrm{PS}}^{2} \ell_{D}^{-2}\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}\right) \\
& \geq \frac{1}{2} \kappa_{b} \ell_{D}^{-2} \min \left(1, \hat{C}_{\mathrm{PS}}^{2}\right)\|\boldsymbol{b}\|_{\boldsymbol{H}(\text { curl } ; D)}^{2} \tag{44.10}
\end{align*}
$$

for all $\boldsymbol{b} \in \boldsymbol{X}_{0 \nu}$, where we recall that $\boldsymbol{H}(\operatorname{curl} ; D)$ is equipped with the norm $\|\boldsymbol{b}\|_{\boldsymbol{H}(\operatorname{curl} ; D)}:=\left(\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}+\ell_{D}^{2}\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}\right)^{\frac{1}{2}}$. This shows that the sesquilinear form $a_{\nu, \kappa}$ is coercive on $\boldsymbol{X}_{0 \nu}$ with a coercivity constant depending on the contrast factor $\nu_{\sharp / b}$ but not on $\nu_{b}$ alone (whereas the coercivity constant on the larger space $\boldsymbol{V}_{0}$ is $\min \left(\nu_{b}, \ell_{D}^{-2} \kappa_{b}\right)($ see (43.13a))).

Let us now examine the consequences of Lemma 44.2 on the Sobolev smoothness index of $\boldsymbol{A}$ and $\nabla \times \boldsymbol{A}$. Owing to (44.7), there is $s>0$ s.t. $\boldsymbol{A} \in \boldsymbol{H}^{s}(D)$. We will see in $\S 44.3$ that the embedding $\boldsymbol{H}^{s}(D) \hookrightarrow \boldsymbol{L}^{2}(D)$ is the compactness property that we need to apply the duality argument and derive an improved $\boldsymbol{L}^{2}$-error estimate. Furthermore, the field $\boldsymbol{R}:=\kappa \nabla \times \boldsymbol{A}$ is in $\boldsymbol{X}_{* \kappa^{-1}}$ (notice in particular that $\nabla \times \boldsymbol{R}=\boldsymbol{f}-\nu \boldsymbol{A} \in \boldsymbol{L}^{2}(D)$ ), so that we deduce from (44.8) that there is $s^{\prime}>0$ s.t. $\boldsymbol{R} \in \boldsymbol{H}^{s^{\prime}}(D)$. In addition, the material property $\kappa$ being piecewise smooth, we infer that the following multiplier property holds true (see [259, Lem. 2] and [70, Prop. 2.1]): There exists $\tau>0$ and $C_{\kappa^{-1}}$ s.t.

$$
\begin{equation*}
\left|\kappa^{-1} \boldsymbol{\xi}\right|_{\boldsymbol{H}^{\tau^{\prime}}(D)} \leq C_{\kappa^{-1}}|\boldsymbol{\xi}|_{\boldsymbol{H}^{\tau^{\prime}}(D)}, \quad \forall \boldsymbol{\xi} \in \boldsymbol{H}^{\tau}(D), \quad \forall \tau^{\prime} \in[0, \tau] \tag{44.11}
\end{equation*}
$$

Letting $s^{\prime \prime}:=\min \left(s^{\prime}, \tau\right)>0$, we conclude that $\nabla \times \boldsymbol{A} \in \boldsymbol{H}^{s^{\prime \prime}}(D)$.

### 44.2 Coercivity revisited for edge elements

In this section, we revisit the $\boldsymbol{H}$ (curl)-error analysis for the approximation of the weak problem (44.1) using Nédélec (or edge) elements (see Chapters 15 and 19). The key tool we are going to use is a discrete counterpart of the Poincaré-Steklov inequality (44.9). We consider a shape-regular sequence of affine meshes $\left(\mathcal{T}_{h}\right)_{h \in \mathcal{H}}$ of $D$. We assume that $D$ is a Lipschitz polyhedron and that each mesh covers $D$ exactly.

### 44.2.1 Discrete Poincaré-Steklov inequality

Let $\boldsymbol{V}_{h 0}$ be the $\boldsymbol{H}_{0}$ (curl)-conforming space using Nédélec elements of order $k \geq 0$ defined by

$$
\begin{equation*}
\boldsymbol{V}_{h 0}:=\boldsymbol{P}_{k, 0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right):=\left\{\boldsymbol{b}_{h} \in \boldsymbol{P}_{k}^{\mathrm{c}}\left(\mathcal{T}_{h}\right) \mid \boldsymbol{b}_{h \mid \partial D} \times \boldsymbol{n}=\mathbf{0}\right\} . \tag{44.12}
\end{equation*}
$$

Observe that the Dirichlet condition is enforced strongly in $\boldsymbol{V}_{h 0}$. The discrete problem is formulated as follows:

$$
\left\{\begin{array}{l}
\text { Find } \boldsymbol{A}_{h} \in \boldsymbol{V}_{h 0} \text { such that }  \tag{44.13}\\
a_{\nu, \kappa}\left(\boldsymbol{A}_{h}, \boldsymbol{b}_{h}\right)=\ell\left(\boldsymbol{b}_{h}\right), \quad \forall \boldsymbol{b}_{h} \in \boldsymbol{V}_{h 0} .
\end{array}\right.
$$

Since it is not reasonable to consider the space $\left\{\boldsymbol{b}_{h} \in \boldsymbol{V}_{h 0} \mid \nabla \cdot\left(\nu \boldsymbol{b}_{h}\right)=0\right\}$, because the normal component of $\nu \boldsymbol{b}_{h}$ may jump across the mesh interfaces, we are going to consider instead the subspace

$$
\begin{equation*}
\boldsymbol{X}_{h 0 \nu}:=\left\{\boldsymbol{b}_{h} \in \boldsymbol{V}_{h 0} \mid\left(\nu \boldsymbol{b}_{h}, \nabla m_{h}\right)_{\boldsymbol{L}^{2}(D)}=0, \forall m_{h} \in M_{h 0}\right\} \tag{44.14}
\end{equation*}
$$

where $M_{h 0}:=P_{k+1,0}^{\mathrm{g}}\left(\mathcal{T}_{h} ; \mathbb{C}\right)$ is conforming in $H_{0}^{1}(D ; \mathbb{C})$. Note that the polynomial degrees of the finite element spaces $M_{h 0}$ and $\boldsymbol{V}_{h 0}$ are compatible in the sense that $\nabla M_{h 0} \subset \boldsymbol{V}_{h 0}$. Using this property and proceeding as in Lemma 44.1 proves the following discrete Helmholtz decomposition:

$$
\begin{equation*}
\boldsymbol{V}_{h 0}=\boldsymbol{X}_{h 0 \nu} \oplus \nabla M_{h 0} \tag{44.15}
\end{equation*}
$$

Lemma 44.5 (Discrete solution). Let $\boldsymbol{A}_{h} \in \boldsymbol{V}_{h 0}$ be the unique solution to (44.13). Then $\boldsymbol{A}_{h} \in \boldsymbol{X}_{h 0 \nu}$.
Proof. We must show that $\left(\nu \boldsymbol{A}_{h}, \nabla m_{h}\right)_{\boldsymbol{L}^{2}(D)}=0$ for all $m_{h} \in M_{h 0}$. Since $\nabla m_{h} \in \nabla M_{h 0} \subset \boldsymbol{V}_{h 0}, \nabla m_{h}$ is an admissible test function in (44.13). Recalling that $\nabla \cdot \boldsymbol{f}=0$, we infer that

$$
0=\ell\left(\nabla m_{h}\right)=a_{\nu, \kappa}\left(\boldsymbol{A}_{h}, \nabla m_{h}\right)=\left(\nu \boldsymbol{A}_{h}, \nabla m_{h}\right)_{\boldsymbol{L}^{2}(D)}
$$

since $\nabla \times\left(\nabla m_{h}\right)=\mathbf{0}$. This completes the proof.
We now establish a discrete counterpart to the Poincaré-Steklov inequality (44.9). This result is not straightforward since $\boldsymbol{X}_{h 0 \nu}$ is not a subspace of $\boldsymbol{X}_{0 \nu}$. The key tool that we are going to invoke is the stable commuting quasi-interpolation projections from §23.3.3.

Theorem 44.6 (Discrete Poincaré-Steklov). Under the assumptions of Lemma 44.4, there is a constant $\hat{C}_{\mathrm{PS}}^{\prime}>0$ (depending on $\hat{C}_{\mathrm{PS}}$, the polynomial degree $k$, the regularity of the mesh sequence, and the contrast factor $\nu_{\sharp / b}$, but not on $\nu_{b}$ alone) s.t. for all $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$ and all $h \in \mathcal{H}$,

$$
\begin{equation*}
\hat{C}_{\mathrm{PS}}^{\prime} \ell_{D}^{-1}\left\|\boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \leq\left\|\nabla \times \boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \tag{44.16}
\end{equation*}
$$

Proof. Let $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$ be a nonzero discrete field. Let $\phi\left(\boldsymbol{x}_{h}\right) \in M_{0}:=H_{0}^{1}(D)$ be the solution to the following well-posed Poisson problem:

$$
\left(\nu \nabla \phi\left(\boldsymbol{x}_{h}\right), \nabla m\right)_{\boldsymbol{L}^{2}(D)}=\left(\nu \boldsymbol{x}_{h}, \nabla m\right)_{\boldsymbol{L}^{2}(D)}, \quad \forall m \in M_{0}
$$

Let us define the curl-preserving lifting of $\boldsymbol{x}_{h}$ s.t. $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right):=\boldsymbol{x}_{h}-\nabla \phi\left(\boldsymbol{x}_{h}\right)$, and let us notice that $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) \in \boldsymbol{X}_{0 \nu}$. Upon invoking the quasi-interpolation operators $\mathcal{J}_{h 0}^{\mathrm{c}}$ and $\mathcal{J}_{h 0}^{\mathrm{d}}$ introduced in $\S 23.3 .3$, we observe that

$$
\boldsymbol{x}_{h}-\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)=\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{x}_{h}-\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)=\mathcal{J}_{h 0}^{\mathrm{c}}\left(\nabla\left(\phi\left(\boldsymbol{x}_{h}\right)\right)\right)=\nabla\left(\mathcal{J}_{h 0}^{\mathrm{g}}\left(\phi\left(\boldsymbol{x}_{h}\right)\right)\right),
$$

where we used that $\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{x}_{h}\right)=\boldsymbol{x}_{h}$ and the commuting properties of $\mathcal{J}_{h 0}^{\mathrm{g}}$ and $\mathcal{J}_{h 0}^{\mathrm{c}}$. Since $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$, we infer that $\left(\nu \boldsymbol{x}_{h}, \nabla\left(\mathcal{J}_{h 0}^{\mathrm{g}}\left(\phi\left(\boldsymbol{x}_{h}\right)\right)\right)\right)_{\boldsymbol{L}^{2}(D)}=0$, so that

$$
\begin{aligned}
\left(\nu \boldsymbol{x}_{h}, \boldsymbol{x}_{h}\right)_{\boldsymbol{L}^{2}(D)} & =\left(\nu \boldsymbol{x}_{h}, \boldsymbol{x}_{h}-\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right)_{\boldsymbol{L}^{2}(D)}+\left(\nu \boldsymbol{x}_{h}, \mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right)_{\boldsymbol{L}^{2}(D)} \\
& =\left(\nu \boldsymbol{x}_{h}, \mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right)_{\boldsymbol{L}^{2}(D)}
\end{aligned}
$$

Multiplying by $e^{\mathrm{i} \theta}$, taking the real part, and using the Cauchy-Schwarz inequality, we infer that

$$
\nu_{b}\left\|\boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)}^{2} \leq \nu_{\sharp}\left\|\boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)}\left\|\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right\|_{\boldsymbol{L}^{2}(D)}
$$

The uniform boundedness of $\mathcal{J}_{h 0}^{\text {c }}$ on $\boldsymbol{L}^{2}(D)$, together with the PoincaréSteklov inequality (44.9) and the identity $\nabla \times \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)=\nabla \times \boldsymbol{x}_{h}$, implies that

$$
\begin{aligned}
\left\|\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right\|_{\boldsymbol{L}^{2}(D)} & \leq\left\|\mathcal{J}_{h 0}^{\mathrm{c}}\right\|_{\mathcal{L}\left(\boldsymbol{L}^{2} ; \boldsymbol{L}^{2}\right)}\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)} \\
& \leq\left\|\mathcal{J}_{h 0}^{\mathrm{c}}\right\|_{\mathcal{L}\left(\boldsymbol{L}^{2} ; \boldsymbol{L}^{2}\right)} \hat{C}_{\mathrm{PS}}^{-1} \ell_{D}\left\|\nabla \times \boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)}
\end{aligned}
$$

so that (44.16) holds true with $\hat{C}_{\mathrm{PS}}^{\prime}:=\nu_{\sharp / b}^{-1}\left\|\mathcal{J}_{h 0}^{\mathrm{c}}\right\|_{\mathcal{L}\left(\boldsymbol{L}^{2} ; \boldsymbol{L}^{2}\right)}^{-1} \hat{C}_{\mathrm{PS}}$.
Remark 44.7 (Literature). There are many ways to prove the discrete Poincaré-Steklov inequality (44.16). One route described in Hiptmair [244, §4.2] consists of invoking subtle regularity estimates from Amrouche et al. [10, Lem. 4.7]. Another one, which avoids invoking regularity estimates, is based on an argument by Kikuchi [267] which is often called discrete compactness; see also Monk and Demkowicz [304], Caorsi et al. [106]. The proof is not constructive and is based on an argument by contradiction. The technique used in the proof of Theorem 44.6, inspired from Arnold et al. [23, Thm. 5.11] and Arnold et al. [26, Thm. 3.6], is more recent, and uses the stable commuting quasi-interpolation projections $\mathcal{J}_{h}^{\mathrm{c}}$ and $\mathcal{J}_{h 0}^{\mathrm{c}}$. It was already observed in Boffi [61] that the existence of stable commuting quasi-interpolation operators would imply the discrete compactness property.

### 44.2.2 $\boldsymbol{H}$ (curl)-error analysis

We are now in a position to revisit the error analysis of $\S 43.3$. Let us first show that $\boldsymbol{X}_{h 0 \nu}$ has the same approximation properties as $\boldsymbol{V}_{h 0}$ in $\boldsymbol{X}_{0 \nu}$.

Lemma 44.8 (Approximation in $\boldsymbol{X}_{h 0 \nu}$ ). There is $c$, uniform w.r.t. the model parameters, s.t. for all $\boldsymbol{A} \in \boldsymbol{X}_{0 \nu}$ and all $h \in \mathcal{H}$,

$$
\begin{equation*}
\inf _{\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}}\left\|\boldsymbol{A}-\boldsymbol{x}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} \leq c \nu_{\sharp / b} \inf _{\boldsymbol{b}_{h} \in \boldsymbol{V}_{h 0}}\left\|\boldsymbol{A}-\boldsymbol{b}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} . \tag{44.17}
\end{equation*}
$$

Proof. Let $\boldsymbol{A} \in \boldsymbol{X}_{0 \nu}$. We start by computing the Helmholtz decomposition of $\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})$ in $\boldsymbol{V}_{h 0}$ as stated in (44.15). Let $p_{h} \in M_{h 0}$ be the unique solution to the discrete Poisson problem $\left(\nu \nabla p_{h}, \nabla q_{h}\right)_{\boldsymbol{L}^{2}(D)}=\left(\nu \mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A}), \nabla q_{h}\right)_{\boldsymbol{L}^{2}(D)}$ for all $q_{h} \in M_{h 0}$. Let us define $\boldsymbol{y}_{h}:=\mathcal{J}_{h 0}^{c}(\boldsymbol{A})-\nabla p_{h}$. By construction, $\boldsymbol{y}_{h} \in \boldsymbol{X}_{h 0 \nu}$ and
$\nabla \times \boldsymbol{y}_{h}=\nabla \times \mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})$. Hence, $\left\|\nabla \times\left(\boldsymbol{A}-\boldsymbol{y}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)}=\left\|\nabla \times\left(\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right)\right\|_{\boldsymbol{L}^{2}(D)}$. Since $\nabla \cdot(\nu \boldsymbol{A})=0$, we also infer that

$$
\left(\nu \nabla p_{h}, \nabla p_{h}\right)_{\boldsymbol{L}^{2}(D)}=\left(\nu \mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A}), \nabla p_{h}\right)_{\boldsymbol{L}^{2}(D)}=\left(\nu\left(\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})-\boldsymbol{A}\right), \nabla p_{h}\right)_{\boldsymbol{L}^{2}(D)},
$$

which in turn implies that $\left\|\nabla p_{h}\right\|_{L^{2}(D)} \leq \nu_{\sharp / b}\left\|\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})-\boldsymbol{A}\right\|_{L^{2}(D)}$. The above argument shows that

$$
\begin{aligned}
\left\|\boldsymbol{A}-\boldsymbol{y}_{h}\right\|_{\boldsymbol{L}^{2}(D)} & \leq\left\|\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right\|_{\boldsymbol{L}^{2}(D)}+\left\|\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})-\boldsymbol{y}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \\
& \leq\left\|\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right\|_{\boldsymbol{L}^{2}(D)}+\left\|\nabla p_{h}\right\|_{\boldsymbol{L}^{2}(D)} \\
& \leq\left(1+\nu_{\sharp / b}\right)\left\|\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right\|_{\boldsymbol{L}^{2}(D)} .
\end{aligned}
$$

In conclusion, we have proved that

$$
\begin{aligned}
\inf _{\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}}\left\|\boldsymbol{A}-\boldsymbol{x}_{h}\right\|_{\boldsymbol{H}(\mathrm{curl} ; D)} & \leq\left\|\boldsymbol{A}-\boldsymbol{y}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} \\
& \leq\left(1+\nu_{\sharp / b}\right)\left\|\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right\|_{\boldsymbol{H}(\mathrm{curl} ; D)} .
\end{aligned}
$$

Invoking the commutation and approximation properties of the quasi-interpolation operators, we infer that

$$
\begin{aligned}
\| \boldsymbol{A} & -\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\left\|_{\boldsymbol{H}\left(\operatorname{curl}^{2} D\right)}^{2}=\right\| \boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\left\|_{\boldsymbol{L}^{2}(D)}^{2}+\ell_{D}^{2}\right\| \nabla \times\left(\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right) \|_{\boldsymbol{L}^{2}(D)}^{2} \\
& =\left\|\boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{A})\right\|_{\boldsymbol{L}^{2}(D)}^{2}+\ell_{D}^{2}\left\|\nabla \times \boldsymbol{A}-\mathcal{J}_{h 0}^{\mathrm{d}}(\nabla \times \boldsymbol{A})\right\|_{\boldsymbol{L}^{2}(D)}^{2} \\
& \leq c \inf _{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right)}\left\|\boldsymbol{A}-\boldsymbol{b}_{h}\right\|_{\boldsymbol{L}^{2}(D)}^{2}+c^{\prime} \ell_{D}^{2} \inf _{\boldsymbol{d}_{h} \in \boldsymbol{P}_{0}^{\mathrm{d}}\left(\mathcal{T}_{h}\right)}\left\|\nabla \times \boldsymbol{A}-\boldsymbol{d}_{h}\right\|_{\boldsymbol{L}^{2}(D)}^{2} \\
& \leq c \inf _{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right)}\left\|\boldsymbol{A}-\boldsymbol{b}_{h}\right\|_{\boldsymbol{L}^{2}(D)}^{2}+c^{\prime} \ell_{D}^{2} \inf _{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right)}\left\|\nabla \times\left(\boldsymbol{A}-\boldsymbol{b}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)}^{2},
\end{aligned}
$$

where the last bound follows by restricting the minimization set to $\nabla \times \boldsymbol{P}_{0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right)$ since $\nabla \times \boldsymbol{P}_{0}^{\mathrm{c}}\left(\mathcal{T}_{h}\right) \subset \boldsymbol{P}_{0}^{\mathrm{d}}\left(\mathcal{T}_{h}\right)$. The conclusion follows readily.

Theorem 44.9 ( $\boldsymbol{H}$ (curl)-error estimate). Let $\boldsymbol{A}$ solve (44.1) and let $\boldsymbol{A}_{h}$ solve (44.13). Assume that $\partial D$ is connected and that $\nu$ is piecewise smooth. There is $c$, which depends on the discrete Poincaré-Steklov constant $\hat{C}_{\mathrm{PS}}^{\prime}$ and the contrast factors $\nu_{\sharp / b}$ and $\kappa_{\sharp / b}$, s.t. for all $h \in \mathcal{H}$,

$$
\begin{equation*}
\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} \leq c \hat{\gamma}_{\nu, \kappa} \inf _{\boldsymbol{b}_{h} \in \boldsymbol{V}_{h 0}}\left\|\boldsymbol{A}-\boldsymbol{b}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} \tag{44.18}
\end{equation*}
$$

with $\hat{\gamma}_{\nu, \kappa}:=\max \left(1, \gamma_{\nu, \kappa}\right)$ and the magnetic Reynolds number $\gamma_{\nu, \kappa}:=\nu_{\sharp} \ell_{D}^{2} \kappa_{\sharp}^{-1}$.
Proof. Owing to Lemma 44.5, $\boldsymbol{A}_{h}$ also solves the following problem: Find $\boldsymbol{A}_{h} \in \boldsymbol{X}_{h 0 \nu}$ s.t.

$$
a_{\nu, \kappa}\left(\boldsymbol{A}_{h}, \boldsymbol{x}_{h}\right)=\ell\left(\boldsymbol{x}_{h}\right), \forall \boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu} .
$$

Using the discrete Poincaré-Steklov inequality (44.16) and proceeding as in (44.10), we infer that

$$
\Re\left(e^{\mathrm{i} \theta} a_{\nu, \kappa}\left(\boldsymbol{x}_{h}, \boldsymbol{x}_{h}\right)\right) \geq \frac{1}{2} \kappa_{b} \ell_{D}^{-2} \min \left(1,\left(\hat{C}_{\mathrm{PS}}^{\prime}\right)^{2}\right)\left\|\boldsymbol{x}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)}^{2}
$$

for all $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$. Hence, the above problem is well-posed. Recalling the boundedness property (43.13b) of the sesquilinear form $a_{\nu, \kappa}$ and invoking the abstract error estimate (26.18) leads to

$$
\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)} \leq \frac{2 \max \left(\nu_{\sharp}, \ell_{D}^{-2} \kappa_{\sharp}\right)}{\kappa_{b} \ell_{D}^{-2} \min \left(1,\left(\hat{C}_{\mathrm{PS}}^{\prime}\right)^{2}\right)} \inf _{\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}}\left\|\boldsymbol{A}-\boldsymbol{x}_{h}\right\|_{\boldsymbol{H}(\mathrm{curl} ; D)} .
$$

We conclude the proof by invoking Lemma 44.8.
Remark 44.10 (Neumann boundary condition). The above analysis can be adapted to handle the Neumann condition $(\kappa \nabla \times \boldsymbol{A})_{\mid \partial D} \times \boldsymbol{n}=\mathbf{0}$; see Exercise 44.3. This condition implies that $(\nabla \times(\kappa \nabla \times \boldsymbol{A}))_{\mid \partial D} \cdot \boldsymbol{n}=0$. Moreover, assuming $\boldsymbol{f}_{\mid \partial D} \cdot \boldsymbol{n}=0$ and taking the normal component of the equation $\nu \boldsymbol{A}+\nabla \times(\kappa \nabla \times \boldsymbol{A})=\boldsymbol{f}$ at the boundary gives $\boldsymbol{A}_{\mid \partial D} \cdot \boldsymbol{n}=0$. Since $\nabla \cdot \boldsymbol{f}=0$, we also have $\nabla \cdot(\nu \boldsymbol{A})=0$. In other words, we have

$$
\boldsymbol{A} \in \boldsymbol{X}_{* \nu}:=\left\{\boldsymbol{b} \in \boldsymbol{H}(\operatorname{curl} ; D) \mid(\nu \boldsymbol{b}, \nabla m)_{\boldsymbol{L}^{2}(D)}=0, \forall m \in M_{*}\right\} .
$$

The discrete spaces are now $\boldsymbol{V}_{h}:=\boldsymbol{P}_{k}^{\mathrm{c}}\left(\mathcal{T}_{h}\right)$ and $M_{h *}:=P_{k+1}^{\mathrm{g}}\left(\mathcal{T}_{h} ; \mathbb{C}\right) \cap M_{*}$. Using $\boldsymbol{V}_{h}$ for the discrete trial and test spaces, we infer that

$$
\boldsymbol{A}_{h} \in \boldsymbol{X}_{h * \nu}:=\left\{\boldsymbol{b}_{h} \in \boldsymbol{V}_{h} \mid\left(\nu \boldsymbol{b}_{h}, \nabla m_{h}\right)_{\boldsymbol{L}^{2}(D)}=0, \forall m_{h} \in M_{h *}\right\}
$$

The Poincaré-Steklov inequality (44.16) still holds true provided the assumption that $\partial D$ is connected is replaced by the assumption that $D$ is simply connected. The error analysis from Theorem 44.9 can be readily adapted.

### 44.3 The duality argument for edge elements

Our goal is to derive an improved error estimate in the $\boldsymbol{L}^{2}$-norm using a duality argument that invokes a weak control on the divergence. The subtlety is that, as already mentioned, the setting is nonconforming since $\boldsymbol{X}_{h 0 \nu}$ is not a subspace of $\boldsymbol{X}_{0 \nu}$. We assume in the section that the boundary $\partial D$ is connected and that the domain $D$ is simply connected. Recalling the smoothness indices $s, s^{\prime}>0$ from Lemma 44.2 together with the index $\tau>0$ from the multiplier property (44.11) and letting $s^{\prime \prime}:=\min \left(s^{\prime}, \tau\right)$, we have $\boldsymbol{A} \in \boldsymbol{H}^{s}(D)$ and $\nabla \times \boldsymbol{A} \in \boldsymbol{H}^{s^{\prime \prime}}(D)$ with $s, s^{\prime \prime}>0$. In what follows, we set

$$
\begin{equation*}
\sigma:=\min \left(s, s^{\prime \prime}\right) \tag{44.19}
\end{equation*}
$$

Let us first start with an approximation result on the curl-preserving lifting operator $\boldsymbol{\xi}: \boldsymbol{X}_{h 0 \nu} \rightarrow \boldsymbol{X}_{0 \nu}$ defined in the proof of Theorem 44.6. Recall that
for all $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$, the field $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) \in \boldsymbol{X}_{0 \nu}$ is s.t. $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right):=\boldsymbol{x}_{h}-\nabla \phi\left(\boldsymbol{x}_{h}\right)$ with $\phi\left(\boldsymbol{x}_{h}\right) \in H_{0}^{1}(D)$, implying that $\nabla \times \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)=\nabla \times \boldsymbol{x}_{h}$.

Lemma 44.11 (Curl-preserving lifting). Let $s>0$ be the smoothness index introduced in (44.7). There is $c$, depending on the constant $\check{C}_{D}$ from (44.7) and the contrast factor $\nu_{\sharp / b}$, s.t. for all $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$ and all $h \in \mathcal{H}$,

$$
\begin{equation*}
\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)-\boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \leq c h^{s} \ell_{D}^{1-s}\left\|\nabla \times \boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \tag{44.20}
\end{equation*}
$$

Proof. Let us set $\boldsymbol{e}_{h}:=\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)-\boldsymbol{x}_{h}$. We have seen in the proof of Theorem 44.6 that $\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)-\boldsymbol{x}_{h} \in \nabla M_{h 0}$, so that $\left(\nu \boldsymbol{e}_{h}, \mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)-\boldsymbol{x}_{h}\right)_{\boldsymbol{L}^{2}(D)}=0$ since $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) \in \boldsymbol{X}_{0 \nu}, M_{h 0} \subset M_{0}$, and $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$. Since $\boldsymbol{e}_{h}=\left(I-\mathcal{J}_{h 0}^{\mathrm{c}}\right)\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)+$ $\left(\mathcal{J}_{h 0}^{\mathrm{c}}\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)-\boldsymbol{x}_{h}\right)$, we infer that

$$
\left(\nu \boldsymbol{e}_{h}, \boldsymbol{e}_{h}\right)_{\boldsymbol{L}^{2}(D)}=\left(\nu \boldsymbol{e}_{h},\left(I-\mathcal{J}_{h 0}^{\mathrm{c}}\right)\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right)_{\boldsymbol{L}^{2}(D)},
$$

thereby implying that $\left\|\boldsymbol{e}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \leq \nu_{\sharp / b}\left\|\left(I-\mathcal{J}_{h 0}^{\mathrm{c}}\right)\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)\right\|_{\boldsymbol{L}^{2}(D)}$. Using the approximation properties of $\mathcal{J}_{h 0}^{c}$ yields

$$
\left\|\boldsymbol{e}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \leq c \nu_{\sharp / b} h^{s}\left|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right|_{\boldsymbol{H}^{s}(D)},
$$

and we conclude using the bound $\left|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right|_{\boldsymbol{H}^{s}(D)} \leq \check{C}_{D} \ell_{D}^{1-s}\left\|\nabla \times \boldsymbol{x}_{h}\right\|_{\boldsymbol{L}^{2}(D)}$ which follows from (44.7) since $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) \in \boldsymbol{X}_{0, \nu}$ and $\nabla \times \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)=\nabla \times \boldsymbol{x}_{h}$.

Lemma 44.12 (Adjoint solution). Let $\boldsymbol{y} \in \boldsymbol{X}_{0 \nu}$ and let $\boldsymbol{\zeta} \in \boldsymbol{X}_{0 \nu}$ solve the (adjoint) problem $\nu \boldsymbol{\zeta}+\nabla \times(\kappa \nabla \times \boldsymbol{\zeta}):=\nu_{b}^{-1} \nu \boldsymbol{y}$. There is $c$, depending on the constants $\hat{C}_{\mathrm{PS}}$ from (44.9), $\check{C}$, $\check{C}^{\prime}$ from (44.7)-(44.8), and the contrast factors $\nu_{\sharp / b}, \kappa_{\sharp / b}$, and $\kappa_{\sharp} C_{\kappa^{-1}}$, s.t. for all $h \in \mathcal{H}$,

$$
\begin{align*}
|\boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} & \leq c \nu_{\sharp}^{-1} \gamma_{\nu, \kappa} \ell_{D}^{-\sigma}\|\boldsymbol{y}\|_{L^{2}(D)}  \tag{44.21a}\\
|\nabla \times \boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} & \leq c \nu_{\sharp}^{-1} \gamma_{\nu, \kappa} \hat{\gamma}_{\nu, \kappa} \ell_{D}^{-1-\sigma}\|\boldsymbol{y}\|_{L^{2}(D)} \tag{44.21b}
\end{align*}
$$

Proof. Proof of (44.21a). Testing the adjoint problem with $e^{-\mathrm{i} \theta} \boldsymbol{\zeta}$ leads to $\kappa_{b}\|\nabla \times \boldsymbol{\zeta}\|_{L^{2}(D)}^{2} \leq \nu_{\sharp / b}\|\boldsymbol{y}\|_{L^{2}(D)}\|\boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)}$. Using the Poincaré-Steklov inequality (44.9), we can bound $\|\boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)}$ by $\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)}$, and altogether this gives

$$
\begin{equation*}
\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)} \leq \kappa_{\mathrm{b}}^{-1} \nu_{\sharp / b} \hat{C}_{\mathrm{PS}}^{-1} \ell_{D}\|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)} . \tag{44.22}
\end{equation*}
$$

Invoking (44.7) with $\sigma \leq s$ yields

$$
|\boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} \leq \check{C}_{D}^{-1} \ell_{D}^{1-\sigma}\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)} \leq \kappa_{b}^{-1} \nu_{\sharp / b} \check{C}_{D}^{-1} \hat{C}_{\mathrm{PS}}^{-1} \ell_{D}^{2-\sigma}\|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)},
$$

which proves (44.21a) since $\kappa_{b}^{-1} \ell_{D}^{2}=\kappa_{\sharp / b} \nu_{\sharp}^{-1} \gamma_{\nu, \kappa}$.
Proof of (44.21b). Invoking (44.8) with $\sigma \leq s^{\prime}$ for $\boldsymbol{b}:=\kappa \nabla \times \boldsymbol{\zeta}$, which is a member of $\boldsymbol{X}_{* \kappa^{-1}}$, we infer that

$$
\begin{aligned}
\check{C}_{D}^{\prime} \ell_{D}^{-1+\sigma}|\boldsymbol{b}|_{\boldsymbol{H}^{\sigma}(D)} & \leq\|\nabla \times \boldsymbol{b}\|_{L^{2}(D)}=\|\nabla \times(\kappa \nabla \times \boldsymbol{\zeta})\|_{L^{2}(D)} \\
& \leq \nu_{\sharp / b}\|\boldsymbol{y}\|_{L^{2}(D)}+\nu_{\sharp}\|\boldsymbol{\zeta}\|_{L^{2}(D)},
\end{aligned}
$$

by definition of the adjoint solution $\boldsymbol{\zeta}$ and the triangle inequality. Invoking again the Poincaré-Steklov inequality (44.9) to bound $\|\zeta\|_{L^{2}(D)}$ by $\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)}$ and using (44.22) yields $\|\boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)} \leq \kappa_{b}^{-1} \nu_{\sharp / b} \hat{C}_{\mathrm{PS}}^{-2} \ell_{D}^{2}\|\boldsymbol{y}\|_{L^{2}(D)}$. As a result, we obtain

$$
\check{C}_{D}^{\prime} \ell_{D}^{-1+\sigma}|\boldsymbol{b}|_{\boldsymbol{H}^{\sigma}(D)} \leq \nu_{\sharp / b}\left(1+\nu_{\sharp} \kappa_{b}^{-1} \hat{C}_{\mathrm{PS}}^{-2} \ell_{D}^{2}\right)\|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)},
$$

and this concludes the proof of $(44.21 \mathrm{~b})$ since $|\nabla \times \boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} \leq C_{\kappa^{-1}}|\boldsymbol{b}|_{\boldsymbol{H}^{\sigma}(D)}$ owing to the multiplier property (44.11) and $\sigma \leq \tau$.

We can now state the main result of this section.
Theorem 44.13 (Improved $\boldsymbol{L}^{2}$-error estimate). Let $\boldsymbol{A}$ solve (44.1) and let $\boldsymbol{A}_{h}$ solve (44.13). There is c, depending on the constants $\hat{C}_{\mathrm{PS}}$ from (44.9), $\check{C}$, $\breve{C}^{\prime}$ from (44.7)-(44.8), and the contrast factors $\nu_{\sharp / b}, \kappa_{\sharp / b}$, and $\kappa_{\sharp} C_{\kappa^{-1}}$, s.t. for all $h \in \mathcal{H}$,

$$
\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{L}^{2}(D)} \leq c \inf _{\boldsymbol{v}_{h} \in \boldsymbol{V}_{h 0}}\left(\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{\boldsymbol{L}^{2}(D)}+\hat{\gamma}_{\nu, \kappa}^{3} h^{\sigma} \ell_{D}^{-\sigma}\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{\boldsymbol{H}(\mathrm{curl})}\right)
$$

Proof. In this proof, we use the symbol $c$ to denote a generic positive constant that can have the same parametric dependencies as in the above statement. Let $\boldsymbol{v}_{h} \in \boldsymbol{X}_{h 0 \nu}$ and let us set $\boldsymbol{x}_{h}:=\boldsymbol{A}_{h}-\boldsymbol{v}_{h}$. We observe that $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h 0 \nu}$. Let $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)$ be the image of $\boldsymbol{x}_{h}$ by the curl-preserving lifting operator and let $\boldsymbol{\zeta} \in \boldsymbol{X}_{0 \nu}$ be the solution to the following adjoint problem:

$$
\nu \boldsymbol{\zeta}+\nabla \times(\kappa \nabla \times \boldsymbol{\zeta}):=\nu_{b}^{-1} \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) .
$$

(1) Let us first bound $\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)}$ from above. Recalling that $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)-\boldsymbol{x}_{h}=$ $-\nabla \phi\left(\boldsymbol{x}_{h}\right)$ and that $\left(\nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right), \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)-\boldsymbol{x}_{h}\right)_{\boldsymbol{L}^{2}(D)}=-\left(\nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right), \nabla \phi\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)}=$ 0 , we infer that

$$
\begin{aligned}
\left(\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right),\right. & \left.\nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)}=\left(\boldsymbol{x}_{h}, \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)} \\
& =\left(\boldsymbol{A}-\boldsymbol{v}_{h}, \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)}+\left(\boldsymbol{A}_{h}-\boldsymbol{A}, \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)} \\
& =\left(\boldsymbol{A}-\boldsymbol{v}_{h}, \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)}+\nu_{b} a_{\nu, \kappa}\left(\boldsymbol{A}_{h}-\boldsymbol{A}, \boldsymbol{\zeta}\right) \\
& =\left(\boldsymbol{A}-\boldsymbol{v}_{h}, \nu \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right)_{\boldsymbol{L}^{2}(D)}+\nu_{b} a_{\nu, \kappa}\left(\boldsymbol{A}_{h}-\boldsymbol{A}, \boldsymbol{\zeta}-\mathcal{J}_{h 0}^{\mathrm{c}}(\boldsymbol{\zeta})\right)
\end{aligned}
$$

where we used the Galerkin orthogonality property on the fourth line. Since we have $\left|a_{\nu, \kappa}(\boldsymbol{a}, \boldsymbol{b})\right| \leq \kappa_{\sharp} \ell_{D}^{-2} \hat{\gamma}_{\nu, \kappa}\|\boldsymbol{a}\|_{\boldsymbol{H}(\operatorname{curl} ; D)}\|\boldsymbol{b}\|_{\boldsymbol{H}(\text { curl; } D)}$ by (43.13b), we infer from the commutation and approximation properties of the quasiinterpolation operators that

$$
\begin{aligned}
& \left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{L^{2}(D)}^{2} \leq \nu_{\sharp / b}\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{L^{2}(D)}\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)} \\
& \quad+c \kappa_{\sharp} \ell_{D}^{-2} \hat{\gamma}_{\nu, \kappa} h^{\sigma}\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)}\left(|\boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)}+\ell_{D}|\nabla \times \boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)}\right) .
\end{aligned}
$$

Owing to the bounds from Lemma 44.12 on the adjoint solution with $\boldsymbol{y}:=$ $\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)$, we conclude that

$$
\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)} \leq \nu_{\sharp / b}\left(\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{\boldsymbol{L}^{2}(D)}+c \hat{\gamma}_{\nu, \kappa}^{2} h^{\sigma} \ell_{D}^{-\sigma}\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{H}(\operatorname{curl} ; D)}\right) .
$$

(2) The triangle inequality and the identity $\boldsymbol{A}-\boldsymbol{A}_{h}=\boldsymbol{A}-\boldsymbol{v}_{h}-\boldsymbol{x}_{h}$ imply that

$$
\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{L^{2}(D)} \leq\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{L^{2}(D)}+\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)-\boldsymbol{x}_{h}\right\|_{L^{2}(D)}+\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{L^{2}(D)} .
$$

We use Lemma 44.11 to bound the second term on the right-hand side as

$$
\begin{aligned}
\| \boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right) & -\boldsymbol{x}_{h}\left\|_{\boldsymbol{L}^{2}(D)} \leq c h^{\sigma} \ell_{D}^{1-\sigma}\right\| \nabla \times \boldsymbol{x}_{h} \|_{\boldsymbol{L}^{2}(D)} \\
& \leq c h^{\sigma} \ell_{D}^{1-\sigma}\left(\left\|\nabla \times\left(\boldsymbol{A}-\boldsymbol{v}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)}+\left\|\nabla \times\left(\boldsymbol{A}-\boldsymbol{A}_{h}\right)\right\|_{\boldsymbol{L}^{2}(D)}\right)
\end{aligned}
$$

and we use (44.18) to infer that $\left\|\boldsymbol{A}-\boldsymbol{A}_{h}\right\|_{\boldsymbol{H}(\mathrm{curl} ; D)} \leq c \hat{\gamma}_{\nu, \kappa}\left\|\boldsymbol{A}-\boldsymbol{v}_{h}\right\|_{\boldsymbol{H}(\mathrm{curl} ; D)}$. For the third term on the right-hand side, we use the bound on $\left\|\boldsymbol{\xi}\left(\boldsymbol{x}_{h}\right)\right\|_{L^{2}(D)}$ from Step (1). We conclude by taking the infimum over $\boldsymbol{v}_{h} \in \boldsymbol{X}_{h 0 \nu}$, and we use Lemma 44.8 to extend the infimum over $\boldsymbol{V}_{h 0}$.

Remark 44.14 (Literature). The construction of the curl-preserving lifting operator invoked in the proof of Theorem 44.6 and Theorem 44.13 is done in Monk [302, pp. 249-250]. The statement in Lemma 44.11 is similar to that in Monk [303, Lem. 7.6], but the present proof is simplified by the use of the commuting quasi-interpolation operators. The curl-preserving lifting of $\boldsymbol{A}-\boldsymbol{A}_{h}$ is invoked in Arnold et al. [23, Eq. (9.9)] and denoted therein by $\boldsymbol{\psi}$. The estimate of $\|\boldsymbol{\psi}\|_{L^{2}(D)}$ given one line above [23, Eq. (9.11)] is similar to (44.3) and is obtained by invoking the commuting quasi-interpolation operators constructed in [23, §5.4] for natural boundary conditions. Note that contrary to the above reference, we invoke the curl-preserving lifting of $\boldsymbol{A}_{h}-\boldsymbol{v}_{h}$ instead of $\boldsymbol{A}-\boldsymbol{A}_{h}$ and make use of Lemma 44.11, which simplifies the argument. Furthermore, the statement of Theorem 44.13 is similar to that of Zhong et al. [405, Thm. 4.1], but the present proof is simpler and does not require the smoothness index $\sigma$ to be larger than $\frac{1}{2}$.

## Exercises

Exercise 44.1 (Gradient). Let $\phi \in H_{0}^{1}(D)$. Prove that $\nabla \phi \in \boldsymbol{H}_{0}($ curl; $D)$
Exercise 44.2 (Vector potential). Let $\boldsymbol{v} \in \boldsymbol{L}^{2}(D)$ with $\left(\nu \boldsymbol{v}, \nabla m_{h}\right)_{\boldsymbol{L}^{2}(D)}=$ 0 for all $m_{h} \in M_{h 0}$. Prove that $\left(\nu \boldsymbol{v}, \boldsymbol{w}_{h}\right)_{\boldsymbol{L}^{2}(D)}=\left(\nabla \times \boldsymbol{z}_{h}, \nabla \times \boldsymbol{w}_{h}\right)_{L^{2}(D)}$ for all $\boldsymbol{w}_{h} \in \boldsymbol{V}_{h 0}$, where $\boldsymbol{z}_{h}$ solves a curl-curl problem on $\boldsymbol{X}_{h 0 \nu}$.

Exercise 44.3 (Neumann condition). Recall Remark 44.10. Assume that $D$ is simply connected so that there is $\hat{C}_{\mathrm{PS}}>0$ such that $\hat{C}_{\mathrm{PS}} \ell_{D}^{-1}\|\boldsymbol{b}\|_{L^{2}(D)} \leq$ $\|\nabla \times \boldsymbol{b}\|_{L^{2}(D)}$ for all $\boldsymbol{b} \in \boldsymbol{X}_{* \nu}$. Prove that there is $\hat{C}_{\mathrm{PS}}^{\prime}>0$ such that $\hat{C}_{\mathrm{PS}}^{\prime} \ell_{D}^{-1} \mid \boldsymbol{b}_{h}\left\|_{L^{2}(D)} \leq\right\| \nabla \times \boldsymbol{b}_{h} \|_{L^{2}(D)}$ for all $\boldsymbol{b}_{h} \in \boldsymbol{X}_{h * \nu}$. (Hint: adapt the proof of Theorem 44.6 using $\mathcal{J}_{h}^{c}$.)

Exercise 44.4 (Discrete Poincaré-Steklov for $\nabla \cdot$.). Let $\nu$ be as in §44.1.1. Let $\boldsymbol{Y}_{0 \nu}:=\left\{\boldsymbol{v} \in \boldsymbol{H}_{0}(\right.$ div $\left.; D) \mid(\nu \boldsymbol{v}, \nabla \times \boldsymbol{\phi})_{L^{2}(D)}=0, \forall \boldsymbol{\phi} \in \boldsymbol{H}_{0}(\operatorname{curl} ; D)\right\}$ and accept as a fact that there is $\hat{C}_{\mathrm{PS}}>0$ such that $\hat{C}_{\mathrm{PS}} \ell_{D}^{-1}\|\boldsymbol{v}\|_{L^{2}(D)} \leq\|\nabla \cdot \boldsymbol{v}\|_{L^{2}(D)}$ for all $\boldsymbol{v} \in \boldsymbol{Y}_{0 \nu}$. Let $k \geq 0$ and consider the discrete space $\boldsymbol{Y}_{h 0 \nu}:=\left\{\boldsymbol{v}_{h} \in\right.$ $\left.\boldsymbol{P}_{k, 0}^{\mathrm{d}}\left(\mathcal{T}_{h}\right) \mid\left(\nu \boldsymbol{v}_{h}, \nabla \times \boldsymbol{\phi}_{h}\right)_{\boldsymbol{L}^{2}(D)}=0, \forall \boldsymbol{\phi}_{h} \in \boldsymbol{P}_{k, 0}^{\mathrm{c}}\left(\mathcal{T}_{h} ; \mathbb{C}\right)\right\}$. Prove that there is $\hat{C}_{\mathrm{PS}}^{\prime}>0$ such that $\hat{C}_{\mathrm{PS}}^{\prime}\left\|\boldsymbol{v}_{h}\right\|_{L^{2}(D)} \leq \ell_{D}\left\|\nabla \cdot \boldsymbol{v}_{h}\right\|_{L^{2}(D)}$ for all $\boldsymbol{v}_{h} \in \boldsymbol{Y}_{h 0 \nu}$. (Hint: adapt the proof of Theorem 44.6 using $\mathcal{J}_{h 0}^{\mathrm{d}}$.)

