# Maxwell's equations: control on the divergence

The analysis of Chapter 43 requires a coercivity property in H(curl). There is, however, a loss of coercivity when the lower bound on the model parameter  $\nu$  becomes very small. This situation occurs in the following two situations: (i) in the low frequency limit ( $\omega \to 0$ ) when  $\nu := i\omega\mu$  as in the eddy current problem; (ii) if  $\kappa \in \mathbb{R}$  and  $\sigma \ll \omega\epsilon$  when  $\nu := -\omega^2\epsilon + i\omega\sigma$  as in the timeharmonic problem. We have also seen in Chapter 43 that a compactness property needs to be established to deduce an improved  $L^2$ -error estimate by the duality argument. We show in this chapter that robust coercivity and compactness can be achieved by a weak control on the divergence of the discrete solution. The material of this chapter is based on [188].

## 44.1 Functional setting

In this section, we present the assumptions on the model problem and introduce a functional setting leading to a key smoothness result on the curl operator.

### 44.1.1 Model problem

We consider the model problem (43.9) on a Lipschitz domain D in  $\mathbb{R}^3$ . For simplicity, we restrict the scope to the homogeneous Dirichlet boundary condition  $A_{|\partial D} \times n = 0$  (so that  $\partial D_d = \partial D$ ). The weak formulation is

$$\begin{cases} \text{Find } \boldsymbol{A} \in \boldsymbol{V}_0 := \boldsymbol{H}_0(\text{curl}; D) \text{ such that} \\ a_{\nu,\kappa}(\boldsymbol{A}, \boldsymbol{b}) = \ell(\boldsymbol{b}), \quad \forall \boldsymbol{b} \in \boldsymbol{V}_0, \end{cases}$$
(44.1)

with  $a_{\nu,\kappa}(\boldsymbol{a},\boldsymbol{b}) := \int_D (\nu \boldsymbol{a} \cdot \overline{\boldsymbol{b}} + \kappa \nabla \times \boldsymbol{a} \cdot \nabla \times \overline{\boldsymbol{b}}) \, \mathrm{d}x$  and  $\ell(\boldsymbol{b}) := \int_D \boldsymbol{f} \cdot \overline{\boldsymbol{b}} \, \mathrm{d}x$ . We assume that  $\boldsymbol{f} \in \boldsymbol{L}^2(D)$  and that  $\nabla \cdot \boldsymbol{f} = 0$ . The divergence-free condition on  $\boldsymbol{f}$  implies the following important property on the solution  $\boldsymbol{A}$ :

$$\nabla \cdot (\nu \mathbf{A}) = 0. \tag{44.2}$$

Concerning the material properties  $\nu$  and  $\kappa$ , we make the following assumptions: (i) Boundedness:  $\nu, \kappa \in L^{\infty}(D; \mathbb{C})$  and we set  $\nu_{\sharp} := \|\nu\|_{L^{\infty}(D; \mathbb{C})}$  and  $\kappa_{\sharp} := \|\kappa\|_{L^{\infty}(D; \mathbb{C})}$ . (ii) Rotated positivity: there are real numbers  $\theta, \nu_{\flat} > 0$ , and  $\kappa_{\flat} > 0$  s.t. (43.12) is satisfied, i.e.,

$$\operatorname{ess\,inf}_{\boldsymbol{x}\in D} \Re\left(e^{\mathrm{i}\theta}\nu(\boldsymbol{x})\right) \ge \nu_{\flat}, \qquad \operatorname{ess\,inf}_{\boldsymbol{x}\in D} \Re\left(e^{\mathrm{i}\theta}\kappa(\boldsymbol{x})\right) \ge \kappa_{\flat}. \tag{44.3}$$

We define the contrast factors  $\nu_{\sharp/\flat} := \frac{\nu_{\sharp}}{\nu_{\flat}}$  and  $\kappa_{\sharp/\flat} := \frac{\kappa_{\sharp}}{\kappa_{\flat}}$ . We also define the magnetic Reynolds number  $\gamma_{\nu,\kappa} := \nu_{\sharp} \ell_D^2 \kappa_{\sharp}^{-1}$ . Several magnetic Reynolds numbers can be defined if the material is highly contrasted, but we will not explore this situation further. (iii) Piecewise smoothness: there is a partition of D into M disjoint Lipschitz polyhedra  $\{D_m\}_{m \in \{1:M\}}$  s.t.  $\nu_{|D_m}, \kappa_{|D_m} \in$  $W^{1,\infty}(D_m)$  for all  $m \in \{1:M\}$ . The reader who is not comfortable with this assumption may think of  $\nu, \kappa$  being constant without missing anything essential in the analysis.

#### 44.1.2 A key smoothness result on the curl operator

Let us define the (complex-valued) functional spaces

$$M_0 := H_0^1(D), \qquad M_* := \{ q \in H^1(D) \mid (q, 1)_{L^2(D)} = 0 \}, \tag{44.4}$$

as well as the following subspaces of  $H(\operatorname{curl}; D)$ :

$$\boldsymbol{X}_{0\nu} := \{ \boldsymbol{b} \in \boldsymbol{H}_0(\operatorname{curl}; D) \mid (\nu \boldsymbol{b}, \nabla m)_{\boldsymbol{L}^2(D)} = 0, \ \forall m \in M_0 \},$$
(44.5a)

$$\boldsymbol{X}_{*\kappa^{-1}} := \{ \boldsymbol{b} \in \boldsymbol{H}(\operatorname{curl}; D) \mid (\kappa^{-1}\boldsymbol{b}, \nabla m)_{\boldsymbol{L}^{2}(D)} = 0, \ \forall m \in M_{*} \}, \quad (44.5b)$$

where  $(\cdot, \cdot)_{L^2(D)}$  denotes the inner product in  $L^2(D)$ . The main motivation for introducing the above subspaces is that  $A \in X_{0\nu}$  owing to (44.2). Moreover, we will see below that  $\kappa \nabla \times A \in X_{*\kappa^{-1}}$ . Taking  $m \in C_0^{\infty}(D)$  in (44.5a) shows that for all  $\mathbf{b} \in X_{0\nu}$ , the field  $\nu \mathbf{b}$  has a weak divergence in  $L^2(D)$  and  $\nabla \cdot (\nu \mathbf{b}) = 0$ . Similarly, the definition (44.5b) implies that for all  $\mathbf{b} \in X_{*\kappa^{-1}}$ , the field  $\kappa^{-1}\mathbf{b}$  has a weak divergence in  $L^2(D)$  and  $\nabla \cdot (\kappa^{-1}\mathbf{b}) = 0$ . Invoking the integration by parts formula (4.12) and the surjectivity of the trace map  $\gamma^{\mathrm{g}} : H^1(D) \to H^{\frac{1}{2}}(\partial D)$  then shows that  $\gamma^{\mathrm{d}}(\kappa^{-1}\mathbf{b}) = 0$  for all  $\mathbf{b} \in X_{*\kappa^{-1}}$ , where  $\gamma^{\mathrm{d}}$  is the normal trace operator (recall that  $\gamma^{\mathrm{d}}(\mathbf{v}) = \mathbf{v}_{|\partial D} \cdot \mathbf{n}$  if the field  $\mathbf{v}$  is smooth).

Let us first state a simple result related to the Helmholtz decomposition of vector fields in  $V_0 := H_0(\operatorname{curl}; D)$  using the subspace  $X_{0\nu}$  (a similar result is available on  $H(\operatorname{curl}; D)$  using the subspace  $X_{*\kappa^{-1}}$ ).

Lemma 44.1 (Helmholtz decomposition). The following holds true:

$$\boldsymbol{V}_0 = \boldsymbol{X}_{0\nu} \oplus \nabla M_0. \tag{44.6}$$

*Proof.* Let  $\mathbf{b} \in \mathbf{V}_0$  and let  $p \in M_0$  solve  $(\nu \nabla p, \nabla q)_{\mathbf{L}^2(D)} = (\nu \mathbf{b}, \nabla q)_{\mathbf{L}^2(D)}$  for all  $q \in M_0$ . Our assumptions on  $\nu$  imply that there is a unique solution to this problem. Then we set  $\mathbf{v} := \mathbf{b} - \nabla p$  and observe that  $\mathbf{v} \in \mathbf{X}_{0\nu}$ . The sum is direct because if  $\mathbf{0} = \mathbf{v} + \nabla p$ , then the identity  $\int_D \nu \nabla p \cdot \overline{\mathbf{v}} \, dx = 0$ , which holds true for all  $p \in M_0$  and all  $\mathbf{v} \in \mathbf{X}_{0\nu}$ , implies that  $\nabla p = \mathbf{0} = \mathbf{v}$ .

We can now state the main result of this section. This result extends Lemma 43.3 to heterogeneous domains. Given a smoothness index s > 0, we set  $\|\boldsymbol{b}\|_{\boldsymbol{H}^{s}(D)} := (\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} + \ell_{D}^{2s}|\boldsymbol{b}|_{\boldsymbol{H}^{s}(D)}^{2})^{\frac{1}{2}}$ , where  $\ell_{D}$  is some characteristic length of D, e.g.,  $\ell_{D} := \operatorname{diam}(D)$ .

**Lemma 44.2 (Regularity pickup).** Let D be a Lipschitz domain in  $\mathbb{R}^3$ . (i) Assume that the boundary  $\partial D$  is connected and that  $\nu$  is piecewise smooth. There exist s > 0 and  $\check{C} > 0$  (depending on D and the contrast factor  $\nu_{\sharp/\flat}$ but not on  $\nu_{\flat}$  alone) such that

$$\check{C}\ell_D^{-1} \|\boldsymbol{b}\|_{\boldsymbol{H}^s(D)} \le \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^2(D)}, \qquad \forall \boldsymbol{b} \in \boldsymbol{X}_{0\nu}.$$
(44.7)

(ii) Assume that D is simply connected and that  $\kappa$  is piecewise smooth. There exist s' > 0 and  $\check{C}' > 0$  (depending on D and the contrast factor  $\kappa_{\sharp/\flat}$  but not on  $\kappa_{\flat}$  alone) such that

$$\check{C}'\ell_D^{-1} \|\boldsymbol{b}\|_{\boldsymbol{H}^{s'}(D)} \le \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^2(D)}, \qquad \forall \boldsymbol{b} \in \boldsymbol{X}_{*\kappa^{-1}}.$$
(44.8)

Proof. See Jochmann [259], Bonito et al. [70].

**Remark 44.3 (Smoothness index).** There are some situations where the smoothness indices s, s' can be larger than  $\frac{1}{2}$ . One example is that of isolated inclusions in an otherwise homogeneous material. We refer the reader to Ciarlet [121, §5.2] for further insight and examples.

Lemma 44.2 has two important consequences. First, by restricting the smoothness index s to zero in (44.7), we obtain the following important stability result on the curl operator.

**Lemma 44.4 (Poincaré–Steklov).** Assume that the boundary  $\partial D$  is connected and that  $\nu$  is piecewise smooth. There is  $\hat{C}_{PS} > 0$  (depending on D and the contrast factor  $\nu_{\sharp/\flat}$ ) such that the following Poincaré–Steklov inequality holds true:

$$\hat{C}_{\text{PS}}\ell_D^{-1} \|\boldsymbol{b}\|_{\boldsymbol{L}^2(D)} \le \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^2(D)}, \qquad \forall \boldsymbol{b} \in \boldsymbol{X}_{0\nu}.$$
(44.9)

The bound (44.9) is what we need to establish a coercivity property on  $X_{0\nu}$  that is robust w.r.t.  $\nu_{\flat}$ . Indeed, we have

$$\Re\left(e^{\mathbf{i}\theta}a_{\nu,\kappa}(\boldsymbol{b},\boldsymbol{b})\right) \geq \nu_{\flat} \|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} + \kappa_{\flat} \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} \geq \kappa_{\flat} \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2}$$
$$\geq \frac{1}{2}\kappa_{\flat}(\|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2} + \hat{C}_{\mathrm{PS}}^{2}\ell_{D}^{-2}\|\boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)}^{2})$$
$$\geq \frac{1}{2}\kappa_{\flat}\ell_{D}^{-2}\min(1,\hat{C}_{\mathrm{PS}}^{2})\|\boldsymbol{b}\|_{\boldsymbol{H}(\mathrm{curl};D)}^{2}, \qquad (44.10)$$

for all  $\boldsymbol{b} \in \boldsymbol{X}_{0\nu}$ , where we recall that  $\boldsymbol{H}(\operatorname{curl}; D)$  is equipped with the norm  $\|\boldsymbol{b}\|_{\boldsymbol{H}(\operatorname{curl};D)} := (\|\boldsymbol{b}\|_{\boldsymbol{L}^2(D)}^2 + \ell_D^2 \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^2(D)}^2)^{\frac{1}{2}}$ . This shows that the sesquilinear form  $a_{\nu,\kappa}$  is coercive on  $\boldsymbol{X}_{0\nu}$  with a coercivity constant depending on the contrast factor  $\nu_{\sharp/\flat}$  but not on  $\nu_\flat$  alone (whereas the coercivity constant on the larger space  $\boldsymbol{V}_0$  is  $\min(\nu_\flat, \ell_D^{-2}\kappa_\flat)$  (see (43.13a))).

Let us now examine the consequences of Lemma 44.2 on the Sobolev smoothness index of A and  $\nabla \times A$ . Owing to (44.7), there is s > 0 s.t.  $A \in H^s(D)$ . We will see in §44.3 that the embedding  $H^s(D) \hookrightarrow L^2(D)$ is the compactness property that we need to apply the duality argument and derive an improved  $L^2$ -error estimate. Furthermore, the field  $R := \kappa \nabla \times A$ is in  $X_{*\kappa^{-1}}$  (notice in particular that  $\nabla \times R = f - \nu A \in L^2(D)$ ), so that we deduce from (44.8) that there is s' > 0 s.t.  $R \in H^{s'}(D)$ . In addition, the material property  $\kappa$  being piecewise smooth, we infer that the following multiplier property holds true (see [259, Lem. 2] and [70, Prop. 2.1]): There exists  $\tau > 0$  and  $C_{\kappa^{-1}}$  s.t.

$$|\kappa^{-1}\boldsymbol{\xi}|_{\boldsymbol{H}^{\tau'}(D)} \leq C_{\kappa^{-1}}|\boldsymbol{\xi}|_{\boldsymbol{H}^{\tau'}(D)}, \qquad \forall \boldsymbol{\xi} \in \boldsymbol{H}^{\tau}(D), \quad \forall \tau' \in [0,\tau].$$
(44.11)

Letting  $s'' := \min(s', \tau) > 0$ , we conclude that  $\nabla \times \mathbf{A} \in \mathbf{H}^{s''}(D)$ .

# 44.2 Coercivity revisited for edge elements

In this section, we revisit the H(curl)-error analysis for the approximation of the weak problem (44.1) using Nédélec (or edge) elements (see Chapters 15 and 19). The key tool we are going to use is a discrete counterpart of the Poincaré–Steklov inequality (44.9). We consider a shape-regular sequence of affine meshes  $(\mathcal{T}_h)_{h\in\mathcal{H}}$  of D. We assume that D is a Lipschitz polyhedron and that each mesh covers D exactly.

### 44.2.1 Discrete Poincaré–Steklov inequality

Let  $V_{h0}$  be the  $H_0(\text{curl})$ -conforming space using Nédélec elements of order  $k \ge 0$  defined by

$$\boldsymbol{V}_{h0} \coloneqq \boldsymbol{P}_{k,0}^{c}(\mathcal{T}_{h}) \coloneqq \{\boldsymbol{b}_{h} \in \boldsymbol{P}_{k}^{c}(\mathcal{T}_{h}) \mid \boldsymbol{b}_{h|\partial D} \times \boldsymbol{n} = \boldsymbol{0}\}.$$
(44.12)

Observe that the Dirichlet condition is enforced strongly in  $V_{h0}$ . The discrete problem is formulated as follows:

$$\begin{cases} \text{Find } \boldsymbol{A}_h \in \boldsymbol{V}_{h0} \text{ such that} \\ a_{\nu,\kappa}(\boldsymbol{A}_h, \boldsymbol{b}_h) = \ell(\boldsymbol{b}_h), \quad \forall \boldsymbol{b}_h \in \boldsymbol{V}_{h0}. \end{cases}$$
(44.13)

Since it is not reasonable to consider the space  $\{\boldsymbol{b}_h \in \boldsymbol{V}_{h0} \mid \nabla \cdot (\nu \boldsymbol{b}_h) = 0\}$ , because the normal component of  $\nu \boldsymbol{b}_h$  may jump across the mesh interfaces, we are going to consider instead the subspace

$$\boldsymbol{X}_{h0\nu} := \{ \boldsymbol{b}_h \in \boldsymbol{V}_{h0} \mid (\nu \boldsymbol{b}_h, \nabla m_h)_{\boldsymbol{L}^2(D)} = 0, \ \forall m_h \in M_{h0} \},$$
(44.14)

where  $M_{h0} := P_{k+1,0}^{g}(\mathcal{T}_{h}; \mathbb{C})$  is conforming in  $H_{0}^{1}(D; \mathbb{C})$ . Note that the polynomial degrees of the finite element spaces  $M_{h0}$  and  $V_{h0}$  are compatible in the sense that  $\nabla M_{h0} \subset V_{h0}$ . Using this property and proceeding as in Lemma 44.1 proves the following discrete Helmholtz decomposition:

$$\boldsymbol{V}_{h0} = \boldsymbol{X}_{h0\nu} \oplus \nabla M_{h0}. \tag{44.15}$$

Lemma 44.5 (Discrete solution). Let  $A_h \in V_{h0}$  be the unique solution to (44.13). Then  $A_h \in X_{h0\nu}$ .

*Proof.* We must show that  $(\nu A_h, \nabla m_h)_{L^2(D)} = 0$  for all  $m_h \in M_{h0}$ . Since  $\nabla m_h \in \nabla M_{h0} \subset V_{h0}, \nabla m_h$  is an admissible test function in (44.13). Recalling that  $\nabla \cdot f = 0$ , we infer that

$$0 = \ell(\nabla m_h) = a_{\nu,\kappa}(\boldsymbol{A}_h, \nabla m_h) = (\nu \boldsymbol{A}_h, \nabla m_h)_{\boldsymbol{L}^2(D)},$$

since  $\nabla \times (\nabla m_h) = \mathbf{0}$ . This completes the proof.

We now establish a discrete counterpart to the Poincaré–Steklov inequality (44.9). This result is not straightforward since  $X_{h0\nu}$  is not a subspace of  $X_{0\nu}$ . The key tool that we are going to invoke is the stable commuting quasi-interpolation projections from §23.3.3.

**Theorem 44.6 (Discrete Poincaré–Steklov).** Under the assumptions of Lemma 44.4, there is a constant  $\hat{C}'_{PS} > 0$  (depending on  $\hat{C}_{PS}$ , the polynomial degree k, the regularity of the mesh sequence, and the contrast factor  $\nu_{\sharp/\flat}$ , but not on  $\nu_{\flat}$  alone) s.t. for all  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$  and all  $h \in \mathcal{H}$ ,

$$\hat{C}_{PS}^{\prime}\ell_{D}^{-1}\|\boldsymbol{x}_{h}\|_{\boldsymbol{L}^{2}(D)} \leq \|\nabla \times \boldsymbol{x}_{h}\|_{\boldsymbol{L}^{2}(D)}.$$
(44.16)

*Proof.* Let  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$  be a nonzero discrete field. Let  $\phi(\boldsymbol{x}_h) \in M_0 := H_0^1(D)$  be the solution to the following well-posed Poisson problem:

$$(\nu \nabla \phi(\boldsymbol{x}_h), \nabla m)_{\boldsymbol{L}^2(D)} = (\nu \boldsymbol{x}_h, \nabla m)_{\boldsymbol{L}^2(D)}, \quad \forall m \in M_0.$$

Let us define the *curl-preserving lifting* of  $\boldsymbol{x}_h$  s.t.  $\boldsymbol{\xi}(\boldsymbol{x}_h) \coloneqq \boldsymbol{x}_h - \nabla \phi(\boldsymbol{x}_h)$ , and let us notice that  $\boldsymbol{\xi}(\boldsymbol{x}_h) \in \boldsymbol{X}_{0\nu}$ . Upon invoking the quasi-interpolation operators  $\mathcal{J}_{h0}^c$  and  $\mathcal{J}_{h0}^d$  introduced in §23.3.3, we observe that

$$oldsymbol{x}_h - \mathcal{J}^{ ext{c}}_{h0}(oldsymbol{\xi}(oldsymbol{x}_h)) = \mathcal{J}^{ ext{c}}_{h0}(oldsymbol{x}_h)) = \mathcal{J}^{ ext{c}}_{h0}(
abla(oldsymbol{x}_h))) = 
abla(oldsymbol{J}^{ ext{g}}_{h0}(\phi(oldsymbol{x}_h))) = 
abla(oldsymbol{J}^{ ext{g}}$$

where we used that  $\mathcal{J}_{h0}^{c}(\boldsymbol{x}_{h}) = \boldsymbol{x}_{h}$  and the commuting properties of  $\mathcal{J}_{h0}^{g}$  and  $\mathcal{J}_{h0}^{c}$ . Since  $\boldsymbol{x}_{h} \in \boldsymbol{X}_{h0\nu}$ , we infer that  $(\nu \boldsymbol{x}_{h}, \nabla(\mathcal{J}_{h0}^{g}(\phi(\boldsymbol{x}_{h}))))_{\boldsymbol{L}^{2}(D)} = 0$ , so that

$$\begin{aligned} (\nu \boldsymbol{x}_h, \boldsymbol{x}_h)_{\boldsymbol{L}^2(D)} &= (\nu \boldsymbol{x}_h, \boldsymbol{x}_h - \mathcal{J}_{h0}^{c}(\boldsymbol{\xi}(\boldsymbol{x}_h)))_{\boldsymbol{L}^2(D)} + (\nu \boldsymbol{x}_h, \mathcal{J}_{h0}^{c}(\boldsymbol{\xi}(\boldsymbol{x}_h)))_{\boldsymbol{L}^2(D)} \\ &= (\nu \boldsymbol{x}_h, \mathcal{J}_{h0}^{c}(\boldsymbol{\xi}(\boldsymbol{x}_h)))_{\boldsymbol{L}^2(D)}. \end{aligned}$$

Multiplying by  $e^{i\theta}$ , taking the real part, and using the Cauchy–Schwarz inequality, we infer that

$$\|m{x}_{h}\|_{L^{2}(D)}^{2} \leq 
u_{\sharp}\|m{x}_{h}\|_{L^{2}(D)}\|\mathcal{J}_{h0}^{c}(m{\xi}(m{x}_{h}))\|_{L^{2}(D)}.$$

The uniform boundedness of  $\mathcal{J}_{h0}^{c}$  on  $L^{2}(D)$ , together with the Poincaré– Steklov inequality (44.9) and the identity  $\nabla \times \boldsymbol{\xi}(\boldsymbol{x}_{h}) = \nabla \times \boldsymbol{x}_{h}$ , implies that

$$\begin{split} \|\mathcal{J}_{h0}^{\rm c}(\boldsymbol{\xi}(\boldsymbol{x}_h))\|_{\boldsymbol{L}^2(D)} &\leq \|\mathcal{J}_{h0}^{\rm c}\|_{\mathcal{L}(\boldsymbol{L}^2;\boldsymbol{L}^2)}\|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{\boldsymbol{L}^2(D)} \\ &\leq \|\mathcal{J}_{h0}^{\rm c}\|_{\mathcal{L}(\boldsymbol{L}^2;\boldsymbol{L}^2)}\hat{C}_{\rm PS}^{-1}\ell_D\|\nabla\times\boldsymbol{x}_h\|_{\boldsymbol{L}^2(D)}, \end{split}$$

so that (44.16) holds true with  $\hat{C}'_{PS} := \nu_{\sharp/\flat}^{-1} \|\mathcal{J}_{h0}^{c}\|_{\mathcal{L}(L^{2};L^{2})}^{-1} \hat{C}_{PS}.$ 

**Remark 44.7 (Literature).** There are many ways to prove the discrete Poincaré–Steklov inequality (44.16). One route described in Hiptmair [244, §4.2] consists of invoking subtle regularity estimates from Amrouche et al. [10, Lem. 4.7]. Another one, which avoids invoking regularity estimates, is based on an argument by Kikuchi [267] which is often called *discrete compactness*; see also Monk and Demkowicz [304], Caorsi et al. [106]. The proof is not constructive and is based on an argument by contradiction. The technique used in the proof of Theorem 44.6, inspired from Arnold et al. [23, Thm. 5.11] and Arnold et al. [26, Thm. 3.6], is more recent, and uses the stable commuting quasi-interpolation projections  $\mathcal{J}_h^c$  and  $\mathcal{J}_{h0}^c$ . It was already observed in Boffi [61] that the existence of stable commuting quasi-interpolation operators would imply the discrete compactness property.

#### 44.2.2 H(curl)-error analysis

We are now in a position to revisit the error analysis of §43.3. Let us first show that  $X_{h0\nu}$  has the same approximation properties as  $V_{h0}$  in  $X_{0\nu}$ .

**Lemma 44.8 (Approximation in**  $X_{h0\nu}$ ). There is c, uniform w.r.t. the model parameters, s.t. for all  $A \in X_{0\nu}$  and all  $h \in \mathcal{H}$ ,

$$\inf_{\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}} \|\boldsymbol{A} - \boldsymbol{x}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \le c \,\nu_{\sharp/\flat} \inf_{\boldsymbol{b}_h \in \boldsymbol{V}_{h0}} \|\boldsymbol{A} - \boldsymbol{b}_h\|_{\boldsymbol{H}(\operatorname{curl};D)}.$$
(44.17)

*Proof.* Let  $\mathbf{A} \in \mathbf{X}_{0\nu}$ . We start by computing the Helmholtz decomposition of  $\mathcal{J}_{h0}^{c}(\mathbf{A})$  in  $\mathbf{V}_{h0}$  as stated in (44.15). Let  $p_h \in M_{h0}$  be the unique solution to the discrete Poisson problem  $(\nu \nabla p_h, \nabla q_h)_{\mathbf{L}^2(D)} = (\nu \mathcal{J}_{h0}^{c}(\mathbf{A}), \nabla q_h)_{\mathbf{L}^2(D)}$  for all  $q_h \in M_{h0}$ . Let us define  $\mathbf{y}_h := \mathcal{J}_{h0}^{c}(\mathbf{A}) - \nabla p_h$ . By construction,  $\mathbf{y}_h \in \mathbf{X}_{h0\nu}$  and

 $\nabla \times \boldsymbol{y}_h = \nabla \times \mathcal{J}_{h0}^{c}(\boldsymbol{A}). \text{ Hence, } \|\nabla \times (\boldsymbol{A} - \boldsymbol{y}_h)\|_{\boldsymbol{L}^2(D)} = \|\nabla \times (\boldsymbol{A} - \mathcal{J}_{h0}^{c}(\boldsymbol{A}))\|_{\boldsymbol{L}^2(D)}.$ Since  $\nabla \cdot (\boldsymbol{\nu} \boldsymbol{A}) = 0$ , we also infer that

$$(\nu \nabla p_h, \nabla p_h)_{\boldsymbol{L}^2(D)} = (\nu \mathcal{J}_{h0}^{c}(\boldsymbol{A}), \nabla p_h)_{\boldsymbol{L}^2(D)} = (\nu (\mathcal{J}_{h0}^{c}(\boldsymbol{A}) - \boldsymbol{A}), \nabla p_h)_{\boldsymbol{L}^2(D)},$$

which in turn implies that  $\|\nabla p_h\|_{L^2(D)} \leq \nu_{\sharp/\flat} \|\mathcal{J}_{h0}^c(A) - A\|_{L^2(D)}$ . The above argument shows that

$$\begin{split} \|\boldsymbol{A} - \boldsymbol{y}_h\|_{\boldsymbol{L}^2(D)} &\leq \|\boldsymbol{A} - \mathcal{J}_{h0}^{\mathrm{c}}(\boldsymbol{A})\|_{\boldsymbol{L}^2(D)} + \|\mathcal{J}_{h0}^{\mathrm{c}}(\boldsymbol{A}) - \boldsymbol{y}_h\|_{\boldsymbol{L}^2(D)} \\ &\leq \|\boldsymbol{A} - \mathcal{J}_{h0}^{\mathrm{c}}(\boldsymbol{A})\|_{\boldsymbol{L}^2(D)} + \|\nabla p_h\|_{\boldsymbol{L}^2(D)} \\ &\leq (1 + \nu_{\sharp/\flat})\|\boldsymbol{A} - \mathcal{J}_{h0}^{\mathrm{c}}(\boldsymbol{A})\|_{\boldsymbol{L}^2(D)}. \end{split}$$

In conclusion, we have proved that

$$\inf_{\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}} \|\boldsymbol{A} - \boldsymbol{x}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \leq \|\boldsymbol{A} - \boldsymbol{y}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \\ \leq (1 + \nu_{\sharp/\flat}) \|\boldsymbol{A} - \mathcal{J}_{h0}^{\mathsf{c}}(\boldsymbol{A})\|_{\boldsymbol{H}(\operatorname{curl};D)}.$$

Invoking the commutation and approximation properties of the quasi-interpolation operators, we infer that

$$\begin{split} \|\boldsymbol{A} - \mathcal{J}_{h0}^{c}(\boldsymbol{A})\|_{\boldsymbol{H}(\mathrm{curl};D)}^{2} &= \|\boldsymbol{A} - \mathcal{J}_{h0}^{c}(\boldsymbol{A})\|_{\boldsymbol{L}^{2}(D)}^{2} + \ell_{D}^{2} \|\nabla \times (\boldsymbol{A} - \mathcal{J}_{h0}^{c}(\boldsymbol{A}))\|_{\boldsymbol{L}^{2}(D)}^{2} \\ &= \|\boldsymbol{A} - \mathcal{J}_{h0}^{c}(\boldsymbol{A})\|_{\boldsymbol{L}^{2}(D)}^{2} + \ell_{D}^{2} \|\nabla \times \boldsymbol{A} - \mathcal{J}_{h0}^{d}(\nabla \times \boldsymbol{A})\|_{\boldsymbol{L}^{2}(D)}^{2} \\ &\leq c \inf_{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{c}(\mathcal{T}_{h})} \|\boldsymbol{A} - \boldsymbol{b}_{h}\|_{\boldsymbol{L}^{2}(D)}^{2} + c'\ell_{D}^{2} \inf_{\boldsymbol{d}_{h} \in \boldsymbol{P}_{0}^{d}(\mathcal{T}_{h})} \|\nabla \times \boldsymbol{A} - \boldsymbol{d}_{h}\|_{\boldsymbol{L}^{2}(D)}^{2} \\ &\leq c \inf_{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{c}(\mathcal{T}_{h})} \|\boldsymbol{A} - \boldsymbol{b}_{h}\|_{\boldsymbol{L}^{2}(D)}^{2} + c'\ell_{D}^{2} \inf_{\boldsymbol{b}_{h} \in \boldsymbol{P}_{0}^{c}(\mathcal{T}_{h})} \|\nabla \times (\boldsymbol{A} - \boldsymbol{b}_{h})\|_{\boldsymbol{L}^{2}(D)}^{2}, \end{split}$$

where the last bound follows by restricting the minimization set to  $\nabla \times P_0^c(\mathcal{T}_h)$ since  $\nabla \times P_0^c(\mathcal{T}_h) \subset P_0^d(\mathcal{T}_h)$ . The conclusion follows readily.  $\Box$ 

**Theorem 44.9 (H**(curl)-error estimate). Let A solve (44.1) and let  $A_h$  solve (44.13). Assume that  $\partial D$  is connected and that  $\nu$  is piecewise smooth. There is c, which depends on the discrete Poincaré–Steklov constant  $\hat{C}'_{PS}$  and the contrast factors  $\nu_{\sharp/\flat}$  and  $\kappa_{\sharp/\flat}$ , s.t. for all  $h \in \mathcal{H}$ ,

$$\|\boldsymbol{A} - \boldsymbol{A}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \le c \,\hat{\gamma}_{\nu,\kappa} \inf_{\boldsymbol{b}_h \in \boldsymbol{V}_{h0}} \|\boldsymbol{A} - \boldsymbol{b}_h\|_{\boldsymbol{H}(\operatorname{curl};D)},$$
(44.18)

with  $\hat{\gamma}_{\nu,\kappa} := \max(1, \gamma_{\nu,\kappa})$  and the magnetic Reynolds number  $\gamma_{\nu,\kappa} := \nu_{\sharp} \ell_D^2 \kappa_{\sharp}^{-1}$ .

*Proof.* Owing to Lemma 44.5,  $A_h$  also solves the following problem: Find  $A_h \in X_{h0\nu}$  s.t.

$$a_{\nu,\kappa}(\boldsymbol{A}_h, \boldsymbol{x}_h) = \ell(\boldsymbol{x}_h), \forall \boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu},$$

Using the discrete Poincaré–Steklov inequality (44.16) and proceeding as in (44.10), we infer that

 $\square$ 

$$\Re\left(e^{\mathbf{i}\theta}a_{\nu,\kappa}(\boldsymbol{x}_h,\boldsymbol{x}_h)\right) \geq \frac{1}{2}\kappa_{\flat}\ell_D^{-2}\min(1,(\hat{C}'_{\mathrm{PS}})^2)\|\boldsymbol{x}_h\|^2_{\boldsymbol{H}(\mathrm{curl};D)},$$

for all  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$ . Hence, the above problem is well-posed. Recalling the boundedness property (43.13b) of the sesquilinear form  $a_{\nu,\kappa}$  and invoking the abstract error estimate (26.18) leads to

$$\|\boldsymbol{A} - \boldsymbol{A}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \leq \frac{2\max(\nu_{\sharp}, \ell_D^{-2}\kappa_{\sharp})}{\kappa_{\flat}\ell_D^{-2}\min(1, (\hat{C}'_{\operatorname{PS}})^2)} \inf_{\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}} \|\boldsymbol{A} - \boldsymbol{x}_h\|_{\boldsymbol{H}(\operatorname{curl};D)}.$$

We conclude the proof by invoking Lemma 44.8.

Remark 44.10 (Neumann boundary condition). The above analysis can be adapted to handle the Neumann condition  $(\kappa \nabla \times \mathbf{A})_{|\partial D} \times \mathbf{n} = \mathbf{0}$ ; see Exercise 44.3. This condition implies that  $(\nabla \times (\kappa \nabla \times \mathbf{A}))_{|\partial D} \cdot \mathbf{n} = 0$ . Moreover, assuming  $\mathbf{f}_{|\partial D} \cdot \mathbf{n} = 0$  and taking the normal component of the equation  $\nu \mathbf{A} + \nabla \times (\kappa \nabla \times \mathbf{A}) = \mathbf{f}$  at the boundary gives  $\mathbf{A}_{|\partial D} \cdot \mathbf{n} = 0$ . Since  $\nabla \cdot \mathbf{f} = 0$ , we also have  $\nabla \cdot (\nu \mathbf{A}) = 0$ . In other words, we have

$$\boldsymbol{A} \in \boldsymbol{X}_{*\nu} := \{ \boldsymbol{b} \in \boldsymbol{H}(\operatorname{curl}; D) \mid (\nu \boldsymbol{b}, \nabla m)_{\boldsymbol{L}^2(D)} = 0, \ \forall m \in M_* \}.$$

The discrete spaces are now  $V_h := P_k^c(\mathcal{T}_h)$  and  $M_{h*} := P_{k+1}^g(\mathcal{T}_h; \mathbb{C}) \cap M_*$ . Using  $V_h$  for the discrete trial and test spaces, we infer that

$$\boldsymbol{A}_h \in \boldsymbol{X}_{h*\nu} := \{ \boldsymbol{b}_h \in \boldsymbol{V}_h \mid (\nu \boldsymbol{b}_h, \nabla m_h)_{\boldsymbol{L}^2(D)} = 0, \ \forall m_h \in M_{h*} \}.$$

The Poincaré–Steklov inequality (44.16) still holds true provided the assumption that  $\partial D$  is connected is replaced by the assumption that D is simply connected. The error analysis from Theorem 44.9 can be readily adapted.  $\Box$ 

### 44.3 The duality argument for edge elements

Our goal is to derive an improved error estimate in the  $L^2$ -norm using a duality argument that invokes a weak control on the divergence. The subtlety is that, as already mentioned, the setting is nonconforming since  $X_{h0\nu}$  is not a subspace of  $X_{0\nu}$ . We assume in the section that the boundary  $\partial D$  is connected and that the domain D is simply connected. Recalling the smoothness indices s, s' > 0 from Lemma 44.2 together with the index  $\tau > 0$  from the multiplier property (44.11) and letting  $s'' := \min(s', \tau)$ , we have  $A \in H^{s'}(D)$  and  $\nabla \times A \in H^{s''}(D)$  with s, s'' > 0. In what follows, we set

$$\sigma \coloneqq \min(s, s''). \tag{44.19}$$

Let us first start with an approximation result on the curl-preserving lifting operator  $\boldsymbol{\xi} : \boldsymbol{X}_{h0\nu} \to \boldsymbol{X}_{0\nu}$  defined in the proof of Theorem 44.6. Recall that

for all  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$ , the field  $\boldsymbol{\xi}(\boldsymbol{x}_h) \in \boldsymbol{X}_{0\nu}$  is s.t.  $\boldsymbol{\xi}(\boldsymbol{x}_h) \coloneqq \boldsymbol{x}_h - \nabla \phi(\boldsymbol{x}_h)$  with  $\phi(\boldsymbol{x}_h) \in H_0^1(D)$ , implying that  $\nabla \times \boldsymbol{\xi}(\boldsymbol{x}_h) = \nabla \times \boldsymbol{x}_h$ .

**Lemma 44.11 (Curl-preserving lifting).** Let s > 0 be the smoothness index introduced in (44.7). There is c, depending on the constant  $\check{C}_D$ from (44.7) and the contrast factor  $\nu_{\sharp/\flat}$ , s.t. for all  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$  and all  $h \in \mathcal{H}$ ,

$$\|\boldsymbol{\xi}(\boldsymbol{x}_{h}) - \boldsymbol{x}_{h}\|_{\boldsymbol{L}^{2}(D)} \le c h^{s} \ell_{D}^{1-s} \|\nabla \times \boldsymbol{x}_{h}\|_{\boldsymbol{L}^{2}(D)}.$$
 (44.20)

*Proof.* Let us set  $\boldsymbol{e}_h := \boldsymbol{\xi}(\boldsymbol{x}_h) - \boldsymbol{x}_h$ . We have seen in the proof of Theorem 44.6 that  $\mathcal{J}_{h0}^c(\boldsymbol{\xi}(\boldsymbol{x}_h)) - \boldsymbol{x}_h \in \nabla M_{h0}$ , so that  $(\nu \boldsymbol{e}_h, \mathcal{J}_{h0}^c(\boldsymbol{\xi}(\boldsymbol{x}_h)) - \boldsymbol{x}_h)_{\boldsymbol{L}^2(D)} = 0$  since  $\boldsymbol{\xi}(\boldsymbol{x}_h) \in \boldsymbol{X}_{0\nu}, M_{h0} \subset M_0$ , and  $\boldsymbol{x}_h \in \boldsymbol{X}_{h0\nu}$ . Since  $\boldsymbol{e}_h = (I - \mathcal{J}_{h0}^c)(\boldsymbol{\xi}(\boldsymbol{x}_h)) + (\mathcal{J}_{h0}^c(\boldsymbol{\xi}(\boldsymbol{x}_h)) - \boldsymbol{x}_h)$ , we infer that

$$(\nu e_h, e_h)_{L^2(D)} = (\nu e_h, (I - \mathcal{J}_{h0}^{c})(\boldsymbol{\xi}(\boldsymbol{x}_h)))_{L^2(D)}$$

thereby implying that  $\|\boldsymbol{e}_h\|_{\boldsymbol{L}^2(D)} \leq \nu_{\sharp/\flat} \| (I - \mathcal{J}_{h0}^c)(\boldsymbol{\xi}(\boldsymbol{x}_h)) \|_{\boldsymbol{L}^2(D)}$ . Using the approximation properties of  $\mathcal{J}_{h0}^c$  yields

$$\|\boldsymbol{e}_h\|_{\boldsymbol{L}^2(D)} \leq c \,\nu_{\sharp/\flat} h^s |\boldsymbol{\xi}(\boldsymbol{x}_h)|_{\boldsymbol{H}^s(D)},$$

and we conclude using the bound  $|\boldsymbol{\xi}(\boldsymbol{x}_h)|_{\boldsymbol{H}^s(D)} \leq \check{C}_D \ell_D^{1-s} \| \nabla \times \boldsymbol{x}_h \|_{\boldsymbol{L}^2(D)}$ which follows from (44.7) since  $\boldsymbol{\xi}(\boldsymbol{x}_h) \in \boldsymbol{X}_{0,\nu}$  and  $\nabla \times \boldsymbol{\xi}(\boldsymbol{x}_h) = \nabla \times \boldsymbol{x}_h$ .  $\Box$ 

**Lemma 44.12 (Adjoint solution).** Let  $\boldsymbol{y} \in \boldsymbol{X}_{0\nu}$  and let  $\boldsymbol{\zeta} \in \boldsymbol{X}_{0\nu}$  solve the (adjoint) problem  $\nu \boldsymbol{\zeta} + \nabla \times (\kappa \nabla \times \boldsymbol{\zeta}) := \nu_{\flat}^{-1} \nu \boldsymbol{y}$ . There is c, depending on the constants  $\hat{C}_{PS}$  from (44.9),  $\check{C}$ ,  $\check{C}'$  from (44.7)-(44.8), and the contrast factors  $\nu_{\sharp/\flat}$ ,  $\kappa_{\sharp/\flat}$ , and  $\kappa_{\sharp}C_{\kappa^{-1}}$ , s.t. for all  $h \in \mathcal{H}$ ,

$$|\boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} \leq c \, \nu_{\boldsymbol{\natural}}^{-1} \gamma_{\nu,\kappa} \ell_D^{-\sigma} \|\boldsymbol{y}\|_{L^2(D)}, \tag{44.21a}$$

$$\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{H}^{\sigma}(D)} \le c \, \nu_{\sharp}^{-1} \gamma_{\nu,\kappa} \hat{\gamma}_{\nu,\kappa} \ell_D^{-1-\sigma} \|\boldsymbol{y}\|_{L^2(D)}. \tag{44.21b}$$

*Proof.* Proof of (44.21a). Testing the adjoint problem with  $e^{-i\theta}\boldsymbol{\zeta}$  leads to  $\kappa_{\flat} \| \nabla \times \boldsymbol{\zeta} \|_{\boldsymbol{L}^{2}(D)}^{2} \leq \nu_{\sharp/\flat} \| \boldsymbol{y} \|_{L^{2}(D)} \| \boldsymbol{\zeta} \|_{\boldsymbol{L}^{2}(D)}$ . Using the Poincaré–Steklov inequality (44.9), we can bound  $\| \boldsymbol{\zeta} \|_{\boldsymbol{L}^{2}(D)}$  by  $\| \nabla \times \boldsymbol{\zeta} \|_{\boldsymbol{L}^{2}(D)}$ , and altogether this gives

$$\|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)} \leq \kappa_{\flat}^{-1} \nu_{\sharp/\flat} \hat{C}_{\mathrm{PS}}^{-1} \ell_{D} \|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)}.$$

$$(44.22)$$

Invoking (44.7) with  $\sigma \leq s$  yields

$$\|\boldsymbol{\zeta}\|_{\boldsymbol{H}^{\sigma}(D)} \leq \check{C}_{D}^{-1}\ell_{D}^{1-\sigma} \|\nabla \times \boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)} \leq \kappa_{\flat}^{-1}\nu_{\sharp/\flat}\check{C}_{D}^{-1}\hat{C}_{\mathrm{PS}}^{-1}\ell_{D}^{2-\sigma} \|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)},$$

which proves (44.21a) since  $\kappa_{\flat}^{-1}\ell_D^2 = \kappa_{\sharp/\flat}\nu_{\sharp}^{-1}\gamma_{\nu,\kappa}$ . Proof of (44.21b). Invoking (44.8) with  $\sigma \leq s'$  for  $\boldsymbol{b} := \kappa \nabla \times \boldsymbol{\zeta}$ , which is a member of  $\boldsymbol{X}_{\ast\kappa^{-1}}$ , we infer that

$$\begin{split} \check{C}'_{D}\ell_{D}^{-1+\sigma}|\boldsymbol{b}|_{\boldsymbol{H}^{\sigma}(D)} &\leq \|\nabla \times \boldsymbol{b}\|_{\boldsymbol{L}^{2}(D)} = \|\nabla \times (\kappa \nabla \times \boldsymbol{\zeta})\|_{\boldsymbol{L}^{2}(D)} \\ &\leq \nu_{\sharp/\flat}\|\boldsymbol{y}\|_{\boldsymbol{L}^{2}(D)} + \nu_{\sharp}\|\boldsymbol{\zeta}\|_{\boldsymbol{L}^{2}(D)}, \end{split}$$

by definition of the adjoint solution  $\boldsymbol{\zeta}$  and the triangle inequality. Invoking again the Poincaré–Steklov inequality (44.9) to bound  $\|\boldsymbol{\zeta}\|_{L^2(D)}$  by  $\|\nabla \times \boldsymbol{\zeta}\|_{L^2(D)}$  and using (44.22) yields  $\|\boldsymbol{\zeta}\|_{L^2(D)} \leq \kappa_{\flat}^{-1} \nu_{\sharp/\flat} \hat{C}_{\text{PS}}^{-2} \ell_D^2 \|\boldsymbol{y}\|_{L^2(D)}$ . As a result, we obtain

$$\check{C}'_D \ell_D^{-1+\sigma} | \boldsymbol{b} |_{\boldsymbol{H}^{\sigma}(D)} \leq \nu_{\sharp/\flat} (1 + \nu_{\sharp} \kappa_{\flat}^{-1} \hat{C}_{\mathrm{PS}}^{-2} \ell_D^2) \| \boldsymbol{y} \|_{\boldsymbol{L}^2(D)},$$

and this concludes the proof of (44.21b) since  $|\nabla \times \boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} \leq C_{\kappa^{-1}} |\boldsymbol{b}|_{\boldsymbol{H}^{\sigma}(D)}$ owing to the multiplier property (44.11) and  $\sigma \leq \tau$ .

We can now state the main result of this section.

**Theorem 44.13 (Improved**  $L^2$ **-error estimate).** Let A solve (44.1) and let  $A_h$  solve (44.13). There is c, depending on the constants  $\hat{C}_{PS}$  from (44.9),  $\check{C}, \check{C}'$  from (44.7)-(44.8), and the contrast factors  $\nu_{\sharp/\flat}, \kappa_{\sharp/\flat}$ , and  $\kappa_{\sharp}C_{\kappa^{-1}}$ , s.t. for all  $h \in \mathcal{H}$ ,

$$\|\boldsymbol{A}-\boldsymbol{A}_h\|_{\boldsymbol{L}^2(D)} \leq c \inf_{\boldsymbol{v}_h \in \boldsymbol{V}_{h0}} (\|\boldsymbol{A}-\boldsymbol{v}_h\|_{\boldsymbol{L}^2(D)} + \hat{\gamma}^3_{\boldsymbol{\nu},\kappa} h^{\sigma} \ell_D^{-\sigma} \|\boldsymbol{A}-\boldsymbol{v}_h\|_{\boldsymbol{H}(\mathrm{curl})}).$$

*Proof.* In this proof, we use the symbol c to denote a generic positive constant that can have the same parametric dependencies as in the above statement. Let  $\mathbf{v}_h \in \mathbf{X}_{h0\nu}$  and let us set  $\mathbf{x}_h := \mathbf{A}_h - \mathbf{v}_h$ . We observe that  $\mathbf{x}_h \in \mathbf{X}_{h0\nu}$ . Let  $\boldsymbol{\xi}(\mathbf{x}_h)$  be the image of  $\mathbf{x}_h$  by the curl-preserving lifting operator and let  $\boldsymbol{\zeta} \in \mathbf{X}_{0\nu}$  be the solution to the following adjoint problem:

$$\nu \boldsymbol{\zeta} + \nabla \times (\kappa \nabla \times \boldsymbol{\zeta}) \coloneqq \nu_{\mathsf{b}}^{-1} \nu \boldsymbol{\xi}(\boldsymbol{x}_h).$$

(1) Let us first bound  $\|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{\boldsymbol{L}^2(D)}$  from above. Recalling that  $\boldsymbol{\xi}(\boldsymbol{x}_h) - \boldsymbol{x}_h = -\nabla \phi(\boldsymbol{x}_h)$  and that  $(\nu \boldsymbol{\xi}(\boldsymbol{x}_h), \boldsymbol{\xi}(\boldsymbol{x}_h) - \boldsymbol{x}_h)_{\boldsymbol{L}^2(D)} = -(\nu \boldsymbol{\xi}(\boldsymbol{x}_h), \nabla \phi(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} = 0$ , we infer that

$$\begin{split} (\boldsymbol{\xi}(\boldsymbol{x}_h), \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} &= (\boldsymbol{x}_h, \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} \\ &= (\boldsymbol{A} - \boldsymbol{v}_h, \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} + (\boldsymbol{A}_h - \boldsymbol{A}, \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} \\ &= (\boldsymbol{A} - \boldsymbol{v}_h, \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} + \nu_\flat a_{\nu,\kappa} (\boldsymbol{A}_h - \boldsymbol{A}, \boldsymbol{\zeta}) \\ &= (\boldsymbol{A} - \boldsymbol{v}_h, \nu \boldsymbol{\xi}(\boldsymbol{x}_h))_{\boldsymbol{L}^2(D)} + \nu_\flat a_{\nu,\kappa} (\boldsymbol{A}_h - \boldsymbol{A}, \boldsymbol{\zeta} - \mathcal{J}_{h0}^c(\boldsymbol{\zeta})), \end{split}$$

where we used the Galerkin orthogonality property on the fourth line. Since we have  $|a_{\nu,\kappa}(\boldsymbol{a},\boldsymbol{b})| \leq \kappa_{\sharp} \ell_D^{-2} \hat{\gamma}_{\nu,\kappa} \|\boldsymbol{a}\|_{\boldsymbol{H}(\operatorname{curl};D)} \|\boldsymbol{b}\|_{\boldsymbol{H}(\operatorname{curl};D)}$  by (43.13b), we infer from the commutation and approximation properties of the quasiinterpolation operators that

$$\begin{aligned} \|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{\boldsymbol{L}^2(D)}^2 &\leq \nu_{\sharp/\flat} \|\boldsymbol{A} - \boldsymbol{v}_h\|_{\boldsymbol{L}^2(D)} \|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{\boldsymbol{L}^2(D)} \\ &+ c \,\kappa_{\sharp} \ell_D^{-2} \hat{\gamma}_{\nu,\kappa} h^{\sigma} \|\boldsymbol{A} - \boldsymbol{A}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} (|\boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)} + \ell_D |\nabla \times \boldsymbol{\zeta}|_{\boldsymbol{H}^{\sigma}(D)}). \end{aligned}$$

Owing to the bounds from Lemma 44.12 on the adjoint solution with  $y := \xi(x_h)$ , we conclude that

$$\|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{\boldsymbol{L}^2(D)} \leq \nu_{\sharp/\flat}(\|\boldsymbol{A} - \boldsymbol{v}_h\|_{\boldsymbol{L}^2(D)} + c\,\hat{\gamma}_{\nu,\kappa}^2 h^{\sigma} \ell_D^{-\sigma} \|\boldsymbol{A} - \boldsymbol{A}_h\|_{\boldsymbol{H}(\operatorname{curl};D)}).$$

(2) The triangle inequality and the identity  $\mathbf{A} - \mathbf{A}_h = \mathbf{A} - \mathbf{v}_h - \mathbf{x}_h$  imply that

$$\|A - A_h\|_{L^2(D)} \le \|A - v_h\|_{L^2(D)} + \|\xi(x_h) - x_h\|_{L^2(D)} + \|\xi(x_h)\|_{L^2(D)}$$

We use Lemma 44.11 to bound the second term on the right-hand side as

$$\begin{split} \|\boldsymbol{\xi}(\boldsymbol{x}_h) - \boldsymbol{x}_h\|_{\boldsymbol{L}^2(D)} &\leq c \, h^{\sigma} \ell_D^{1-\sigma} \|\nabla \times \boldsymbol{x}_h\|_{\boldsymbol{L}^2(D)} \\ &\leq c \, h^{\sigma} \ell_D^{1-\sigma} (\|\nabla \times (\boldsymbol{A} - \boldsymbol{v}_h)\|_{\boldsymbol{L}^2(D)} + \|\nabla \times (\boldsymbol{A} - \boldsymbol{A}_h)\|_{\boldsymbol{L}^2(D)}), \end{split}$$

and we use (44.18) to infer that  $\|\boldsymbol{A} - \boldsymbol{A}_h\|_{\boldsymbol{H}(\operatorname{curl};D)} \leq c\hat{\gamma}_{\nu,\kappa}\|\boldsymbol{A} - \boldsymbol{v}_h\|_{\boldsymbol{H}(\operatorname{curl};D)}$ . For the third term on the right-hand side, we use the bound on  $\|\boldsymbol{\xi}(\boldsymbol{x}_h)\|_{L^2(D)}$  from Step (1). We conclude by taking the infimum over  $\boldsymbol{v}_h \in \boldsymbol{X}_{h0\nu}$ , and we use Lemma 44.8 to extend the infimum over  $\boldsymbol{V}_{h0}$ .

**Remark 44.14 (Literature).** The construction of the curl-preserving lifting operator invoked in the proof of Theorem 44.6 and Theorem 44.13 is done in Monk [302, pp. 249-250]. The statement in Lemma 44.11 is similar to that in Monk [303, Lem. 7.6], but the present proof is simplified by the use of the commuting quasi-interpolation operators. The curl-preserving lifting of  $\boldsymbol{A} - \boldsymbol{A}_h$  is invoked in Arnold et al. [23, Eq. (9.9)] and denoted therein by  $\boldsymbol{\psi}$ . The estimate of  $\|\boldsymbol{\psi}\|_{\boldsymbol{L}^2(D)}$  given one line above [23, Eq. (9.11)] is similar to (44.3) and is obtained by invoking the commuting quasi-interpolation operators constructed in [23, §5.4] for natural boundary conditions. Note that contrary to the above reference, we invoke the curl-preserving lifting of  $\boldsymbol{A}_h - \boldsymbol{v}_h$  instead of  $\boldsymbol{A} - \boldsymbol{A}_h$  and make use of Lemma 44.11, which simplifies the argument. Furthermore, the statement of Theorem 44.13 is similar to that of Zhong et al. [405, Thm. 4.1], but the present proof is simpler and does not require the smoothness index  $\sigma$  to be larger than  $\frac{1}{2}$ .

### **Exercises**

**Exercise 44.1 (Gradient).** Let  $\phi \in H_0^1(D)$ . Prove that  $\nabla \phi \in H_0(\operatorname{curl}; D)$ 

**Exercise 44.2 (Vector potential).** Let  $\boldsymbol{v} \in \boldsymbol{L}^2(D)$  with  $(\nu \boldsymbol{v}, \nabla m_h)_{\boldsymbol{L}^2(D)} = 0$  for all  $m_h \in M_{h0}$ . Prove that  $(\nu \boldsymbol{v}, \boldsymbol{w}_h)_{\boldsymbol{L}^2(D)} = (\nabla \times \boldsymbol{z}_h, \nabla \times \boldsymbol{w}_h)_{\boldsymbol{L}^2(D)}$  for all  $\boldsymbol{w}_h \in \boldsymbol{V}_{h0}$ , where  $\boldsymbol{z}_h$  solves a curl-curl problem on  $\boldsymbol{X}_{h0\nu}$ .

**Exercise 44.3 (Neumann condition).** Recall Remark 44.10. Assume that D is simply connected so that there is  $\hat{C}_{PS} > 0$  such that  $\hat{C}_{PS} \ell_D^{-1} \| \boldsymbol{b} \|_{\boldsymbol{L}^2(D)} \leq \| \nabla \times \boldsymbol{b} \|_{\boldsymbol{L}^2(D)}$  for all  $\boldsymbol{b} \in \boldsymbol{X}_{*\nu}$ . Prove that there is  $\hat{C}'_{PS} > 0$  such that  $\hat{C}_{PS} \ell_D^{-1} \| \boldsymbol{b} \|_{\boldsymbol{L}^2(D)} \leq 0$  such that  $\hat{C}'_{PS} \ell_D^{-1} \| \boldsymbol{b} \|_{\boldsymbol{L}^2(D)} \leq \| \nabla \times \boldsymbol{b}_h \|_{\boldsymbol{L}^2(D)}$  for all  $\boldsymbol{b}_h \in \boldsymbol{X}_{h*\nu}$ . (*Hint*: adapt the proof of Theorem 44.6 using  $\mathcal{J}_h^c$ .)

Exercise 44.4 (Discrete Poincaré–Steklov for  $\nabla \cdot$ ). Let  $\nu$  be as in §44.1.1. Let  $\mathbf{Y}_{0\nu} := \{ \mathbf{v} \in \mathbf{H}_0(\operatorname{div}; D) \mid (\nu \mathbf{v}, \nabla \times \phi)_{\mathbf{L}^2(D)} = 0, \forall \phi \in \mathbf{H}_0(\operatorname{curl}; D) \}$  and accept as a fact that there is  $\hat{C}_{\mathrm{PS}} > 0$  such that  $\hat{C}_{\mathrm{PS}} \ell_D^{-1} \| \mathbf{v} \|_{\mathbf{L}^2(D)} \leq \| \nabla \cdot \mathbf{v} \|_{L^2(D)}$ for all  $\mathbf{v} \in \mathbf{Y}_{0\nu}$ . Let  $k \geq 0$  and consider the discrete space  $\mathbf{Y}_{h0\nu} := \{ \mathbf{v}_h \in$  $\mathbf{P}_{k,0}^d(\mathcal{T}_h) \mid (\nu \mathbf{v}_h, \nabla \times \phi_h)_{\mathbf{L}^2(D)} = 0, \forall \phi_h \in \mathbf{P}_{k,0}^c(\mathcal{T}_h; \mathbb{C}) \}$ . Prove that there is  $\hat{C}_{\mathrm{PS}}' > 0$  such that  $\hat{C}_{\mathrm{PS}}' \| \mathbf{v}_h \|_{\mathbf{L}^2(D)} \leq \ell_D \| \nabla \cdot \mathbf{v}_h \|_{L^2(D)}$  for all  $\mathbf{v}_h \in \mathbf{Y}_{h0\nu}$ . (*Hint*: adapt the proof of Theorem 44.6 using  $\mathcal{J}_{h0}^d$ .)