# ON MEAN ERGODIC CONVERGENCE IN THE CALKIN ALGEBRAS 

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#### Abstract

In this paper, we give a geometric characterization of mean ergodic convergence in the Calkin algebras for Banach spaces that have the bounded compact approximation property.


## 1. Introduction

Let $X$ be a real or complex Banach space and let $B(X)$ be the algebra of all bounded linear operators on $X$. Suppose that $T \in B(X)$ and consider the sequence

$$
M_{n}(T):=\frac{I+T+\ldots+T^{n}}{n+1}, \quad n \geq 1 .
$$

In [3], Dunford considered the norm convergence of $\left(M_{n}(T)\right)_{n}$ and established the following characterizations.

Theorem 1.1. Suppose that $X$ is a complex Banach space and that $T \in B(X)$ satisfies $\frac{\left\|T^{n}\right\|}{n} \rightarrow 0$. Then the following conditions are equivalent.
(1) $\left(M_{n}(T)\right)_{n}$ converges in norm to an element in $B(X)$.
(2) 1 is a simple pole of the resolvent of $T$ or 1 is in the resolvent set of $T$.
(3) $(I-T)^{2}$ has closed range.

It was then discovered by Lin in [6] that $I-T$ having closed range is also an equivalent condition. Moreover, Lin's argument worked also for real Banach spaces. This result was later improved by Mbekhta and Zemánek in [9] in which they showed that $(I-T)^{m}$ having closed range, where $m \geq 1$, are also equivalent conditions. More precisely,

Theorem 1.2. Let $m \geq 1$. Suppose that $X$ is a real or complex Banach space and that $T \in B(X)$ satisfies $\frac{\left\|T^{n}\right\|}{n} \rightarrow 0$. Then the sequence $\left(M_{n}(T)\right)_{n}$ converges in norm to an element in $B(X)$ if and only if $(I-T)^{m}$ has closed range.

Let $K(X)$ be the closed ideal of compact operators in $B(X)$. If $T \in B(X)$ then its image in the Calkin algebra $B(X) / K(X)$ is denoted by $\dot{T}$. By Dunford's Theorem

[^0]1.1 or an analogous version for Banach algebras (without condition (3)), when $X$ is a complex Banach space and $\frac{\left\|\dot{T}^{n}\right\|}{n} \rightarrow 0$, the convergence of $\left(M_{n}(\dot{T})\right)_{n}$ in the Calkin algebra is equivalent to 1 being a simple pole of the resolvent of $\dot{T}$ or being in the resolvent set of $\dot{T}$. But even if we are given that the limit $\dot{P} \in B(X) / K(X)$ exists, there is no obvious geometric interpretation of $\dot{P}$. In the context of Theorems 1.1 and 1.2, if the limit of $\left(M_{n}(T)\right)_{n}$ exists, then it is a projection onto $\operatorname{ker}(I-T)$. In the context of the Calkin algebra, the limit $\dot{P}$ is still an idempotent in $B(X) / K(X)$; hence by making a compact perturbation, we can assume that $P$ is an idempotent in $B(X)$ (see Lemma 2.6 below).

A natural question to ask is: what is the range of $P$ ? Although the range of $P$ is not unique (since $P$ is only unique up to a compact perturbation), it can be thought of as an analog of $\operatorname{ker}(I-T)$ in the Calkin algebra setting. If $T_{0} \in B(X)$ then ker $T_{0}$ is the maximal subspace of $X$ on which $T_{0}=0$. This suggests that the analog of ker $T_{0}$ in the Calkin algebra setting is the maximal subspace of $X$ on which $T_{0}$ is compact. But the maximal subspace does not exist unless it is the whole space $X$. Thus, we introduce the following concept.

Let $X$ be a Banach space and let $(P)$ be a property that a subspace $M$ of $X$ may or may not have. We say that a subspace $M \subset X$ is an essentially maximal subspace of $X$ satisfying $(P)$ if it has $(P)$ and if every subspace $M_{0} \supset M$ having property $(P)$ satisfies $\operatorname{dim} M_{0} / M<\infty$.

Then the analog of ker $T_{0}$ in the Calkin algebra setting is an essentially maximal subspace of $X$ on which $T_{0}$ is compact. It turns that if such an analog for $I-T$ exists, then it is already sufficient for the convergence of $\left(M_{n}(\dot{T})\right)_{n}$ in the Calkin algebra (at least for a large class of Banach spaces), which is the main result of this paper.

Before stating this theorem, we recall that a Banach space $Z$ has the bounded compact approximation property (BCAP) if there is a uniformly bounded net $\left(S_{\alpha}\right)_{\alpha \in \Lambda}$ in $K(Z)$ converging strongly to the identity operator $I \in B(Z)$. It is always possible to choose $\Lambda$ to be the set of all finite dimensional subspaces of $Z$ directed by inclusion. If the net $\left(S_{\alpha}\right)_{\alpha \in \Lambda}$ can be chosen so that $\sup _{\alpha \in \Lambda}\left\|S_{\alpha}\right\| \leq \lambda$, then we say that $Z$ has the $\lambda$-BCAP. It is known that if a reflexive space has the BCAP, then the space has the 1-BCAP. For $T \in B(X)$, the essential norm $\|T\|_{e}$ is the norm of $\dot{T}$ in $B(X) / K(X)$.

Theorem 1.3. Let $m \geq 1$. Suppose that $X$ is a real or complex Banach space having the bounded compact approximation property. If $T \in B(X)$ satisfies $\frac{\left\|T^{n}\right\|_{e}}{n} \rightarrow$ 0 , then the following conditions are equivalent.
(1) The sequence $\left(M_{n}(\dot{T})\right)_{n}$ converges in norm to an element in $B(X) / K(X)$.
(2) There is an essentially maximal subspace of $X$ on which $(I-T)^{m}$ is compact.

The idea of the proof is to reduce Theorem 1.3 to Theorem 1.2 by constructing a Banach space $\widehat{X}$ and an embedding $f: B(X) / K(X) \rightarrow B(\widehat{X})$ so that if $T \in B(X)$ and if there is an essentially maximal subspace $M$ of $X$ on which $T$ is compact, then $f(\dot{T})$ has closed range, and then applying Theorem 1.2 to $f(\dot{T})$. The BCAP of $X$ is used to show that $f$ is an embedding but is not used in the construction of $\widehat{X}$ and $f$. The construction of $f$ is based on the Calkin representation $[1$, Theorem 5.5].

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## 2. The Calkin representation for Banach spaces

In this section, $X$ is a fixed infinite dimensional Banach space. Let $\Lambda_{0}$ be the set of all finite dimensional subspaces of $X$ directed by inclusion $\subset$. Then $\{\{\alpha \in$ $\left.\left.\Lambda_{0}: \alpha \supset \alpha_{0}\right\}: \alpha_{0} \in \Lambda_{0}\right\}$ is a filter base on $\Lambda_{0}$, so it is contained in an ultrafilter $U$ on $\Lambda_{0}$.

Let $Y$ be an arbitary infinite dimensional Banach space and let $\left(Y^{*}\right)^{U}$ be the ultrapower (see e.g., [2, Chapter 8]) of $Y^{*}$ with respect to $U$. (The ultrafilter $U$ and the directed set $\Lambda_{0}$ do not depend on $Y$.) If $\left(y_{\alpha}^{*}\right)_{\alpha \in \Lambda_{0}}$ is a bounded net in $Y^{*}$, then its image in $\left(Y^{*}\right)^{U}$ is denoted by $\left(y_{\alpha}^{*}\right)_{\alpha, U}$. Consider the (complemented) subspace

$$
\widehat{Y}:=\left\{\left(y_{\alpha}^{*}\right)_{\alpha, U} \in\left(Y^{*}\right)^{U}: w^{*}-\lim _{\alpha, U} y_{\alpha}^{*}=0\right\}
$$

of $\left(Y^{*}\right)^{U}$. Here $w^{*}-\lim _{\alpha, U} y_{\alpha}^{*}$ is the $w^{*}$-limit of $\left(y_{\alpha}^{*}\right)_{\alpha \in \Lambda_{0}}$ through $U$, which exists by the Banach-Alaoglu Theorem.

Whenever $T \in B(X, Y)$, we can define an operator $\widehat{T} \in B(\widehat{Y}, \widehat{X})$ by sending $\left(y_{\alpha}^{*}\right)_{\alpha, U}$ to $\left(T^{*} y_{\alpha}^{*}\right)_{\alpha, U}$. Note that if $K \in K(X, Y)$ then $\widehat{K}=0$, where $K(X, Y)$ denotes the space of all compact operators in $B(X, Y)$.

Theorem 2.1. Suppose that $X$ has the $\lambda-B C A P$. Then the operator $f: B(X) / K(X) \rightarrow B(\widehat{X}), \dot{T} \mapsto \widehat{T}$, is a norm one $(\lambda+1)$-embedding into $B(\widehat{X})$ satisfying

$$
f(\dot{I})=I \text { and } f\left(\dot{T}_{1} \dot{T}_{2}\right)=f\left(\dot{T}_{2}\right) f\left(\dot{T}_{1}\right), \quad T_{1}, T_{2} \in B(X)
$$

Proof. It is easy to verify that $f$ is a linear map, $f(\dot{I})=I$, and $f\left(\dot{T}_{1} \dot{T}_{2}\right)=$ $f\left(\dot{T}_{2}\right) f\left(\dot{T}_{1}\right)$ for $T_{1}, T_{2} \in B(X)$. If $T \in B(X)$, then clearly $\|f(\dot{T})\| \leq\|T\|$, and thus we also have $\|f(\dot{T})\| \leq\|T\|_{e}$. Hence $\|f\| \leq 1$. It remains to show that $f$ is a $(\lambda+1)$-embedding (i.e., $\inf _{\|T\|_{e}>1}\|f(\dot{T})\| \geq(\lambda+1)^{-1}$ ).

To do this, let $T \in B(X)$ satisfy $\|T\|_{e}>1$. Since $X$ has the $\lambda$-BCAP, we can find a net of operators $\left(S_{\alpha}\right)_{\alpha \in \Lambda_{0}} \subset K(X)$ converging strongly to $I$ such that $\sup _{\alpha \in \Lambda_{0}}\left\|S_{\alpha}\right\| \leq \lambda$. Then $\left\|T^{*}\left(I-S_{\alpha}\right)^{*}\right\|=\left\|\left(I-S_{\alpha}\right) T\right\| \geq\|T\|_{e}>1, \alpha \in \Lambda_{0}$. Thus, there exists $\left(x_{\alpha}^{*}\right)_{\alpha \in \Lambda_{0}} \subset X^{*}$ such that $\left\|x_{\alpha}^{*}\right\|=1$ and $\left\|T^{*}\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right\|>1$ for $\alpha \in \Lambda_{0}$.

Note that for every $x \in X$,

$$
\limsup _{\alpha \in \Lambda_{0}}\left|\left\langle\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}, x\right\rangle\right|=\underset{\alpha \in \Lambda_{0}}{\limsup }\left|\left\langle x_{\alpha}^{*},\left(I-S_{\alpha}\right) x\right\rangle\right| \leq \limsup _{\alpha \in \Lambda_{0}}\left\|\left(I-S_{\alpha}\right) x\right\|=0
$$

and so the net $\left(\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right)_{\alpha \in \Lambda_{0}}$ converges in the $w^{*}$-topology to 0 . By the construction of $U$, this implies that

$$
w^{*}-\lim _{\alpha, U}\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}=0
$$

Therefore, due to the definition $f(\dot{T})=\widehat{T}$, we obtain

$$
\begin{aligned}
(1+\lambda)\|f(\dot{T})\| \geq\|f(\dot{T})\| \lim _{\alpha, U}\left\|\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right\| & =\|f(\dot{T})\|\left\|\left(\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right)_{\alpha, U}\right\| \\
& \geq\left\|f(\dot{T})\left(\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right)_{\alpha, U}\right\| \\
& =\lim _{\alpha, U}\left\|T^{*}\left(I-S_{\alpha}\right)^{*} x_{\alpha}^{*}\right\| \geq 1
\end{aligned}
$$

It follows that $\|f(\dot{T})\| \geq(1+\lambda)^{-1}$ whenever $\|T\|_{e}>1$.
Remark 1. We do not know whether Theorem 2.1 is true without the hypothesis that $X$ has the BCAP.

Remark 2. The embedding in Theorem 2.1 is an isometry if the approximating net can be chosen so that $\left\|I-S_{\alpha}\right\|=1$ for every $\alpha$. This is the case if, for example, the space $X$ has a 1-unconditional basis. However, we do not know whether the embedding is an isometry if $X=L_{p}(0,1)$ with $p \neq 2$.

If $N$ is a subset of $Y^{*}$, then we can define a subset $N^{\prime}$ of $\widehat{Y}$ by

$$
N^{\prime}:=\left\{\left(y_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{Y}: \lim _{\alpha, U} d\left(y_{\alpha}^{*}, N\right)=0\right\}
$$

where

$$
d\left(y_{\alpha}^{*}, N\right):=\inf _{z^{*} \in N}\left\|y_{\alpha}^{*}-z^{*}\right\|
$$

Lemma 2.2. If $N$ is a $w^{*}$-closed subspace of $Y^{*}$, then for every $\left(y_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{Y}$,

$$
d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U}, N^{\prime}\right) \leq 2 \lim _{\alpha, U} d\left(y_{\alpha}^{*}, N\right)
$$

Proof. Let $a=\lim _{\alpha, U} d\left(y_{\alpha}^{*}, N\right)$. Let $\delta>0$. Then

$$
A:=\left\{\alpha \in \Lambda: d\left(y_{\alpha}^{*}, N\right)<a+\delta\right\} \in U
$$

Whenever $\alpha \in A,\left\|y_{\alpha}^{*}-z_{\alpha}^{*}\right\|<a+\delta$ for some $z_{\alpha}^{*} \in N$. If we take $z_{\alpha}^{*}=0$ for $\alpha \notin A$, then, since $\sup _{\alpha \in \Lambda}\left\|y_{\alpha}^{*}\right\|<\infty$,

$$
\sup _{\alpha \in \Lambda}\left\|z_{\alpha}^{*}\right\|=\sup _{\alpha \in A}\left\|z_{\alpha}^{*}\right\| \leq(a+\delta)+\sup _{\alpha \in A}\left\|y_{\alpha}^{*}\right\|<\infty
$$

As a consequence, $\left(z_{\alpha}^{*}-w^{*}-\lim _{\beta, U} z_{\beta}^{*}\right)_{\alpha, U} \in N^{\prime}$, since $N$ is $w^{*}$-closed. Therefore,

$$
\begin{aligned}
d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U}, N^{\prime}\right) & \leq d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U},\left(z_{\alpha}^{*}-w^{*}-\lim _{\beta, U} z_{\beta}^{*}\right)_{\alpha, U}\right) \\
& =\lim _{\alpha, U}\left\|y_{\alpha}^{*}-z_{\alpha}^{*}+w^{*}-\lim _{\beta, U} z_{\beta}^{*}\right\| \\
& \leq \lim _{\alpha, U}\left\|y_{\alpha}^{*}-z_{\alpha}^{*}\right\|+\left\|w^{*}-\lim _{\beta, U} z_{\beta}^{*}\right\| \\
& \leq(a+\delta)+\left\|w^{*}-\lim _{\beta, U}\left(z_{\beta}^{*}-y_{\beta}^{*}\right)\right\| \\
& \leq(a+\delta)+\lim _{\beta, U}\left\|z_{\beta}^{*}-y_{\beta}^{*}\right\| \leq 2(a+\delta)
\end{aligned}
$$

But $\delta$ can be arbitarily close to 0 so $d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U}, N^{\prime}\right) \leq 2 a=2 \lim _{\alpha, U} d\left(y_{\alpha}^{*}, N\right)$.
Proposition 2.3. If $X$ and $Y$ are infinite dimensional Banach spaces and if $T \in$ $B(X, Y)$ has closed range then $\widehat{T} \in B(\widehat{Y}, \widehat{X})$ also has closed range.

Proof. The operator $T$ has closed range so $T^{*}$ also has closed range. Let $c=$ $\inf \left\{\left\|T^{*} y^{*}\right\|: y^{*} \in Y^{*}, d\left(y^{*}, \operatorname{ker} T^{*}\right)=1\right\}>0$. Then by Lemma 2.2 , for every $\left(y_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{Y}$,

$$
\left\|\widehat{T}\left(y_{\alpha}^{*}\right)_{\alpha, U}\right\|=\lim _{\alpha, U}\left\|T^{*} y_{\alpha}^{*}\right\| \geq c \lim _{\alpha, U} d\left(y_{\alpha}^{*}, \text { ker } T^{*}\right) \geq \frac{c}{2} d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U},\left(\operatorname{ker} T^{*}\right)^{\prime}\right)
$$

But obviously $\left(\operatorname{ker} T^{*}\right)^{\prime} \subset \operatorname{ker} \widehat{T}$, and so

$$
\left\|\widehat{T}\left(y_{\alpha}^{*}\right)_{\alpha, U}\right\| \geq \frac{c}{2} d\left(\left(y_{\alpha}^{*}\right)_{\alpha, U}, \operatorname{ker} \widehat{T}\right), \quad\left(y_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{Y}
$$

Hence $\widehat{T}$ has closed range.
Lemma 2.4. Suppose that $X \subset Y$ and that $T \in B(X)$. Let $T_{0} \in B(X, Y), x \mapsto T x$. Then $\widehat{T}_{0} \widehat{Y}=\widehat{T} \widehat{X}$.
Proof. If $\left(y_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{Y}$, then for each $\alpha \in \Lambda$, we have $T_{0}^{*} y_{\alpha}^{*}=T^{*}\left(y_{\alpha \mid X}^{*}\right)$, and $\left(y_{\alpha \mid X}^{*}\right)_{\alpha, U} \in \widehat{X}$. Thus $\widehat{T}_{0}\left(y_{\alpha}^{*}\right)_{\alpha, U}=\left(T_{0}^{*} y_{\alpha}^{*}\right)_{\alpha, U}=\left(T^{*}\left(y_{\alpha \mid X}^{*}\right)\right)_{\alpha, U}=\widehat{T}\left(y_{\alpha \mid X}^{*}\right)_{\alpha, U} \in$ $\widehat{T} \widehat{X}$. Hence $\widehat{T} \widehat{Y} \subset \widehat{T} \widehat{X}$.

Conversely, if $\left(x_{\alpha}^{*}\right)_{\alpha, U} \in \widehat{X}$ then we can extend each $x_{\alpha}^{*}$ to an element $y_{\alpha}^{*} \in Y^{*}$ such that $\left\|y_{\alpha}^{*}\right\|=\left\|x_{\alpha}^{*}\right\|$. Thus we have $\left(y_{\alpha}^{*}-w^{*}-\lim _{\beta, U} y_{\beta}^{*}\right)_{\alpha, U} \in \widehat{Y}$. Note that

$$
T_{0}^{*}\left(w^{*}-\lim _{\beta, U} y_{\beta}^{*}\right)=w^{*}-\lim _{\beta, U} T_{0}^{*} y_{\beta}^{*}=w^{*}-\lim _{\beta, U} T^{*} x_{\beta}^{*}=T^{*}\left(w^{*}-\lim _{\beta, U} x_{\beta}^{*}\right)=0 .
$$

This implies that

$$
\begin{aligned}
\widehat{T}\left(x_{\alpha}^{*}\right)_{\alpha, U}=\left(T^{*} x_{\alpha}^{*}\right)_{\alpha, U} & =\left(T_{0}^{*} y_{\alpha}^{*}\right)_{\alpha, U} \\
& =\left(T_{0}^{*}\left(y_{\alpha}^{*}-w^{*}-\lim _{\beta, U} y_{\beta}^{*}\right)\right)_{\alpha, U} \\
& =\widehat{T}_{0}\left(y_{\alpha}^{*}-w^{*}-\lim _{\beta, U} y_{\beta}^{*}\right)_{\alpha, U} \in \widehat{T}_{0} \widehat{Y}
\end{aligned}
$$

Therefore $\widehat{T} \widehat{X} \subset \widehat{T}_{0} \widehat{Y}$.
Proposition 2.5. Suppose that $T \in B(X)$ and that there exists an essentially maximal subspace $M$ of $X$ on which $T$ is compact. Then $\widehat{T}$ has closed range.

Proof. Without loss of generality, we may assume that $X$ is a subspace of $Y=$ $\ell_{\infty}(J)$ for some set $J$. Define $T_{0} \in B\left(X, \ell_{\infty}(J)\right), x \mapsto T x$. Then by assumption, there is an essentially maximal subspace $M$ of $X$ on which $T_{0}$ is compact. By [7, Theorem 3.3], there exists $K \in K\left(X, \ell_{\infty}(J)\right)$ such that $K_{\mid M}=T_{0 \mid M}$.

We now show that $T_{0}-K \in B\left(X, \ell_{\infty}(J)\right)$ has closed range. Since $M \subset \operatorname{ker}\left(T_{0}-\right.$ $K$ ) and $M$ is an essentially maximal subspace of $X$ on which $T_{0}-K$ is compact, $\operatorname{ker}\left(T_{0}-K\right)$ is an essentially maximal subspace of $X$ on which $T_{0}-K$ is compact.

Let $\pi$ be the quotient map from $X$ onto $X / \operatorname{ker}\left(T_{0}-K\right)$. Define the (one-toone) operator $R: X / \operatorname{ker}\left(T_{0}-K\right) \rightarrow \ell_{\infty}(J), \pi x \mapsto\left(T_{0}-K\right) x$. If $R$ does not have closed range, then by [8, Proposition 2.c.4], $R$ is compact on an infinite dimensional subspace $V$ of $X / \operatorname{ker}\left(T_{0}-K\right)$. Hence, $T_{0}-K$ is compact on $\pi^{-1} V$ and so by the essential maximality of $\operatorname{ker}\left(T_{0}-K\right)$, we have $\operatorname{dim} \pi^{-1} V / \operatorname{ker}\left(T_{0}-K\right)<\infty$. Thus, $V=\pi^{-1} V / \operatorname{ker}\left(T_{0}-K\right)$ is finite dimensional, which contradicts the definition of $V$.

Therefore, $R$ has closed range and so $T_{0}-K$ also has closed range. By Proposition 2.3, $\widehat{T_{0}-K}$ has closed range. But $\widehat{K}=0$ so $\widehat{T}_{0}$ has closed range and by Lemma 2.4, $\widehat{T}$ has closed range.

Lemma 2.6. Suppose that $P \in B(X)$ and that $\dot{P}$ is an idempotent in $B(X) / K(X)$. Then $P$ is the sum of an idempotent in $B(X)$ and a compact operator on $X$.
Proof. We first treat the case where the scalar field is $\mathbb{C}$. From Fredholm theory (see e.g. [5, Chapters XI and XVII]), we know that since $\sigma(\dot{P}) \subset\{0,1\}$, the only possible cluster points of $\sigma(P)$ are 0 and 1. Thus, there exists $0<r<1$ such that $\{z \in \mathbb{C}:|z-1|=r\} \cap \sigma(P)=\emptyset$. Then $\dot{P}=\frac{1}{2 \pi i} \oint_{|z-1|=r}(z \dot{I}-\dot{P})^{-1} d z$ and so $P-\frac{1}{2 \pi i} \oint_{|z-1|=r}(z I-P)^{-1} d z \in K(X)$. But $\frac{1}{2 \pi i} \oint_{|z-1|=r}(z I-P)^{-1} d z$ is an idempotent in $B(X)$ (see e.g. [10, Theorem 2.7]). This completes the proof in the complex case.

If $X$ is a real Banach space, then let $X_{C}$ and $P_{C}$ be the complexifications (see [4, page 266]) of $X$ and $P$, respectively. Thus, $\dot{P}_{C}$ is an idempotent in $B\left(X_{C}\right) / K\left(X_{C}\right)$. Since the only possible cluster points of $\sigma\left(P_{C}\right)$ are 0 and 1 , there exists a closed rectangle $R$ in the complex plane symmetric with respect to the real axis such that 1 is in the interior of $R, 0$ is in the exterior of $R$, and $\sigma\left(P_{C}\right)$ is disjoint from the boundary $\partial R$ of $R$. By [4, Lemma 3.4], the idempotent $\frac{1}{2 \pi i} \oint_{\partial R}\left(z I-P_{C}\right)^{-1} d z$ in $B\left(X_{C}\right)$ is induced by an idempotent $P_{0}$ in $B(X)$. Since $P_{C}-\frac{1}{2 \pi i} \oint_{\partial R}\left(z I-P_{C}\right)^{-1} d z \in$ $K\left(X_{C}\right)$, we see that $P-P_{0} \in K(X)$.
Proof of Theorem 1.3. " $(1) \Rightarrow(2)$ ": Let $\dot{P}:=\lim _{n \rightarrow \infty} \frac{\dot{I}+\dot{T}+\ldots+\dot{T}^{n}}{n+1}$.
Since $\lim _{n \rightarrow \infty} \frac{\left\|\dot{T}^{n}\right\|}{n}=0$,

$$
\begin{equation*}
(\dot{I}-\dot{T}) \dot{P}=\lim _{n \rightarrow \infty}(\dot{I}-\dot{T}) \frac{\dot{I}+\dot{T}+\ldots+\dot{T}^{n}}{n+1}=\lim _{n \rightarrow \infty} \frac{\dot{I}-\dot{T}^{n+1}}{n+1}=0 \tag{2.1}
\end{equation*}
$$

Thus $\dot{T} \dot{P}=\dot{P}$, and so

$$
\dot{P}^{2}=\lim _{n \rightarrow \infty} \frac{\dot{P}+\dot{T} \dot{P}+\ldots+\dot{T}^{n} \dot{P}}{n+1}=\lim _{n \rightarrow \infty} \frac{(n+1) \dot{P}}{n+1}=\dot{P}
$$

Hence $\dot{P}$ is an idempotent in $B(X) / K(X)$. By Lemma 2.6, there exists an idempotent $P_{0}$ in $B(X)$ such that $P-P_{0} \in K(X)$. Replacing $P$ with $P_{0}$, we can assume without loss of generality that $P$ is an idempotent in $B(X)$. Equation (2.1) also implies that $(I-T) P \in K(X)$, which means that $I-T$ is compact on $P X$. Hence $(I-T)^{m}$ is compact on $P X$.

We now show that $P X$ is an essentially maximal subspace of $X$ on which $(I-T)^{m}$ is compact. Suppose that $(I-T)^{m}$ is compact on a subspace $M_{0}$ of $X$ containing $P X$. Let

$$
f_{n}(z):=\frac{n+(n-1) z+(n-2) z^{2}+\ldots+z^{n-1}}{n+1}, \quad z \in \mathbb{C}, n \geq 1
$$

Note that $\dot{I}-\frac{\dot{I}+\dot{T}+\ldots+\dot{T}^{n}}{n+1}=(\dot{I}-\dot{T}) f_{n}(\dot{T})$. Therefore,

$$
\dot{I}-\dot{P}=(\dot{I}-\dot{P})^{m}=\lim _{n \rightarrow \infty} f_{n}(\dot{T})^{m}(\dot{I}-\dot{T})^{m}
$$

and so

$$
\lim _{n \rightarrow \infty}\left\|(I-P)-\left(f_{n}(T)^{m}(I-T)^{m}+K_{n}\right)\right\|=0
$$

for some $K_{1}, K_{2}, \ldots \in K(X)$.
Since $(I-T)^{m}$ is compact on $M_{0}$, the operator $f_{n}(T)^{m}(I-T)^{m}$ is compact on $M_{0}$ and so is $f_{n}(T)^{m}(I-T)^{m}+K_{n}$ on $M_{0}$. Thus $(I-P)_{\mid M_{0}}$ is the norm limit of a sequence in $K\left(M_{0}, X\right)$, and so $I-P$ is compact on $M_{0}$. Since $P X \subset M_{0}$, we have that $(I-P) M_{0} \subset M_{0}$. Therefore, $(I-P)_{\mid(I-P) M_{0}}=I_{\mid(I-P) M_{0}}$ is compact, and so $(I-P) M_{0}$ is finite dimensional. In other words, $\operatorname{dim} M_{0} / P X<\infty$.
" $(2) \Rightarrow(1)$ ": By Proposition 2.5, $(\widehat{I-T})^{m}=(I-\widehat{T})^{m}$ has closed range. Since by assumption $\lim _{n \rightarrow \infty} \frac{\left\|T^{n}\right\|_{e}}{n}=0, \lim _{n \rightarrow \infty} \frac{\left\|\widehat{T}^{n}\right\|}{n}=\lim _{n \rightarrow \infty} \frac{\left\|\widehat{T^{n}}\right\|}{n}=0$. By MbekhtaZemánek's Theorem 1.2, the sequence $\left(M_{n}(\widehat{T})\right)_{n}$ converges in norm to an element in $B(\widehat{X})$. By Theorem 2.1, the result follows.

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