# ON MEAN ERGODIC CONVERGENCE IN THE CALKIN ALGEBRAS

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ABSTRACT. In this paper, we give a geometric characterization of mean ergodic convergence in the Calkin algebras for Banach spaces that have the bounded compact approximation property.

#### 1. Introduction

Let X be a real or complex Banach space and let B(X) be the algebra of all bounded linear operators on X. Suppose that  $T \in B(X)$  and consider the sequence

$$M_n(T) := \frac{I + T + \ldots + T^n}{n+1}, \quad n \ge 1.$$

In [3], Dunford considered the norm convergence of  $(M_n(T))_n$  and established the following characterizations.

**Theorem 1.1.** Suppose that X is a complex Banach space and that  $T \in B(X)$  satisfies  $\frac{\|T^n\|}{n} \to 0$ . Then the following conditions are equivalent.

- (1)  $(M_n(T))_n$  converges in norm to an element in B(X).
- (2) 1 is a simple pole of the resolvent of T or 1 is in the resolvent set of T.
- (3)  $(I-T)^2$  has closed range.

It was then discovered by Lin in [6] that I-T having closed range is also an equivalent condition. Moreover, Lin's argument worked also for real Banach spaces. This result was later improved by Mbekhta and Zemánek in [9] in which they showed that  $(I-T)^m$  having closed range, where  $m\geq 1$ , are also equivalent conditions. More precisely,

**Theorem 1.2.** Let  $m \ge 1$ . Suppose that X is a real or complex Banach space and that  $T \in B(X)$  satisfies  $\frac{\|T^n\|}{n} \to 0$ . Then the sequence  $(M_n(T))_n$  converges in norm to an element in B(X) if and only if  $(I-T)^m$  has closed range.

Let K(X) be the closed ideal of compact operators in B(X). If  $T \in B(X)$  then its image in the Calkin algebra B(X)/K(X) is denoted by  $\dot{T}$ . By Dunford's Theorem

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1.1 or an analogous version for Banach algebras (without condition (3)), when X is a complex Banach space and  $\frac{\|\dot{T}^n\|}{n} \to 0$ , the convergence of  $(M_n(\dot{T}))_n$  in the Calkin algebra is equivalent to 1 being a simple pole of the resolvent of  $\dot{T}$  or being in the resolvent set of  $\dot{T}$ . But even if we are given that the limit  $\dot{P} \in B(X)/K(X)$  exists, there is no obvious geometric interpretation of  $\dot{P}$ . In the context of Theorems 1.1 and 1.2, if the limit of  $(M_n(T))_n$  exists, then it is a projection onto  $\ker(I-T)$ . In the context of the Calkin algebra, the limit  $\dot{P}$  is still an idempotent in B(X)/K(X); hence by making a compact perturbation, we can assume that P is an idempotent in B(X) (see Lemma 2.6 below).

A natural question to ask is: what is the range of P? Although the range of P is not unique (since P is only unique up to a compact perturbation), it can be thought of as an analog of  $\ker(I-T)$  in the Calkin algebra setting. If  $T_0 \in B(X)$  then  $\ker T_0$  is the maximal subspace of X on which  $T_0 = 0$ . This suggests that the analog of  $\ker T_0$  in the Calkin algebra setting is the maximal subspace of X on which  $T_0$  is compact. But the maximal subspace does not exist unless it is the whole space X. Thus, we introduce the following concept.

Let X be a Banach space and let (P) be a property that a subspace M of X may or may not have. We say that a subspace  $M \subset X$  is an essentially maximal subspace of X satisfying (P) if it has (P) and if every subspace  $M_0 \supset M$  having property (P) satisfies dim  $M_0/M < \infty$ .

Then the analog of ker  $T_0$  in the Calkin algebra setting is an essentially maximal subspace of X on which  $T_0$  is compact. It turns that if such an analog for I-T exists, then it is already sufficient for the convergence of  $(M_n(\dot{T}))_n$  in the Calkin algebra (at least for a large class of Banach spaces), which is the main result of this paper.

Before stating this theorem, we recall that a Banach space Z has the bounded compact approximation property (BCAP) if there is a uniformly bounded net  $(S_{\alpha})_{\alpha \in \Lambda}$  in K(Z) converging strongly to the identity operator  $I \in B(Z)$ . It is always possible to choose  $\Lambda$  to be the set of all finite dimensional subspaces of Z directed by inclusion. If the net  $(S_{\alpha})_{\alpha \in \Lambda}$  can be chosen so that  $\sup_{\alpha \in \Lambda} ||S_{\alpha}|| \leq \lambda$ , then we say that Z has the  $\lambda$ -BCAP. It is known that if a reflexive space has the BCAP, then the space has the 1-BCAP. For  $T \in B(X)$ , the essential norm  $||T||_e$  is the norm of T in B(X)/K(X).

**Theorem 1.3.** Let  $m \geq 1$ . Suppose that X is a real or complex Banach space having the bounded compact approximation property. If  $T \in B(X)$  satisfies  $\frac{\|T^n\|_e}{n} \to 0$ , then the following conditions are equivalent.

- (1) The sequence  $(M_n(\dot{T}))_n$  converges in norm to an element in B(X)/K(X).
- (2) There is an essentially maximal subspace of X on which  $(I-T)^m$  is compact.

The idea of the proof is to reduce Theorem 1.3 to Theorem 1.2 by constructing a Banach space  $\widehat{X}$  and an embedding  $f:B(X)/K(X)\to B(\widehat{X})$  so that if  $T\in B(X)$  and if there is an essentially maximal subspace M of X on which T is compact, then  $f(\widehat{T})$  has closed range, and then applying Theorem 1.2 to  $f(\widehat{T})$ . The BCAP of X is used to show that f is an embedding but is not used in the construction of  $\widehat{X}$  and f. The construction of f is based on the Calkin representation [1, Theorem 5.5].

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## 2. The Calkin representation for Banach spaces

In this section, X is a fixed infinite dimensional Banach space. Let  $\Lambda_0$  be the set of all finite dimensional subspaces of X directed by inclusion  $\subset$ . Then  $\{\{\alpha \in \Lambda_0 : \alpha \supset \alpha_0\} : \alpha_0 \in \Lambda_0\}$  is a filter base on  $\Lambda_0$ , so it is contained in an ultrafilter U on  $\Lambda_0$ .

Let Y be an arbitary infinite dimensional Banach space and let  $(Y^*)^U$  be the ultrapower (see e.g., [2, Chapter 8]) of  $Y^*$  with respect to U. (The ultrafilter U and the directed set  $\Lambda_0$  do not depend on Y.) If  $(y^*_{\alpha})_{\alpha \in \Lambda_0}$  is a bounded net in  $Y^*$ , then its image in  $(Y^*)^U$  is denoted by  $(y^*_{\alpha})_{\alpha,U}$ . Consider the (complemented) subspace

$$\widehat{Y} := \left\{ (y_{\alpha}^*)_{\alpha,U} \in (Y^*)^U : w^* - \lim_{\alpha,U} y_{\alpha}^* = 0 \right\}$$

of  $(Y^*)^U$ . Here  $w^*$ -  $\lim_{\alpha,U} y_\alpha^*$  is the  $w^*$ -limit of  $(y_\alpha^*)_{\alpha\in\Lambda_0}$  through U, which exists by the Banach-Alaoglu Theorem.

Whenever  $T \in B(X,Y)$ , we can define an operator  $\widehat{T} \in B(\widehat{Y},\widehat{X})$  by sending  $(y_{\alpha}^*)_{\alpha,U}$  to  $(T^*y_{\alpha}^*)_{\alpha,U}$ . Note that if  $K \in K(X,Y)$  then  $\widehat{K} = 0$ , where K(X,Y) denotes the space of all compact operators in B(X,Y).

**Theorem 2.1.** Suppose that X has the  $\lambda$ -BCAP. Then the operator  $f: B(X)/K(X) \to B(\widehat{X}), \ \dot{T} \mapsto \widehat{T}$ , is a norm one  $(\lambda + 1)$ -embedding into  $B(\widehat{X})$  satisfying

$$f(\dot{I}) = I \text{ and } f(\dot{T}_1\dot{T}_2) = f(\dot{T}_2)f(\dot{T}_1), \qquad T_1, T_2 \in B(X).$$

Proof. It is easy to verify that f is a linear map,  $f(\dot{I}) = I$ , and  $f(\dot{T}_1\dot{T}_2) = f(\dot{T}_2)f(\dot{T}_1)$  for  $T_1, T_2 \in B(X)$ . If  $T \in B(X)$ , then clearly  $||f(\dot{T})|| \leq ||T||$ , and thus we also have  $||f(\dot{T})|| \leq ||T||_e$ . Hence  $||f|| \leq 1$ . It remains to show that f is a  $(\lambda + 1)$ -embedding (i.e.,  $\inf_{||T||_e > 1} ||f(\dot{T})|| \geq (\lambda + 1)^{-1}$ ).

To do this, let  $T \in B(X)$  satisfy  $\|T\|_e > 1$ . Since X has the  $\lambda$ -BCAP, we can find a net of operators  $(S_\alpha)_{\alpha \in \Lambda_0} \subset K(X)$  converging strongly to I such that  $\sup_{\alpha \in \Lambda_0} \|S_\alpha\| \le \lambda$ . Then  $\|T^*(I - S_\alpha)^*\| = \|(I - S_\alpha)T\| \ge \|T\|_e > 1$ ,  $\alpha \in \Lambda_0$ . Thus, there exists  $(x_\alpha^*)_{\alpha \in \Lambda_0} \subset X^*$  such that  $\|x_\alpha^*\| = 1$  and  $\|T^*(I - S_\alpha)^*x_\alpha^*\| > 1$  for  $\alpha \in \Lambda_0$ .

Note that for every  $x \in X$ ,

$$\limsup_{\alpha \in \Lambda_0} |\langle (I - S_\alpha)^* x_\alpha^*, x \rangle| = \limsup_{\alpha \in \Lambda_0} |\langle x_\alpha^*, (I - S_\alpha) x \rangle| \le \limsup_{\alpha \in \Lambda_0} ||(I - S_\alpha) x|| = 0,$$

and so the net  $((I - S_{\alpha})^* x_{\alpha}^*)_{\alpha \in \Lambda_0}$  converges in the  $w^*$ -topology to 0. By the construction of U, this implies that

$$w^* - \lim_{\alpha, U} (I - S_\alpha)^* x_\alpha^* = 0.$$

Therefore, due to the definition  $f(\dot{T}) = \hat{T}$ , we obtain

$$(1+\lambda)\|f(\dot{T})\| \ge \|f(\dot{T})\| \lim_{\alpha,U} \|(I-S_{\alpha})^* x_{\alpha}^*\| = \|f(\dot{T})\| \|((I-S_{\alpha})^* x_{\alpha}^*)_{\alpha,U}\|$$

$$\ge \|f(\dot{T})((I-S_{\alpha})^* x_{\alpha}^*)_{\alpha,U}\|$$

$$= \lim_{\alpha,U} \|T^*(I-S_{\alpha})^* x_{\alpha}^*\| \ge 1.$$

It follows that  $||f(\dot{T})|| \ge (1+\lambda)^{-1}$  whenever  $||T||_e > 1$ .

**Remark 1.** We do not know whether Theorem 2.1 is true without the hypothesis that X has the BCAP.

**Remark 2.** The embedding in Theorem 2.1 is an isometry if the approximating net can be chosen so that  $||I - S_{\alpha}|| = 1$  for every  $\alpha$ . This is the case if, for example, the space X has a 1-unconditional basis. However, we do not know whether the embedding is an isometry if  $X = L_p(0,1)$  with  $p \neq 2$ .

If N is a subset of  $Y^*$ , then we can define a subset N' of  $\widehat{Y}$  by

$$N' := \left\{ (y_{\alpha}^*)_{\alpha,U} \in \widehat{Y} : \lim_{\alpha,U} d(y_{\alpha}^*, N) = 0 \right\},\,$$

where

$$d(y_{\alpha}^*, N) := \inf_{z^* \in N} ||y_{\alpha}^* - z^*||.$$

**Lemma 2.2.** If N is a w\*-closed subspace of Y\*, then for every  $(y_{\alpha}^*)_{\alpha,U} \in \widehat{Y}$ ,

$$d((y_{\alpha}^*)_{\alpha,U}, N') \le 2 \lim_{\alpha,U} d(y_{\alpha}^*, N).$$

*Proof.* Let  $a = \lim_{\alpha, U} d(y_{\alpha}^*, N)$ . Let  $\delta > 0$ . Then

$$A:=\{\alpha\in\Lambda:d(y_\alpha^*,N)< a+\delta\}\in U.$$

Whenever  $\alpha \in A$ ,  $\|y_{\alpha}^* - z_{\alpha}^*\| < a + \delta$  for some  $z_{\alpha}^* \in N$ . If we take  $z_{\alpha}^* = 0$  for  $\alpha \notin A$ , then, since  $\sup_{\alpha \in \Lambda} \|y_{\alpha}^*\| < \infty$ ,

$$\sup_{\alpha \in \Lambda} \|z_{\alpha}^*\| = \sup_{\alpha \in A} \|z_{\alpha}^*\| \le (a+\delta) + \sup_{\alpha \in A} \|y_{\alpha}^*\| < \infty.$$

As a consequence,  $\left(z_{\alpha}^* - w^*\text{-}\lim_{\beta,U} z_{\beta}^*\right)_{\alpha,U} \in N'$ , since N is  $w^*$ -closed. Therefore,

$$\begin{split} d\left((y_{\alpha}^{*})_{\alpha,U},N'\right) & \leq & d\left((y_{\alpha}^{*})_{\alpha,U},\left(z_{\alpha}^{*}-w^{*}\text{-}\lim_{\beta,U}z_{\beta}^{*}\right)_{\alpha,U}\right) \\ & = & \lim_{\alpha,U}\left\|y_{\alpha}^{*}-z_{\alpha}^{*}+w^{*}\text{-}\lim_{\beta,U}z_{\beta}^{*}\right\| \\ & \leq & \lim_{\alpha,U}\left\|y_{\alpha}^{*}-z_{\alpha}^{*}\right\|+\left\|w^{*}\text{-}\lim_{\beta,U}z_{\beta}^{*}\right\| \\ & \leq & (a+\delta)+\left\|w^{*}\text{-}\lim_{\beta,U}(z_{\beta}^{*}-y_{\beta}^{*})\right\| \\ & \leq & (a+\delta)+\lim_{\beta,U}\left\|z_{\beta}^{*}-y_{\beta}^{*}\right\| \leq 2(a+\delta). \end{split}$$

But  $\delta$  can be arbitarily close to 0 so  $d((y_{\alpha}^*)_{\alpha,U}, N') \leq 2a = 2 \lim_{\alpha,U} d(y_{\alpha}^*, N)$ .

**Proposition 2.3.** If X and Y are infinite dimensional Banach spaces and if  $T \in B(X,Y)$  has closed range then  $\widehat{T} \in B(\widehat{Y},\widehat{X})$  also has closed range.

*Proof.* The operator T has closed range so  $T^*$  also has closed range. Let  $c=\inf\{\|T^*y^*\|:y^*\in Y^*,\ d(y^*,\ker T^*)=1\}>0$ . Then by Lemma 2.2, for every  $(y^*_\alpha)_{\alpha,U}\in \widehat{Y}$ ,

$$\|\widehat{T}(y_{\alpha}^*)_{\alpha,U}\| = \lim_{\alpha,U} \|T^*y_{\alpha}^*\| \ge c \lim_{\alpha,U} d(y_{\alpha}^*, \ker T^*) \ge \frac{c}{2} d((y_{\alpha}^*)_{\alpha,U}, (\ker T^*)').$$

But obviously  $(\ker T^*)' \subset \ker \widehat{T}$ , and so

$$\|\widehat{T}(y_{\alpha}^*)_{\alpha,U}\| \ge \frac{c}{2} d((y_{\alpha}^*)_{\alpha,U}, \ker \widehat{T}), \quad (y_{\alpha}^*)_{\alpha,U} \in \widehat{Y}.$$

Hence  $\widehat{T}$  has closed range.

**Lemma 2.4.** Suppose that  $X \subset Y$  and that  $T \in B(X)$ . Let  $T_0 \in B(X,Y)$ ,  $x \mapsto Tx$ . Then  $\widehat{T}_0 \widehat{Y} = \widehat{T} \widehat{X}$ .

Proof. If  $(y_{\alpha}^*)_{\alpha,U} \in \widehat{Y}$ , then for each  $\alpha \in \Lambda$ , we have  $T_0^* y_{\alpha}^* = T^*(y_{\alpha|X}^*)$ , and  $(y_{\alpha|X}^*)_{\alpha,U} \in \widehat{X}$ . Thus  $\widehat{T}_0(y_{\alpha}^*)_{\alpha,U} = (T_0^* y_{\alpha}^*)_{\alpha,U} = (T^*(y_{\alpha|X}^*))_{\alpha,U} = \widehat{T}(y_{\alpha|X}^*)_{\alpha,U} \in \widehat{T}\widehat{X}$ . Hence  $\widehat{T}_0\widehat{Y} \subset \widehat{T}\widehat{X}$ .

Conversely, if  $(x_{\alpha}^*)_{\alpha,U} \in \widehat{X}$  then we can extend each  $x_{\alpha}^*$  to an element  $y_{\alpha}^* \in Y^*$  such that  $\|y_{\alpha}^*\| = \|x_{\alpha}^*\|$ . Thus we have  $\left(y_{\alpha}^* - w^* - \lim_{\beta,U} y_{\beta}^*\right)_{\alpha,U} \in \widehat{Y}$ . Note that

$$T_0^* \left( w^* - \lim_{\beta, U} y_\beta^* \right) = w^* - \lim_{\beta, U} T_0^* y_\beta^* = w^* - \lim_{\beta, U} T^* x_\beta^* = T^* \left( w^* - \lim_{\beta, U} x_\beta^* \right) = 0.$$

This implies that

$$\begin{split} \widehat{T}(x_{\alpha}^{*})_{\alpha,U} &= (T^{*}x_{\alpha}^{*})_{\alpha,U} &= (T_{0}^{*}y_{\alpha}^{*})_{\alpha,U} \\ &= \left(T_{0}^{*}\left(y_{\alpha}^{*} - w^{*}\text{-}\lim_{\beta,U}y_{\beta}^{*}\right)\right)_{\alpha,U} \\ &= \widehat{T}_{0}\left(y_{\alpha}^{*} - w^{*}\text{-}\lim_{\beta,U}y_{\beta}^{*}\right)_{\alpha,U} \in \widehat{T}_{0}\widehat{Y}. \end{split}$$

Therefore  $\widehat{T}\widehat{X} \subset \widehat{T}_0\widehat{Y}$ .

**Proposition 2.5.** Suppose that  $T \in B(X)$  and that there exists an essentially maximal subspace M of X on which T is compact. Then  $\widehat{T}$  has closed range.

*Proof.* Without loss of generality, we may assume that X is a subspace of  $Y = \ell_{\infty}(J)$  for some set J. Define  $T_0 \in B(X, \ell_{\infty}(J)), x \mapsto Tx$ . Then by assumption, there is an essentially maximal subspace M of X on which  $T_0$  is compact. By [7, Theorem 3.3], there exists  $K \in K(X, \ell_{\infty}(J))$  such that  $K_{|M} = T_{0|M}$ .

We now show that  $T_0 - K \in B(X, \ell_{\infty}(J))$  has closed range. Since  $M \subset \ker(T_0 - K)$  and M is an essentially maximal subspace of X on which  $T_0 - K$  is compact,  $\ker(T_0 - K)$  is an essentially maximal subspace of X on which  $T_0 - K$  is compact.

Let  $\pi$  be the quotient map from X onto  $X/\ker(T_0 - K)$ . Define the (one-to-one) operator  $R: X/\ker(T_0 - K) \to \ell_{\infty}(J)$ ,  $\pi x \mapsto (T_0 - K)x$ . If R does not have closed range, then by [8, Proposition 2.c.4], R is compact on an infinite dimensional subspace V of  $X/\ker(T_0 - K)$ . Hence,  $T_0 - K$  is compact on  $\pi^{-1}V$  and so by the essential maximality of  $\ker(T_0 - K)$ , we have dim  $\pi^{-1}V/\ker(T_0 - K) < \infty$ . Thus,  $V = \pi^{-1}V/\ker(T_0 - K)$  is finite dimensional, which contradicts the definition of V.

Therefore, R has closed range and so  $T_0-K$  also has closed range. By Proposition 2.3,  $\widehat{T_0-K}$  has closed range. But  $\widehat{K}=0$  so  $\widehat{T_0}$  has closed range and by Lemma 2.4,  $\widehat{T}$  has closed range.

**Lemma 2.6.** Suppose that  $P \in B(X)$  and that  $\dot{P}$  is an idempotent in B(X)/K(X). Then P is the sum of an idempotent in B(X) and a compact operator on X.

*Proof.* We first treat the case where the scalar field is  $\mathbb{C}$ . From Fredholm theory (see e.g. [5, Chapters XI and XVII]), we know that since  $\sigma(\dot{P}) \subset \{0,1\}$ , the only possible cluster points of  $\sigma(P)$  are 0 and 1. Thus, there exists 0 < r < 1 such that  $\{z \in \mathbb{C} : |z-1| = r\} \cap \sigma(P) = \emptyset$ . Then  $\dot{P} = \frac{1}{2\pi i} \oint_{|z-1|=r} (z\dot{I} - \dot{P})^{-1} dz$  and so  $P - \frac{1}{2\pi i} \oint_{|z-1|=r} (zI - P)^{-1} dz \in K(X)$ . But  $\frac{1}{2\pi i} \oint_{|z-1|=r} (zI - P)^{-1} dz$  is an idempotent in B(X) (see e.g. [10, Theorem 2.7]). This completes the proof in the complex case.

If X is a real Banach space, then let  $X_C$  and  $P_C$  be the complexifications (see [4, page 266]) of X and P, respectively. Thus,  $\dot{P}_C$  is an idempotent in  $B(X_C)/K(X_C)$ . Since the only possible cluster points of  $\sigma(P_C)$  are 0 and 1, there exists a closed rectangle R in the complex plane symmetric with respect to the real axis such that 1 is in the interior of R, 0 is in the exterior of R, and  $\sigma(P_C)$  is disjoint from the boundary  $\partial R$  of R. By [4, Lemma 3.4], the idempotent  $\frac{1}{2\pi i}\oint_{\partial R}(zI-P_C)^{-1}dz$  in  $B(X_C)$  is induced by an idempotent  $P_0$  in B(X). Since  $P_C-\frac{1}{2\pi i}\oint_{\partial R}(zI-P_C)^{-1}dz\in K(X_C)$ , we see that  $P-P_0\in K(X)$ .

Proof of Theorem 1.3. "(1)
$$\Rightarrow$$
(2)": Let  $\dot{P} := \lim_{n \to \infty} \frac{\dot{I} + \dot{T} + \ldots + \dot{T}^n}{n+1}$ .

Since 
$$\lim_{n\to\infty} \frac{\|\dot{T}^n\|}{n} = 0$$
,

$$(2.1) \qquad (\dot{I} - \dot{T})\dot{P} = \lim_{n \to \infty} (\dot{I} - \dot{T}) \frac{\dot{I} + \dot{T} + \ldots + \dot{T}^n}{n+1} = \lim_{n \to \infty} \frac{\dot{I} - \dot{T}^{n+1}}{n+1} = 0.$$

Thus  $\dot{T}\dot{P} = \dot{P}$ , and so

$$\dot{P}^2 = \lim_{n \to \infty} \frac{\dot{P} + \dot{T}\dot{P} + \ldots + \dot{T}^n\dot{P}}{n+1} = \lim_{n \to \infty} \frac{(n+1)\dot{P}}{n+1} = \dot{P}.$$

Hence  $\dot{P}$  is an idempotent in B(X)/K(X). By Lemma 2.6, there exists an idempotent  $P_0$  in B(X) such that  $P - P_0 \in K(X)$ . Replacing P with  $P_0$ , we can assume without loss of generality that P is an idempotent in B(X). Equation (2.1) also implies that  $(I - T)P \in K(X)$ , which means that I - T is compact on PX. Hence  $(I - T)^m$  is compact on PX.

We now show that PX is an essentially maximal subspace of X on which  $(I-T)^m$  is compact. Suppose that  $(I-T)^m$  is compact on a subspace  $M_0$  of X containing PX. Let

$$f_n(z) := \frac{n + (n-1)z + (n-2)z^2 + \ldots + z^{n-1}}{n+1}, \quad z \in \mathbb{C}, n \ge 1.$$

Note that  $\dot{I} - \frac{\dot{I} + \dot{T} + \dots + \dot{T}^n}{n+1} = (\dot{I} - \dot{T}) f_n(\dot{T})$ . Therefore,

$$\dot{I} - \dot{P} = (\dot{I} - \dot{P})^m = \lim_{n \to \infty} f_n(\dot{T})^m (\dot{I} - \dot{T})^m,$$

and so

$$\lim_{n \to \infty} \|(I - P) - (f_n(T)^m (I - T)^m + K_n)\| = 0,$$

for some  $K_1, K_2, \ldots \in K(X)$ .

Since  $(I-T)^m$  is compact on  $M_0$ , the operator  $f_n(T)^m(I-T)^m$  is compact on  $M_0$  and so is  $f_n(T)^m(I-T)^m+K_n$  on  $M_0$ . Thus  $(I-P)_{|M_0}$  is the norm limit of a sequence in  $K(M_0,X)$ , and so I-P is compact on  $M_0$ . Since  $PX\subset M_0$ , we have that  $(I-P)M_0\subset M_0$ . Therefore,  $(I-P)_{|(I-P)M_0}=I_{|(I-P)M_0}$  is compact, and so  $(I-P)M_0$  is finite dimensional. In other words, dim  $M_0/PX<\infty$ .

"(2) $\Rightarrow$ (1)": By Proposition 2.5,  $\widehat{(I-T)^m} = (I-\widehat{T})^m$  has closed range. Since by assumption  $\lim_{n\to\infty}\frac{\|T^n\|_e}{n}=0$ ,  $\lim_{n\to\infty}\frac{\|\widehat{T}^n\|}{n}=\lim_{n\to\infty}\frac{\|\widehat{T}^n\|}{n}=0$ . By Mbekhta-Zemánek's Theorem 1.2, the sequence  $(M_n(\widehat{T}))_n$  converges in norm to an element in  $B(\widehat{X})$ . By Theorem 2.1, the result follows.

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