

# On the classification of non-simple inductive limits of matrix algebras over certain one-dimensional spaces

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# The Elliott conjecture

## Conjecture (Elliott, 1990)

*Let  $A$  and  $B$  be simple unital separable amenable  $C^*$ -algebras, and suppose that there exists an isomorphism  $\varphi : Ell(A) \rightarrow Ell(B)$ . Then there exists an isomorphism  $\phi : A \rightarrow B$  inducing  $\varphi$ .*

- ▶ Simple, stably finite case:

$$Ell(A) = (K_0(A), K_0(A)^+, [1_A], K_1(A), T(A), r_A).$$

## Definition

An approximately interval (AI) algebra is an inductive limit  $C^*$ -algebra  $A = \lim(A_i, \mu_i)$ , where  $A_i = \bigoplus_{k=1}^{N_i} M_{n_k}(C[0, 1])$ .

## Theorem (Elliott, 1992)

*Let  $A$  and  $B$  be two simple separable unital AI-algebras. Then  $A$  is isomorphic to  $B$  if and only if  $(K_0(A), K_0(A)^+, [1_A], T(A), r_A)$  is isomorphic to  $(K_0(B), K_0(B)^+, [1_B], T(B), r_B)$ .*

- ▶ Generalized by L.Li to simple inductive limits of building blocks of the form  $A_i = \bigoplus_{k=1}^{N_i} M_{n_k}(C(X_k))$ , with each  $X_k = \text{tree}$ .

## Theorem (Toms)

*There exists a simple, unital, AH -algebra such that for any UHF algebra  $U$  we have*

1.  *$A$  and  $A \otimes U$  agree on the Elliott invariant.*
2.  *$A \not\cong A \otimes U$ .*

Tensoring with  $U$  doesn't affect the  $K_0$ -group or the traces.  
The main tool used to distinguish between these  $C^*$ -algebras is the Cuntz semigroup.

## Definition

1. For  $a, b \in (A \otimes \mathbb{K})^+$ , say that  $a$  is *Cuntz subequivalent* to  $b$  (written  $a \lesssim_{Cu} b$ ) if there is a sequence  $\{x_n\}$  in  $A \otimes \mathbb{K}$  such that

$$\|x_n b x_n^* - a\| \rightarrow 0.$$

2.  $a \sim_{Cu} b$  if  $a \lesssim_{Cu} b$  and  $b \lesssim_{Cu} a$ . Denote by  $[a]_{Cu}$  the Cuntz equivalence class.
3.  $Cu(A) = (A \otimes \mathbb{K})^+ / \sim_{Cu}$ , equipped with addition  $[a]_{Cu} + [b]_{Cu} = [a \oplus b]_{Cu}$   
order relation  $[a]_{Cu} \leq [b]_{Cu} \iff a \lesssim_{Cu} b$   
becomes an ordered abelian semigroup with zero.

## Examples

1.  $Cu(\mathbb{K}) \cong \mathbb{N} \cup \{\infty\}$ .
2.  $Cu(C[0, 1]) \cong \{f: [0, 1] \rightarrow \mathbb{N} \cup \{\infty\} \mid f \text{ lower-semicont.}\}$ .

It is a natural generalization of the Murray-von Neumann semigroup of projections  $V(A)$ . The map

$$V(A) \rightarrow Cu(A), [p]_{MvN} \mapsto [p]_{Cu}$$

is injective for the class of stably finite  $C^*$ -algebras.

# Problems to be considered

## Problem

*Is the augmented invariant  $(Ell(A), Cu(A))$  a complete invariant?  
Compute  $Cu(A)$  in terms of other  $C^*$ -invariants.*

## Question

*Can we use the Cuntz semigroup as an invariant in order to establish isomorphism, not just to distinguish  $C^*$ -algebras?*

## Theorem (N. Brown, Toms)

*Let  $A$  be a simple separable unital AH-algebra with slow dimension growth or a simple unital exact stably finite  $C^*$ -algebra absorbing the Jiang-Su algebra  $\mathcal{Z}$ . Then*

$$Cu(A) \cong V(A) \sqcup SAff(T(A)),$$

*where  $SAff(T(A))$  are functions on  $T(A)$  which are pointwise suprema of increasing sequences of continuous, affine and strictly positive functions on  $T(A)$ .*

### Remark

Simple separable unital AI-algebras are classified by the Cuntz semigroup.

## Proposition

*Let  $A$  be a separable  $C^*$ -algebra. Then the ideals of  $A$  are in natural bijective correspondence with the hereditary subsemigroups of the Cuntz semigroup of  $A$  which are closed under the operation of taking suprema of increasing sequences.*

## Problem

*Can we classify non-simple  $A1$  algebras using Cuntz semigroup?*

# The Thomsen semigroup

## Definition (Approximate unitary equivalence)

$a, b \in A^+$  are said to be approximately unitary equivalent ( $a \sim_{au} b$ ) if there is a sequence of unitaries  $\{u_n\}$  in  $A^\sim$  such that

$$\|u_n a u_n^* - b\| \rightarrow 0.$$

## Definition

$Th(A) = \{a \in (A \otimes \mathbb{K})^+ \mid \|a\| \leq 1\} / \sim_{au}$ , with addition

$[a]_{au} + [b]_{au} = [a \oplus b]_{au}$  and metric

$D_u([a]_{au}, [b]_{au}) = \inf \{\|u a u^* - b\| \mid u \text{ unitary}\}$  becomes a complete metric abelian semigroup with zero.

## Theorem (Thomsen, 1992)

Consider  $A = \lim(\bigoplus M_{n_i}(C[0, 1]), \mu_i)$  and  $B = \lim(\bigoplus M_{k_i}(C[0, 1]), \nu_i)$  two separable unital AI-algebras. Let  $\alpha: (Th(A), Th(A)^+) \rightarrow (Th(B), Th(B)^+)$  be an isometric semigroup isomorphism. Then there is an isomorphism  $\varphi: A \rightarrow B$  inducing  $\alpha$ .

## Problem

Study the relation between  $Th(A)$  and  $Cu(A)$ .

## Remark

$C_0((0, 1]) = C^*(x : x \geq 0, \|x\| \leq 1)$ .

Given a  $C^*$ -algebra  $A$ , any positive contraction  $a \in A$  defines a  $*$ -homomorphism

$$\varphi_a: C_0((0, 1]) \rightarrow A, \quad \varphi_a(id) = a.$$

## Definition (Reinterpretation of $Th(A)$ )

$Th(A) = \{[\varphi]_{au} \mid \varphi: C_0((0, 1]) \rightarrow A \otimes \mathbb{K}\}$ .

## Relation between $Th(A)$ and $Cu(A)$

To each map  $\varphi : C_0(0, 1] \rightarrow A \otimes K$  there is an associated map  $Cu(\varphi) : Cu(C_0(0, 1]) \rightarrow Cu(A)$ .

Moreover,  $\varphi \sim_{au} \psi$  implies  $Cu(\varphi) = Cu(\psi)$ . Therefore we have a well-defined semigroup map

$\Gamma : Th(A) \rightarrow Hom(Cu(C_0(0, 1]), Cu(A))$ .

### Theorem (Elliott, C.)

*If  $A$  has stable rank one ( $GL(A)$  dense in  $A$ ) then the map  $\Gamma$  is an isomorphism of semigroups.  $Cu(A)$  is determined by  $Hom(Cu(C_0(0, 1]), Cu(A))$  as the set of all images of  $1_{(0,1]}$  under homomorphisms*

$$Cu(A) = \{\alpha(1_{(0,1]}) \mid \alpha : Cu(C_0(0, 1]) \rightarrow Cu(A)\}.$$

# The pseudometric on $\text{Hom}(Cu(C_0(0, 1]), Cu(A))$

Given Cuntz semigroup morphisms  $\alpha, \beta: Cu(C_0(0, 1]) \rightarrow Cu(A)$ , define a pseudometric on  $\text{Hom}(Cu(C_0(0, 1]), Cu(A))$  by

$$d_W(\alpha, \beta) := \inf \left\{ r \in \mathbb{R}^+ \mid \begin{array}{l} \alpha(\mathbf{1}_{(t+r, 1]}) \leq \beta(\mathbf{1}_{(t, 1]}), \\ \beta(\mathbf{1}_{(t+r, 1]}) \leq \alpha(\mathbf{1}_{(t, 1]}), \end{array} \text{ for all } t \in \mathbb{R}^+ \right\}, \quad (1)$$

## Theorem (Elliott, C.)

If  $A$  has stable rank one the pseudometric  $d_W$  is a metric and

$$\frac{1}{8} D_u([a]_{au}, [b]_{au}) \leq d_W(Cu(\varphi_a), Cu(\varphi_b)) \leq D_u([a]_{au}, [b]_{au}).$$

## Remark

1. Proof of  $d_W$  being metric uses cancellation in  $Cu(A)$  (Rørdam, Winter).
2. Constant  $\frac{1}{8}$  recently improved to  $\frac{1}{4}$  by Robert, Santiago.

## Question

Is  $\Gamma$  an isometry?

## Example

$a, b \in M_n$  positive contractions

$$\sigma(a) = \{\lambda_1 \geq \lambda_2 \geq \cdots \geq \lambda_n\}$$

$$\sigma(b) = \{\mu_1 \geq \mu_2 \geq \cdots \geq \mu_n\}$$

$$D_u([a], [b]) = \max_i |\lambda_i - \mu_i|$$

$$d_W(Cu(\varphi_a), Cu(\varphi_b)) = \inf \left\{ r \geq 0 \mid \begin{array}{l} \text{rank}(a - t - r)_+ \leq \text{rank}(b - t)_+ \\ \text{rank}(b - t - r)_+ \leq \text{rank}(a - t)_+ \end{array} \right\}, \quad (2)$$

- ▶  $D_u([a], [b]) = d_W(Cu(\varphi_a), Cu(\varphi_b))$ .
- ▶ generalizes to inductive limits

# Classification of AI-algebras using the Cuntz semigroup

## Theorem (Elliott, C.)

Let  $A = \lim(\bigoplus M_{n_i}(C[0, 1]), \mu_i)$  and  $B = \lim(\bigoplus M_{k_i}(C[0, 1]), \nu_i)$  be unital separable AI-algebras. Let  $\alpha : Cu(A) \rightarrow Cu(B)$  be an isomorphism in the category **Cu**, satisfying  $\alpha[1_A] = [1_B]$ . Then there exists an isomorphism  $\varphi : A \rightarrow B$  giving rise to  $\alpha$ .

## Remark

The isomorphism  $\alpha : Cu(A) \rightarrow Cu(B)$  gives rise to an isometric isomorphism  $Th(A) \rightarrow Th(B)$ , hence to a  $C^*$ -isomorphism  $\varphi : A \rightarrow B$ .

# The case of the trees

## Problem

*Use the Cuntz semigroup to classify  $C^*$ -algebras more general than AI.*

## Definition

A tree is a compact one-dimensional CW complex which is contractible.

Similar to the interval case, we have

1. A pseudometric  $D_u$  on  $\text{Hom}(C(X, \nu), A)$ . If  $A$  has stable rank one then  $\text{Hom}(C(X, \nu), A)$  is complete with respect to  $D_u$ .
2. A pseudometric  $d_W$  on  $\text{Hom}(Cu(C(X))), Cu(A)$ .

## Theorem

Let  $A$  be a  $C^*$ -algebra and let  $(X, \nu)$  be a rooted tree. Let  $\phi, \psi: C(X, \nu) \rightarrow A$  be  $*$ -homomorphisms. Then

$$\frac{1}{2N+2} D_u(\phi, \psi) \leq d(\text{Cu}(\phi), \text{Cu}(\psi)) \leq D_u(\phi, \psi),$$

where  $N \in \mathbb{N}$  is a constant depending on the tree  $X$ .

# Approximate Existence Theorem

## Theorem (Elliott, Santiago, C.)

Let  $A$  be a unital  $C^*$ -algebra and let  $X$  be a tree. Let  $\alpha: \text{Cu}(C(X)) \rightarrow \text{Cu}(A)$  be a morphism in the category  $\mathbf{Cu}$  such that  $\alpha[1_X] \leq [1_A]$ . Then for every  $\epsilon > 0$  there is a  $*$ -homomorphism  $\varphi: C(X) \rightarrow A$  such that  $d(\alpha, \text{Cu}(\varphi)) < \epsilon$ .  
Moreover, if  $A$  has stable rank one, we can lift  $\alpha$  exactly, i.e. there is  $\varphi: C(X) \rightarrow A$  such that  $\alpha = \text{Cu}(\varphi)$ .

# Classification

## Theorem

Let  $A = \lim(\bigoplus M_{n_{k_i}}(C(X_{k_i}), \mu_i))$  and  $B = \lim(\bigoplus M_{n_{m_i}}(C(X_{m_i}), \nu_i))$  be unital  $C^*$ -algebras, where all the spaces  $X_j$  are trees. Let  $\alpha: Cu(A) \rightarrow Cu(B)$  be an isomorphism of semigroups such that  $\alpha[1_A] = [1_B]$ . Then there is an isomorphism of  $C^*$ -algebras  $\varphi: A \rightarrow B$  such that  $Cu(\varphi) = \alpha$ .