

## Math 423 final exam answers, honors version

1.

- (a) False. This is only true if  $V = W_1 \oplus W_2$ , in other words if  $W_1 \cap W_2 = \{0\}$ .
- (b) True. All the eigenvalues of a unitary operator have modulus 1, and the determinant is the product of eigenvalues.
- (c) False. The matrix may be complex:  $\begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}$  is symmetric but not self-adjoint.
- (d) False. This is not a subspace, since it does not contain the zero element.
- (e) True. By the dimension theorem, it follows that  $T$  is also one-to-one.

2.

- (a)  $I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .
- (b)  $iI = \begin{pmatrix} i & 0 \\ 0 & i \end{pmatrix}$ .
- (c)  $\begin{pmatrix} 1 & -1 \\ 0 & 0 \end{pmatrix}$  is diagonalizable, with eigenvectors  $(1, 0)$  and  $(1, 1)$ , but these are not orthogonal, and the matrix is not normal.
- (d)  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$  is a Jordan block, and is not diagonalizable.
- (e) No, we cannot. All the eigenvalues of a self-adjoint operator are real, while the eigenvalues of an operator that is normal but not self-adjoint cannot all be real.

3. We compute  $T(1) = 2x$  and

$$T(x) = T(-2 + (2 + x)) = -4x + x + (1 + x) = 1 - 2x.$$

Therefore

$$T(a + bx) = 2ax + b(1 - 2x) = b + (2a - 2b)x.$$

4. Let

$$\beta = \{u_1, u_2, \dots, u_k\}$$

be a basis for  $W$ , and

$$\beta' = \{u_{k+1}, \dots, u_n\}$$

be a basis for  $W^\perp$ . Then  $Pu_i = u_i$  for  $i \leq k$  and  $Pu_i = 0$  for  $i > k$ . Thus each  $u_i$  is an eigenvector of  $P$ , with eigenvalues 1 (multiplicity  $k$ ) and 0 (multiplicity  $n - k$ ).

5. The matrix is upper-triangular, so its eigenvalues are 2 with multiplicity 2 and 1 with multiplicity 1. We compute

$$N(A - 2I) = N \begin{pmatrix} 0 & 1 & -1 \\ 0 & 0 & -2 \\ 0 & 0 & -1 \end{pmatrix} = N \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix} = \text{Span}(\mathbf{e}_1)$$

1

and

$$N((A - 2I)^2) = N \begin{pmatrix} 0 & 0 & -3 \\ 0 & 0 & -2 \\ 0 & 0 & -1 \end{pmatrix} = N \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} = \text{Span}(\mathbf{e}_1, \mathbf{e}_2),$$

so we take as the end vector  $\mathbf{e}_2$ , and compute  $(A - 2I)\mathbf{e}_2 = \mathbf{e}_1$ . Also,

$$N(A - I) = N \begin{pmatrix} 1 & 1 & -1 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{pmatrix} = N \begin{pmatrix} 1 & 0 & 1 \\ 0 & 1 & -2 \\ 0 & 0 & 0 \end{pmatrix} = \text{Span} \left( \begin{pmatrix} -1 \\ 2 \\ 1 \end{pmatrix} \right) = \text{Span}(-\mathbf{e}_1 + 2\mathbf{e}_2 + \mathbf{e}_3).$$

So in the Jordan basis  $\{\mathbf{e}_1, \mathbf{e}_2, -\mathbf{e}_1 + 2\mathbf{e}_2 + \mathbf{e}_3\}$ , the matrix has the form

$$\begin{pmatrix} 2 & 1 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

**6.** We know that  $\mathcal{P}_n(\mathbb{R})$  has dimension  $n + 1$ . The set  $\{p_0, p_1, \dots, p_n\}$  has  $n + 1$  elements. So to show that this set is linearly dependent, it is enough to show that it is *not* spanning. Indeed, all the polynomials in  $\text{Span}(\{p_0, p_1, \dots, p_n\})$  satisfy  $p(1) = 0$ , and so not every polynomial is in this span.

**7.**

- (a) Since  $U$  maps an orthonormal basis to an orthonormal basis, it preserves dimensions of subspaces. Since  $W$  is  $U$ -invariant,  $U(W) \subset W$ . But since  $\dim U(W) = \dim W$ , it follows that  $U(W) = W$ .
- (b) Since  $U$  preserves inner products,  $U(W^\perp) \subset U(W)^\perp = W^\perp$ . So  $W^\perp$  is  $U$ -invariant.

**8.**

- (a) We compute

$$\langle \mathbf{a}, \mathbf{b} \rangle = 0$$

but

$$\|\mathbf{a}\| = \sqrt{3}$$

and

$$\|\mathbf{b}\| = \sqrt{6}$$

- (b) From part (a), we know that an orthonormal basis for this subspace is

$$\left\{ \frac{1}{\sqrt{3}}\mathbf{a}, \frac{1}{\sqrt{6}}\mathbf{b} \right\}.$$

So the projection is

$$\left\langle \mathbf{x}, \frac{1}{\sqrt{3}}\mathbf{a} \right\rangle \frac{1}{\sqrt{3}}\mathbf{a} + \left\langle \mathbf{x}, \frac{1}{\sqrt{6}}\mathbf{b} \right\rangle \frac{1}{\sqrt{6}}\mathbf{b} = \frac{1}{3}6\mathbf{a} + \frac{1}{6}3\mathbf{b} = 2\mathbf{a} + \frac{1}{2}\mathbf{b} = \left( \frac{3}{2}, \frac{3}{2}, 3 \right)^T.$$