

## 14.7: Surface Integrals

*Problem:* Find the mass of a thin sheet (say, of aluminum foil) which has a shape of a surface  $S$  and the density (mass per unit area) at the point  $(x, y, z)$  is  $\rho(x, y, z)$ .

*Solution:*

$$\text{If } \rho = \text{const} \Rightarrow m = \rho (\text{surface area}) = \rho \iint_S dS$$

$$\text{If } \rho \neq \text{const} \Rightarrow m = \iint_S \rho(x, y, z) dS$$

If  $S$  is given by  $\mathbf{r}(u, v) = x(u, v)\mathbf{i} + y(u, v)\mathbf{j} + z(u, v)\mathbf{k}$ ,  $(u, v) \in D$ , then the surface integral of  $f$  over the surface  $S$  is:

$$\iint_S f(x, y, z) dS = \iint_D f(\mathbf{r}(u, v)) |\mathbf{N}(u, v)| dA =$$

$$= \iint_D f(x(u, v), y(u, v), z(u, v)) |\vec{r}_u \times \vec{r}_v| du dv$$

double integral

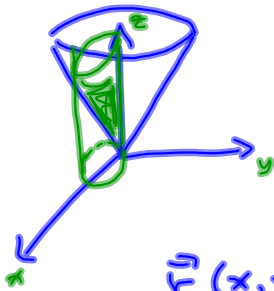
$$dS = |\vec{r}_u \times \vec{r}_v| du dv$$

or

$$dS = |\vec{N}| dA$$

EXAMPLE 1. Find the mass of a thin funnel in the shape of a cone  $z = \sqrt{x^2 + y^2}$  inside the cylinder  $x^2 + y^2 \leq 2x$ , if its density is a function  $\rho(x, y, z) = x^2 + y^2 + z^2$ .

$$(x-1)^2 + y^2 \leq 1$$



$$m = \iint_S \rho dS = \iint_S (x^2 + y^2 + z^2) dS$$

Parameterize  $S$  :  
 $x = x, y = y, z = \sqrt{x^2 + y^2}$

$$\vec{r}(x, y) = \langle x, y, \sqrt{x^2 + y^2} \rangle$$

Find  $\vec{r}_x \times \vec{r}_y$  and so on...

$$(x, y) \in D = \{x^2 + y^2 \leq 2x\}$$

Shorter way

normal vector to the graph  $z = f(x, y)$  is  $\vec{N}(x, y) = \langle f_x, f_y, -1 \rangle$   
 $|\vec{N}(x, y)| = \sqrt{f_x^2 + f_y^2 + 1}$

$$\vec{N}(x, y) = \left\langle \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}}, -1 \right\rangle$$

$$|\vec{N}(x, y)| = \sqrt{\frac{x^2}{x^2 + y^2} + \frac{y^2}{x^2 + y^2} + 1} = \sqrt{2}$$

$$m = \iint_S (x^2 + y^2 + z^2) dS = \iint_D (x^2 + y^2 + \underbrace{z^2}_{x^2 + y^2}) \underbrace{\sqrt{2}}_{|\vec{r}_x \times \vec{r}_y|} dx dy$$

$$D = \{(x, y) : x^2 + y^2 \leq 2x\}$$

use polar coord.

$$= \iint_{D^*} 2\sqrt{2} r^2 dr d\theta$$

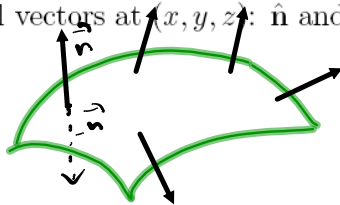
$$D^* = \{(r, \theta) : 0 \leq r \leq 2\cos\theta, -\frac{\pi}{2} \leq \theta \leq \frac{\pi}{2}\}$$

$$2\sqrt{2} \int_{-\pi/2}^{\pi/2} \int_0^{2\cos\theta} r^3 dr d\theta = 2\sqrt{2} \int_{-\pi/2}^{\pi/2} \left. \frac{r^4}{4} \right|_0^{2\cos\theta} d\theta$$

$$= \frac{\sqrt{2}}{2} \int_{-\pi/2}^{\pi/2} 2^4 \cos^4 \theta d\theta = \dots = 3\sqrt{2} \pi$$

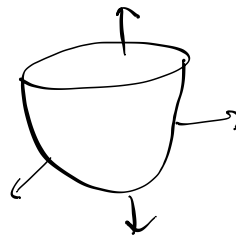
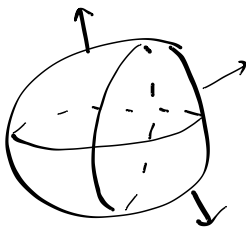
- **Oriented surfaces.** We consider only two-sided surfaces.

Let a surface  $S$  has a tangent plane at every point (except at any boundary points). There are two unit normal vectors at  $(x, y, z)$ :  $\hat{n}$  and  $-\hat{n}$ .



If it is possible to choose a unit normal vector  $\hat{n}$  at every point  $(x, y, z)$  of a surface  $S$  so that  $\hat{n}$  varies continuously over  $S$ , then  $S$  is called **oriented surface** and the given choice of  $\hat{n}$  provides  $S$  with an **orientation**. There are two possible orientations for any orientable surface:

Convention: For closed surfaces the positive orientation is outward.



- Surface integrals of vector fields.

DEFINITION 2. If  $\mathbf{F}$  is a continuous vector field defined on an oriented surface  $S$  with unit normal vector  $\hat{\mathbf{n}}$ , then the surface integral of  $\mathbf{F}$  over  $S$  is

$$\iint_S \mathbf{F} \cdot d\vec{S} = \iint_S \mathbf{F} \cdot \underbrace{\hat{\mathbf{n}} dS}_{\text{mit}} d\vec{S}$$

This integral is also called the flux of  $\mathbf{F}$  across  $S$ .

Note that if  $S$  is given by  $\mathbf{r}(u, v)$ ,  $(u, v) \in D$ , then

$$\hat{\mathbf{n}} = \frac{\mathbf{n}}{|\mathbf{n}|} = \frac{\vec{n}(u, v)}{|\vec{n}(u, v)|} = \frac{\vec{r}_u \times \vec{r}_v}{|\vec{r}_u \times \vec{r}_v|}$$

and

$$\begin{aligned} d\vec{S} &= \hat{\mathbf{n}} dS = \hat{\mathbf{n}}(u, v) \cdot (|\vec{n}(u, v)| du dv) \\ &= \frac{\vec{n}(u, v)}{|\vec{n}(u, v)|} \cdot |\vec{n}(u, v)| du dv \\ &= \vec{n}(u, v) du dv \\ &= \pm \vec{r}_u \times \vec{r}_v du dv \end{aligned}$$

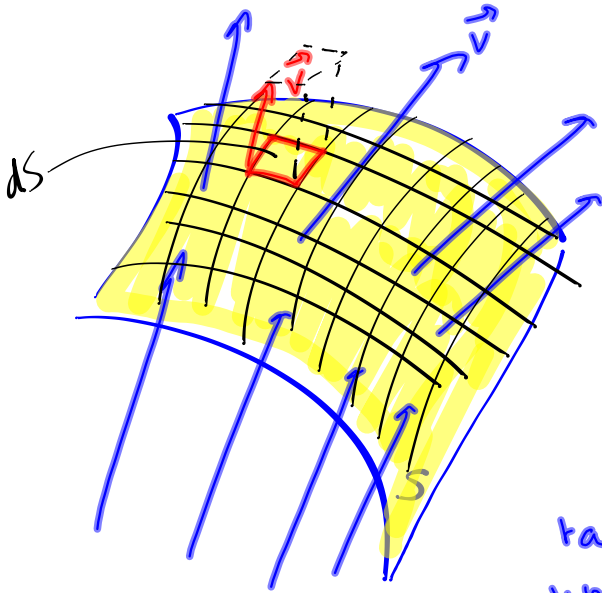
Finally,

$$\iint_S \mathbf{F} \cdot d\vec{S} = \iint_D \mathbf{F}(\vec{r}(u, v)) \cdot (\pm \vec{r}_u \times \vec{r}_v) du dv$$

choose correct orientation of  $\vec{n} = \pm \vec{r}_u \times \vec{r}_v$  depending on problem

Flux

$$\iint_S \vec{F} \cdot d\vec{S} = \iint_S \vec{F} \cdot \hat{n} ds = \iint_D (\vec{F}(\vec{r}(u,v)) \cdot \hat{n}(u,v)) du dv$$



Fluid with density  $\rho(x,y,z)$  and velocity field  $\vec{V}(x,y,z)$  through  $S$

$$\vec{F} = \rho \vec{V}$$

$$\left[ \frac{\text{kg}}{\text{m}^3} \cdot \frac{\text{m}}{\text{s}} \right] = \left[ \frac{\text{kg}}{\text{m}^2 \cdot \text{s}} \right]$$

rate of flow = mass per unit area and unit time

Volume of the fluid crosses  $dS$  per unit time is approximately equal to volume of the parallelepiped spanned by  $\vec{v}$ ,  $\vec{r}_u$ ,  $\vec{r}_v$



$$\text{Volume} \approx \vec{v} \cdot (\vec{r}_u \times \vec{r}_v)$$

$$\begin{aligned} \text{Flux through } dS &= \text{mass} = \rho \cdot \text{Volume} = \underbrace{\rho \vec{v}}_{\vec{F}} \cdot (\vec{r}_u \times \vec{r}_v) = \vec{F} \cdot \underbrace{(\vec{r}_u \times \vec{r}_v)}_{\vec{n}(u,v)} \\ &= \vec{F} \cdot \vec{n} \end{aligned}$$

$$\text{Total Flux} = \iint_S \vec{F} \cdot \underbrace{\hat{n}}_{d\vec{S}} ds = \iint_S \vec{F} \cdot d\vec{S}$$

EXAMPLE 3. Find the flux of the vector field

$$\mathbf{F} = \langle x^2, y^2, z^2 \rangle$$

across the surface

$$S = \{z^2 = x^2 + y^2, 0 \leq z \leq 2\}$$



$$\text{Flux} = \iint_S \vec{F} \cdot d\vec{S}$$

① Parametrize S

way 2 Use polar coord.

$$x = u \cos v, \quad y = u \sin v, \quad z = u$$

$$\vec{r}(u, v) = \langle u \cos v, u \sin v, u \rangle$$

$$D = \{(u, v) : 0 \leq v \leq 2\pi, 0 \leq u \leq 2\}$$

way 1  $z = \sqrt{x^2 + y^2}$   
 $\vec{r}(x, y) = \{x, y, \sqrt{x^2 + y^2}\}$

$$D = \{x^2 + y^2 \leq 4\}$$

projection of S onto the xy-plane

.....  
 $= u \cos^2 v + u \sin^2 v$

② Find normal  $\vec{n}(u, v)$

$$\vec{r}_u \times \vec{r}_v = \begin{vmatrix} \hat{i} & \hat{j} & \hat{k} \\ \cos v & \sin v & 1 \\ -u \sin v & u \cos v & 0 \end{vmatrix} = \langle -u \cos v, -u \sin v, u \rangle$$

$$\vec{n}(u, v) = \pm \vec{r}_u \times \vec{r}_v$$

$$= \pm \langle -u \cos v, -u \sin v, u \rangle$$

From the picture  $\vec{n}$  is pointed downward  
 $\Rightarrow$  the z-component of  $\vec{n}$  is negative

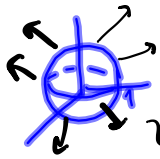
$$\vec{n}(u, v) = \langle u \cos v, u \sin v, -u \rangle$$

③ Find dot product

$$\vec{F}(\vec{r}(u, v)) \cdot \vec{n}(u, v) =$$

$$= \langle$$

EXAMPLE 4. Evaluate  $I = \underbrace{\iint_S \mathbf{F} \cdot d\mathbf{S}}_{\text{Flux}}$  where  $\mathbf{F} = \langle z, y, x \rangle$  and  $S$  is the closed unit sphere centered at the origin.  $\vec{n}$  is outward



Parameterize  $S: x^2 + y^2 + z^2 = 1$

Use spherical coordinates:  $\rho = 1 \Rightarrow \rho = 1$

$$\vec{r}(\theta, \varphi) = \langle \underbrace{\sin \varphi \cos \theta}_x, \underbrace{\sin \varphi \sin \theta}_y, \underbrace{\cos \varphi}_z \rangle$$

$$D = \{(\varphi, \theta) : 0 \leq \varphi \leq \pi, 0 \leq \theta \leq 2\pi\}$$

$$\mathbf{r}_\theta \times \mathbf{r}_\varphi = \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ -\sin \varphi \sin \theta & \sin \varphi \cos \theta & 0 \\ \cos \varphi \cos \theta & \cos \varphi \sin \theta & -\sin \varphi \end{vmatrix}$$

$$= \langle -\sin^2 \varphi \cos \theta, -\sin^2 \varphi \sin \theta, -\sin \varphi \cos \varphi (\overbrace{\sin^2 \theta + \cos^2 \theta}^{=1}) \rangle$$

$$\vec{n}(\theta, \varphi) = \pm \langle -\sin^2 \varphi \cos \theta, -\sin^2 \varphi \sin \theta, -\sin \varphi \cos \varphi \rangle$$

$dS = \vec{n} \cdot dA$

$$\vec{F}(\vec{r}(\theta, \varphi)) \cdot \vec{n}(\theta, \varphi)$$

$$= \langle \cos \varphi, \sin \varphi \sin \theta, \sin \varphi \cos \theta \rangle$$

$$\cdot \langle \sin^2 \varphi \cos \theta, \sin^2 \varphi \sin \theta, \sin \varphi \cos \varphi \rangle$$

$$= \sin^2 \varphi \cos \varphi \cos \theta + \sin^3 \varphi \sin^2 \theta + \sin^2 \varphi \cos \varphi \cos \theta$$

$$= 2 \sin^2 \varphi \cos \varphi \cos \theta + \sin^3 \varphi \sin^2 \theta$$



$$\iint_S \vec{F} \cdot d\vec{S} = \iint_D \vec{F}(\vec{r}(\theta, \varphi)) \cdot \vec{n}(\theta, \varphi) dA$$

$$= \int_0^{\pi} \int_0^{2\pi} (2 \sin^2 \varphi \cos \varphi \cos \theta + \sin^3 \varphi \sin^2 \theta) d\theta d\varphi$$

$$= 2 \int_0^{\pi} \sin^2 \varphi \cos \varphi d\varphi \int_0^{2\pi} \cos \theta d\theta + \int_0^{\pi} \sin^3 \varphi d\varphi \int_0^{2\pi} \sin^2 \theta d\theta$$

$$= \int_0^{\pi} (1 - \cos^2 \varphi) \sin \varphi d\varphi \int_0^{\pi} \frac{1 - \cos 2\theta}{2} d\theta$$

$$= \dots = \frac{4\pi}{3}$$