Weighted Markov-Type Estimates for the Derivatives of Constrained Polynomials on $[0, \infty)$

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Throughout this paper $c_1(\cdot)$, $c_2(\cdot)$, ... will denote positive constants depending only on the values given in the parenthesis. Let Π_n be the set of all real algebraic polynomials of degree at most n. A weaker version of an inequality of the brothers Markov (see [8, 9]) asserts that

$$\max_{A \le x \le B} |p^{(m)}(x)|$$

$$\le \left(\frac{2n^2}{B-A}\right)^m \max_{A \le x \le B} |p(x)| \qquad (p \in H_n; n, m \ge 1). \tag{1}$$

For $0 < r \le (B - A)/2$ $(A, B \in \mathbb{R})$ let

$$D_1(A, B, r)^+ := \big\{ z \in \mathbb{C} \, | \, |z - (A + r)| < r \big\}$$

and denote by $S_n^k(A, B, r)^+$ $(0 \le k \le n)$ the set of those polynomials from Π_n which have at most k roots in $D_1(A, B, r)^+$. From (40) of [2], by a simple linear transformation we obtain

THEOREM A. Let $0 < r \le (B - A)/2$, A. $B \in \mathbb{R}$, $0 \le k \le n$, n, $m \ge 1$, and $s \in S_n^k(A, B, r)^+$. Then

$$|s^{(m)}(A)| \le c_1(m) \left(\frac{n(k+1)^2}{\sqrt{r(B-A)}}\right)^m \max_{A \le x \le B} |s(x)|.$$

Let

$$||p||_a := \sup_{0 \le x < \infty} |p(x) \exp(-x^a)| \qquad (p \in \Pi_n, a > 0),$$
 (2)

$$D_2(r) := \{ z \in \mathbb{C} \mid |z - r| < r \} \qquad (r > 0), \tag{3}$$

$$D_3(r) := \{ z \in \mathbb{C} \mid \text{Re } z > r \} \tag{4}$$

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and denote by $W_n^k(r)$ and $V_n^k(r)$ $(0 \le k \le n, r \ge 0)$ the set of those polynomials from Π_n which have at most k roots in $D_2(r)$ and $D_3(r)$, respectively. The main purpose of this paper is to give Markov-type estimates for the derivatives of polynomials from Π_n , $W_n^k(r)$, and $V_n^0(r)$ $(0 \le k \le n, r > 0)$ on $[0, \infty)$ with respect to the norm $\|\cdot\|_a$. We shall prove the following theorems.

THEOREM 1. Let $n \ge 2$, $m \ge 1$, and a > 0. Then we have

$$||p^{(m)}||_a \le c_2(a, m)(K_n(a))^m ||p||_a \qquad (p \in \Pi_n),$$

where

$$K_n(a) = \begin{cases} n^{2-1/a} & \text{if } \frac{1}{2} < a < \infty \\ \log^2 n & \text{if } a = \frac{1}{2} \\ 1 & \text{if } 0 < a < \frac{1}{2}. \end{cases}$$

THEOREM 2. Let $n \ge 2$, $0 \le k \le n$, $m \ge 1$, $r \ge 0$, and a > 0. Then we have

$$||p^{(m)}||_a \le c_3(a,m)((k+1)^2 L_n(a,r))^m ||p||_a \quad (p \in W_n^k(r)),$$

where

$$L_n(a,r) = \begin{cases} n^{2-1/a} & (0 \le r \le n^{1/a-2}) \\ \frac{n^{1-1/(2a)}}{\sqrt{r}} & (n^{1/a-2} \le r \le n^{1/a}) \\ n^{1-1/a} & (n^{1/a} \le r < \infty) \end{cases}$$

if $1 \le a < \infty$,

$$L_n(a,r) = \begin{cases} n^{2-1/a} & (0 \le r \le n^{1/a-2}) \\ \frac{n^{1-1/(2a)}}{\sqrt{r}} & (n^{1/a-2} \le r \le n^{2-1/a}) \\ 1 & (n^{2-1/a} \le r < \infty) \end{cases}$$

 $if \, \frac{1}{2} < a \leq 1,$

$$L_n(a, r) = \begin{cases} \log^2 n & (0 \le r \le \log^{-2} n) \\ \frac{\log n}{\sqrt{r}} & (\log^{-2} n \le r \le \log^2 n) \\ 1 & (\log^2 n \le r < \infty) \end{cases}$$

if $a = \frac{1}{2}$, and

$$L_n(a, r) = 1$$
 if $0 < a < \frac{1}{2}$.

THEOREM 3. If k = 0, $1 \le m \le n$, and $0 < a \ne \frac{1}{2}$, then up to the constant depending only on a and m Theorems 1 and 2 are sharp.

Conjecture 1. Up to the constant $c_3(a, m)$ Theorem 2 is sharp even in the case when k = 0, $1 \le m \le n$, and $a = \frac{1}{2}$.

THEOREM 4. Let n, $m \ge 1$, $r \ge 0$, and a > 0. Then we have

$$||p^{(m)}||_a \le c_4(a, m)(G_n(a, r))^m ||p||_a \qquad (p \in V_n^0(r)),$$

where

$$G_n(a, r) = \begin{cases} r^{2a-1} + n^{1-1/a} + 1 & (0 \le r \le n^{1/a}) \\ n^{2-1/a} & (n^{1/a} < r < \infty) \end{cases}$$

when $\frac{1}{2} < a < \infty$,

$$G_n(\frac{1}{2}, r) = \begin{cases} \log^2(r+2) & (0 \le r \le n^2) \\ \log^2(n+1) & (n^2 < r < \infty), \end{cases}$$

and

$$G_n(a, r) = 1$$
 when $0 < a < \frac{1}{2}$.

THEOREM 5. For all $0 < a \neq \frac{1}{2}$ and $1 \leq m \leq n$, up to the constant $c_2(a, m)$ Theorem 4 is sharp.

Conjecture 2. Up to the constant $c_4(a, m)$ Theorem 4 is sharp even for $a = \frac{1}{2}$ and $1 \le m \le n$.

(To see this it would be sufficient to prove that Theorem 1 is sharp when $a = \frac{1}{2}$.)

Proof of Theorem 1. It is sufficient to prove the theorem when m = 1, from this the general case follows by induction on m. We distinguish two cases.

Case 1. $1 \le a < \infty$. Denote the integer part of a by [a]. A close inspection of its derivative shows that

$$F(x) := \left(1 - \frac{x^{[a]}}{n^{[a]/a}}\right)^{2n} \exp(x^a)$$

is monotonically decreasing in $[0, n^{1/a}]$; therefore

$$\exp(-x^{a}) \ge q_{n,y}(x) := \frac{\exp(-y^{a})}{(1 - y^{[a]}/n^{[a]/a})^{2n}} \times \left(1 - \frac{x^{[a]}}{n^{[a]/a}}\right)^{2n} \ge 0 \qquad (0 \le y \le x \le n^{1/a}).$$
 (5)

Now let $p \in \Pi_n$ be arbitrary. Then $s := pq_{n, y} \in \Pi_{(2[a]+1)n}$ $(0 \le y \le n^{1/a})$, so by (1) and (5) we obtain

$$|s'(y)| \leq \frac{2(2[a]+1)^2 n^2}{(1/2)n^{1/a}} \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x)| q_{n,y}(x)|$$

$$\leq c_5(a)n^{2-1/a} \max_{y \leq x \leq y + (1/2)n^{1/a}} |p(x)| \exp(-x^a)|$$

$$\leq c_5(a)n^{2-1/a} ||p||_a \qquad (0 \leq y \leq \frac{1}{2}n^{1/a}). \tag{6}$$

Further a simple calculation shows that

$$|q'_{n,y}(y)| \le c_6(a)n^{1-1/a} \exp(-y^a) \qquad (0 \le y \le \frac{1}{2}n^{1/a}).$$
 (7)

Hence and from (6)

$$|p'(y) \exp(-y^{a})| = |p'(y) q_{n,y}(y)|$$

$$\leq |s'(y)| + |p(y) q'_{n,y}(y)|$$

$$\leq c_{5}(a)n^{2-1/a} ||p||_{a}$$

$$+ c_{6}(a)n^{1-1/a} ||p(y) \exp(-y^{a})|$$

$$\leq c_{7}(a)n^{2-1/a} ||p||_{a} \qquad (p \in \Pi_{n}, 0 \leq y \leq \frac{1}{2}n^{1/a}).$$
 (8)

Finally by (1) we get

$$|p'(y) \exp(-y^{a})| \le \exp(-y^{a}) \frac{2n^{2}}{y} \max_{0 \le x \le y} |p(x)|$$

$$\le 4n^{2-1/a} \max_{0 \le x \le y} |p(x) \exp(-x^{a})|$$

$$\le 4n^{2-1/a} ||p||_{a} \qquad (p \in \Pi_{n}, \frac{1}{2}n^{1/a} \le y < \infty). \tag{9}$$

Now (8) and (9) give Theorem 1 in this case.

Case 2. $0 < a \le 1$. We need the following Markov-type inequality,

$$\sup_{|x| < \infty} |f'(x) \exp(-|x|^b)| \le c_8(b) H_n(b) \sup_{|x| < \infty} |f(x) \exp(-|x|^b)$$

$$(f \in \Pi_{2n}, n \ge 2, b > 0), \quad (10)$$

where

$$H_n(b) = \begin{cases} n^{1-1/b} & \text{if} \quad 1 \le b < \infty \\ \log n & \text{if} \quad b = 1 \\ 1 & \text{if} \quad 0 < b < 1. \end{cases}$$
 (11)

(See G. Freud [4] $(2 \le b < \infty)$, A. L. Levin and D. S. Lubinsky [5] (1 < b < 2), and P. Nevai and V. Totik [11] $(0 < b \le 1)$.) Now let $g \in \Pi_n$ be arbitrary and $f(x) = g(x^2) \in \Pi_{2n}$. Using (10) and the substitutions $z = x^2$ and a = b/2, we get

$$|g'(0)| = \frac{1}{2} |f''(0)|$$

$$\leq c_{9}(b)(H_{n}(b))^{2} \sup_{|x| < \infty} |f(x) \exp(-|x|^{b})|$$

$$\leq c_{10}(a) K_{n}(a) \sup_{0 \leq z < \infty} |g(z) \exp(-z^{a/2})|$$

$$\leq c_{10}(a) K_{n}(a) ||g||_{a} \quad (0 < a < \infty).$$
(12)

Let $p \in \Pi_n$ and $y \in [0, \infty)$ be arbitrary. Consider the polynomial $g(x) := p(x+y) \in \Pi_n$. Applying (12) to g and using that $x^a + y^a \ge (x+y)^a$ $(x, y \ge 0, 0 \le a \le 1)$, we obtain

$$|p'(y)| = |g'(0)|$$

$$\leq c_{10}(a) K_n(a) ||g||_a$$

$$\leq c_{10}(a) K_n(a) \exp(y^a) \sup_{x \geq 0} |p(x+y) \exp(-(x+y)^a)|$$

$$\leq c_{10}(a) K_n(a) \exp(y^a) ||p||_a,$$
(13)

which yields Theorem 1 in this case as well.

Note 1. In case a = 1 Theorem 2 was proved by G. Szegö [12], but his method does not work in the general case.

Before proving Theorem 2 we establish a Bernstein-type estimate on $[0, \infty)$ with respect to the norm $||p||_a$.

LEMMA 1. Let $m \ge 1$, a > 0, y > 0. Then

$$|p^{(m)}(y) \exp(-y^a)| \le c_{11}(a, m)(H_n(2a))^m y^{-m/2} ||p||_a \qquad (p \in \Pi_n),$$

where $H_n(b)$ is defined by (11) for b > 0.

Proof. From (10), by induction on m it is straightforward that

$$\sup_{|x| < \infty} |f^{(m)}(x) \exp(-|x|^b)|$$

$$\leq c_{12}(b, m)(H_n(b))^m \sup_{|x| < \infty} |f(x) \exp(-|x|^b)| \qquad (f \in \Pi_{2n}, 0 < b < \infty).$$
(14)

We prove the lemma by induction on m. The statement holds for m = 0. Now suppose that it holds for all $0 \le \mu \le m - 1$. Let $p \in \Pi_n$ be arbitrary and let $f(x) := p(x^2) \in \Pi_{2n}$. It is easy to check that with suitable constants $c_{\mu,\nu,m}$ depending only on μ , ν , and m we have

$$f^{(m)}(x) = 2^m x^m p^{(m)}(x^2) + \sum_{\substack{0 \le v \le \mu \le m-1\\ 2\mu - v \le m}} c_{\mu,v,m} x^v p^{(\mu)}(x^2); \tag{15}$$

thus with the substitution $y = x^2$ and b = 2a we have

$$f^{(m)}(x) \exp(-|x|^b) = 2^m y^{m/2} p^{(m)}(y) \exp(-y^a) + \sum_{\substack{0 \le y \le \mu \le m-1 \\ 2\mu - y \le m}} c_{\mu, \nu, m} y^{\nu/2} p^{(\mu)}(y) \exp(-y^a).$$
 (16)

Here by the induction assumption

$$|y^{\nu/2}p^{(\mu)}(y)\exp(-y^{a})|$$

$$=|y^{\mu/2}p^{(\mu)}\exp(-y^{b})| y^{(\nu-\mu)/2}$$

$$\leq c_{11}(a,\mu)(H_{n}(2a))^{\mu} ||p||_{a} (H_{n}(2a))^{\mu-\nu}$$

$$\leq c_{11}(a,\mu)(H_{n}(2a))^{m} ||p||_{a}$$

$$(0 \leq \nu \leq \mu \leq m-1, 2\mu-\nu \leq m, y \geq (H_{n}(2a))^{-2}). \tag{17}$$

Using the substitutions $y = x^2$, b = 2a, and recalling that $f(x) = p(x^2) \in \Pi_{2n}$, from (14) we get

$$|f^{(m)}(x) \exp(-|x|^b)|$$

$$\leq c_{12}(b, m)(H_n(2a))^m ||p||_a \qquad (y \geq 0). \tag{18}$$

Now (16), (17), and (18) give the desired result when $y \ge (H_n(2a))^{-2}$. If $0 < y < (H_n(2a))^{-2}$, then by Theorem 1

$$|p^{(m)}(y) \exp(-y^a)| \le c_2(a, m) (K_n(a))^m ||p||_a$$

$$\le c_2(a, m) (H_n(2a))^m y^{-m/2} ||p||_a. \tag{19}$$

Thus the proof of the lemma is complete.

Proof of Theorem 2. We distinguish three cases.

Case 1. $a \ge 1$. We shall use the notations introduced in the proof of Theorem 1. Observe that $q_{n,y}$ $(0 \le y \le \frac{1}{2} n^{1/a})$ has all its zeros outside the circle $\{z \in \mathbb{C} \mid |z| < n^{1/a}\}$. Hence by an observation of G. G. Lorentz $q_{n,y}$ is of the form

$$q_{n,y}(x) = \sum_{j=0}^{n} a_j (x - n^{1/a})^j (n^{1/a} - x)^{n-j}$$
 with all $a_j \ge 0$,

so from Theorem B of [6], by a linear transformation we get

$$|q_{n,y}^{(j)}(y)| \le c_{13}(a,j)(n^{1-1/a})^{j}$$

$$\times \max_{y \le x \le y + (1/2)n^{1/a}} |q_{n,y}(x)|$$

$$= c_{13}(a,j)(n^{1-1/a})^{j}$$

$$\times \exp(-y^{a}) \qquad (0 \le y \le \frac{1}{2}n^{1/a}, j \ge 0).$$
(20)

To prove Theorem 2 we proceed by induction on m. In case of m=0 the statement is obvious. Suppose that the theorem holds for $0 \le j \le m-1$. Let $0 \le y \le r$, $n^{1/a-2} \le r \le \frac{1}{4} n^{1/a}$, and $p \in W_n^k(r)$. Then $s := pq_{n,j} \in S_{(2\lceil a \rceil + 1)m}^k(y, y + \frac{1}{2} n^{1/a}, r/2)$, so using Theorem A and (5) we have

$$|s^{(m)}(y)| \le c_{14}(a, m) \left(\frac{n^{1-1/(2a)}(k+1)^{2}}{\sqrt{r}}\right)^{m}$$

$$\times \max_{y \le x \le y + (1/2)n^{1/a}} |p(x)| q_{n, y}(x)|$$

$$\le c_{14}(a, m)((k+1)^{2} L_{n}(a, r))^{m}$$

$$\times \max_{y \le x \le y + (1/2)n^{1/a}} |p(x)| \exp(-x^{a})|$$

$$\le c_{14}(a, m)((k+1)^{2} L_{n}(a, r))^{m} ||p||_{a}$$

$$(0 \le y \le r, n^{1/a-2} \le r \le \frac{1}{4} n^{1/a}). \tag{21}$$

Now by (5), (20), (21), and the induction assumption we deduce

$$|p^{(m)}(y) \exp(-y^{a})| = |p^{(m)}(y) q_{n,y}(y)|$$

$$\leq |(pq_{n,y})^{(m)}(y)|$$

$$+ \sum_{j=1}^{m} {m \choose j} |p^{(m-j)}(y) q_{n,y}^{(j)}(y)|$$

$$\leq c_{14}(a,m)((k+1)^{2} L_{n}(a,r))^{m} ||p||_{a}$$

$$+ \sum_{j=1}^{m} {m \choose j} \exp(y^{a}) c_{3}(a,m-j)$$

$$\times ((k+1)^{2} L_{n}(a,r))^{m-j} ||p||_{a}$$

$$\times c_{13}(a,j)(n^{1-1/a})^{j} \exp(-y^{a})$$

$$\leq c_{15}(a,m)((k+1)^{2} L_{n}(a,r))^{m} ||p||_{a}$$

$$(p \in W_{n}^{k}(r), 0 \leq y \leq r, n^{1/a-2} \leq r \leq \frac{1}{4} n^{1/a}). (22)$$

Further by Lemma 1

$$|p^{(m)}(y) \exp(-y^{a})|$$

$$\leq c_{16}(a, m)(H_{n}(2a))^{m} r^{-m/2} ||p||_{a}$$

$$= c_{16}(a, m)(L_{n}(a, m))^{m} ||p||_{a} \qquad (p \in \Pi_{n}, r \leq y < \infty).$$
(23)

Now (22) and (23) give the theorem when $n^{1/a-2} \le r \le \frac{1}{4} n^{1/a}$. If $0 \le r \le n^{1/a-2}$, then Theorem 1 gives the desired result. If $\frac{1}{4} n^{1/a} \le r < \infty$, then using the relation $W_n^k(r) \subset W_n^k(\frac{1}{4} n^{1/a})$ and the just proved part of the theorem, we get the statement for all $r \ge \frac{1}{4} n^{1/a}$.

Case 2. $\frac{1}{2} \le a \le 1$. We need a number of lemmas.

LEMMA 2. For all $n \ge 2$ and $\frac{1}{2} \le a < \infty$ there exist polynomials $Q_{n,a} \in \Pi_N$ such that

$$c_{17}(a) \le Q_{n,a}(y) \exp(y^a) \le c_{18}(a) \qquad (0 \le y \le n^{1/a})$$
 (24)

and

$$1 \le N = N(n) := \begin{cases} [c_{19}(a)n] & \text{if } \frac{1}{2} < a < \infty \\ [(c_{19}(a)n\log n]) & \text{if } a = \frac{1}{2} \end{cases}$$
 (25)

hold with suitable $c_{17}(a)$, $c_{18}(a)$, and $c_{19}(a)$.

By using the substitutions $y = x^2$ and b = 2a, this is a trivial consequence of the corresponding result for the interval $(-\infty, \infty)$ and weight function $\exp(-|x|^b)$ $(1 \le b < \infty)$; see Theorem 1.1 of [5] when $1 < b < \infty$, and the proof of Theorem 3 of [11] when b = 1.

LEMMA 3. If $\frac{1}{2} \leq a < \infty$, r > 0, $0 \neq v \in \Pi_I$ and

$$|v(0)| \ge c_{20}(a) \max_{0 \le x \le n^{1-a}} |v(x)| \tag{26}$$

then v has at most $c_{21}(a) \ln^{-1/(2a)} \sqrt{r}$ roots (counting multiplicities) in [0, r].

Using Lemma 1 of [2] and the substitution $x = \frac{1}{2} n^{1/a} (1 + \cos t)$, we obtain Lemma 3 at once.

LEMMA 4. If $\frac{1}{2} \le a < \infty$, $n, j \ge 0$, r > 0, $p \in \Pi_n$ has all its zeros in $[2r, \infty)$ and $|p(0)| = ||p||_a$, then

$$|p^{(j)}(0)| \le c_{22}(a, j) \left(\frac{M}{\sqrt{r}}\right)^j ||p||_a,$$

where $M = Nn^{-1/(2a)}$ and N is defined by (25).

Proof. Let deg $p = l \le n$ and denote the roots of p by $(2r \le x_1 \le x_2 \le \cdots \le x_l < \infty)$. Observe that $v := pQ_{n,a} \in \Pi_{n+N}$ satisfies (26) where $Q_{n,a}$ and N are defined by Lemma 2. With the notation

$$I_{v} = [2rv^{4}, 2r(v+1)^{4}]$$
 $(v = 1, 2, ...)$

from Lemma 3 we deduce that v and hence p as well have at most $c_{21}(a)(n+N)n^{-1/(2a)}\sqrt{2r}(v+1)^2$ roots (counting multiplicities) in I_v . Hence and from (25)

$$\begin{aligned} \frac{|p^{(j)}(0)|}{\|p\|_{a}} &= \frac{|p^{(j)}(0)|}{|p(0)|} \leq \left(\sum_{\mu=1}^{l} \frac{1}{x_{\mu}}\right)^{j} \leq \left(\sum_{\nu=1}^{\infty} \sum_{x_{\mu} \in I_{\nu}} \frac{1}{x_{\mu}}\right)^{j} \\ &\leq \left(\sum_{\nu=1}^{\infty} c_{21}(a)(n+N)n^{-1/(2a)} \sqrt{r} (\nu+1)^{2} \frac{1}{2r\nu^{4}}\right)^{j} \\ &\leq \left(\left(2\sqrt{2} c_{21}(a) \sum_{\nu=1}^{\infty} \frac{1}{\nu^{2}}\right) \frac{(n+N) n^{-1/(2a)}}{\sqrt{r}}\right)^{j} \\ &\leq c_{22}(a,j) \left(\frac{M}{\sqrt{r}}\right)^{j}. \quad \blacksquare \end{aligned}$$

LEMMA 5. If $\frac{1}{2} \le a < \infty$, $n \ge 1$, $M^{-2} \le r \le M^2$ (M is defined in Lemma 4), $p \in \Pi_n$ has all its zeros in $[2r, \infty)$, and $|p(0)| = ||p||_a$, then

$$|p(0)| \le 2 |p(x)|$$
 $\left(x \in \left[0, \frac{\sqrt{r}}{c_{23}(a)M}\right] \subset [0, 1]\right)$

with a suitable $c_{23}(a)$.

Proof. Let $c_{23}(a) := \max\{2c_{22}(a, 1), 1\}$ and

$$y := \frac{\sqrt{r}}{c_{23}(a)M}. (27)$$

Since $M^{-2} \le r \le M^2$, we have

$$0 < y \le \min\{r, 1\}. \tag{28}$$

As |p'(x)| is monotonically decreasing in $(-\infty, 2r]$, Lemma 4 implies

$$|p'(\xi)| \le |p'(0)| \le \frac{c_{22}(a,1)M}{\sqrt{r}} \|p\|_a \qquad (0 \le \xi \le 2r).$$
 (29)

From the mean value theorem, (27), and (28) we deduce that there exists a $\xi_1 \in (0, y)$ such that

$$|p(0) - p(y)| = y |p'(\xi_1)|$$

$$\leq \frac{\sqrt{r}}{c_{23}(a)M} \frac{c_{22}(a, 1)M}{\sqrt{r}} ||p||_a$$

$$\leq \frac{1}{2} ||p||_a = \frac{1}{2} |p(0)|; \tag{30}$$

hence

$$2|p(y)| \ge |p(0)|. \tag{31}$$

As |p(x)| is monotonically decreasing in $(-\infty, 2r]$, (27) and (31) give the desired result.

LEMMA 6. Let $\frac{1}{2} \le a < \infty$, $n, m \ge 1$, $M^{-2} \le n \le M^2$ (M is defined in Lemma 4), s = pq where $p \in \Pi_n$ has all its zeros in $[2r, \infty)$, $|p(0)| = ||p||_a$, and $q \in \Pi_l$. Then

$$|s^{(m)}(0)| \le c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}}\right)^m ||s||_a.$$
 (32)

Proof. For the sake of brevity let

$$I = I(n, a, r) := \left[0, \frac{\sqrt{r}}{c_{23}(a)M}\right] \subset [0, 1]. \tag{33}$$

Applying Markov's inequality to $q \in \Pi_l$ on I, we get

$$|q^{(m-j)}(0)| \le \left(\frac{2c_{23}(a)M}{\sqrt{r}}l^2\right)^{m-j}|q(x_1)| \qquad (0 \le j \le m), \tag{34}$$

where

$$x_1 \in I \text{ is such that } |q(x_1)| = \max_{x \in I} |q(x)|.$$
 (35)

Therefore by Lemmas 4, 5, (34), and (35) we easily obtain

$$|s^{(m)}(0)| \leq \sum_{j=0}^{m} {m \choose j} |p^{(j)}(0)| q^{(m-j)}(0)|$$

$$\leq \sum_{j=0}^{m} {m \choose j} c_{22}(a,j) \left(\frac{M}{\sqrt{r}}\right)^{j} ||p||_{a} \left(\frac{2c_{23}(a)Ml^{2}}{\sqrt{r}}\right)^{m-j} |q(x_{1})|$$

$$\leq c_{25}(a,m) \left(\frac{M(l+1)^{2}}{\sqrt{r}}\right)^{m} |p(x_{1})| q(x_{1})|$$

$$\leq ec_{25}(a,m) \left(\frac{M(l+1)^{2}}{\sqrt{r}}\right)^{m} ||s||_{a}.$$

LEMMA 7. Let $\frac{1}{2} \leq a < \infty$, n, $m \geq 1$, $M^{-2} \leq r \leq M^2$ (M is defined in Lemma 4), s = pq where $p \in \Pi_n$ has all its zeros in $\{z \in \mathbb{C} \mid 0 \leq \text{Re } z \leq 2r\}$. Then inequality (32) holds.

Proof. Because of the conditions prescribed for the roots of p and q,

$$|s(x)|$$
 is monotonically decreasing in $(-\infty, 0]$. (36)

Thus there exists exactly one $y \in (-\infty, 0]$ such that

$$|s(y)| = ||s||_a. (37)$$

Now let

$$\tilde{s}(x) := s(x+y). \tag{38}$$

Then

$$\tilde{s} = \tilde{p}\tilde{q},\tag{39}$$

where $\tilde{p}(x) = p(x+y) \in \Pi_n$ and $\tilde{q}(x) = q(x+y) \in \Pi_l$ have all their zeros in $[2r-y,\infty)$ and $\{z \in \mathbb{C} \mid -y \leq \text{Re } z \leq 2r-y\}$, respectively. From (36), (37), and (38) we easily deduce

$$|\tilde{s}(0)| = |s(y)| = ||s||_a = ||\tilde{s}||_a.$$
 (40)

From (39) it is clear that

$$|\tilde{p}(0)| \ge |\tilde{p}(x)| \ge |\tilde{p}(x)| \exp(-x^a)| \qquad (0 \le x \le 4r - 2y) \tag{41}$$

and

$$|\tilde{q}(0)| \le |\tilde{q}(x)| \qquad (4r - 2y \le x < \infty). \tag{42}$$

By (39), (40), and (42) it is obvious that

$$|\tilde{p}(0)| = \frac{|\tilde{s}(0)|}{|\tilde{q}(0)|} \ge \frac{|\tilde{s}(x) \exp(-x^a)|}{|\tilde{q}(x)|}$$

$$= |\tilde{p}(x) \exp(-x^a)| \qquad (4r - 2y \le x < \infty). \tag{43}$$

Now (41) and (43) yield

$$|\tilde{p}(0)| = \|\tilde{p}\|_a. \tag{44}$$

Because of (39), $y \le 0$, and (44), Lemma 6 can be applied to $\tilde{s} = \tilde{p}\tilde{q}$; thus also using (38) and (40) we obtain

$$|s^{(m)}(y)| = |\tilde{s}^{(m)}(0)| \le c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}}\right)^m \|\tilde{s}\|_a$$

$$= c_{24}(a, m) \left(\frac{M(l+1)^2}{\sqrt{r}}\right)^m \|s\|_a \qquad (M^{-2} \le r \le M^2). \tag{45}$$

By Gauss' Theorem $s^{(m)}(x)$ has all its zeros in $\{z \in \mathbb{C} \mid \text{Re } z \ge 0\}$; hence $y \le 0$ yields

$$|s^{(m)}(0)| \le |s^{(m)}(y)| \tag{46}$$

which together with (45) gives the lemma.

Now let

$$||p||_{a,\delta} := \sup_{\delta \le x < \infty} |p(x) \exp(-x^a)| \qquad (p \in H_n, a, \delta > 0).$$
 (47)

We need the following

LEMMA 8. (a) For all $0 \le k \le n$, $m \ge 1$, r, a, $\delta > 0$ there exists a $0 \ne s^* = s^*_{n,k,m,r,\delta} \in W^k_n(r)$ such that

$$\frac{|s^{*(m)}(0)|}{\|s^*\|_{a,\delta}} = \sup_{s \in W^k(r)} \frac{|s^{(m)}(0)|}{\|s\|_{a,\delta}}.$$
 (48)

(b) s* has at most m roots (counting multiplicities) in

$$D_4(r) := \{ z \in \mathbb{C} \setminus \mathbb{R} \mid |z - r| > r \}.$$

The proof is rather similar to that of Lemma 5 of [2], so we omit it. Now let $\delta = 1/4n^2$. Then using Markov's inequality (1) on [0, 1] (with m = 1) and the mean value theorem, we easily obtain

$$||p||_{a} \le 2e ||p||_{a,\delta} \quad (p \in \Pi_{n}).$$
 (49)

From now on let $s^* := s^*_{n,k,m,r,\delta}$. Then in the same way as in [2] (see (20)–(37) there), from Lemmas 7 and 8 and (49) we can deduce that

$$|s^{*(m)}(0)| \le c_{25}(a, m)((k+1)^2 L_n(a, r))^m \times ||s^*||_{a, \delta} \qquad (M^{-2} \le r \le M^2)$$
 (50)

whence because of the maximality of s* we get

$$|s^{(m)}(0)| \le c_{25}(a, m)((k+1)^2 L_n(a, r))^m \|s\|_{a, \delta}$$

$$\le c_{25}(a, m)((k+1)^2 L_n(a, r))^m \|s\|_a$$

$$(s \in W_n^k(r), M^{-2} \le r \le M^2). \tag{51}$$

Now observe that $p \in W_n^k(r)$, $y \in [0, r]$ imply $s(x) := p(x + y) \in W_n^k(r/2)$; thus, applying (51) to s and using that $x^a + y^a \ge (x + y)^a$ $(x, y \ge 0, 0 < a \le 1)$ we obtain

$$|p^{(m)}(y)| = |s^{(m)}(0)|$$

$$\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m ||s||_a$$

$$\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m \exp(y^a)$$

$$\times \sup_{x\geq 0} |p(x+y) \exp(-(x+y)^a)|$$

$$\leq c_{26}(a, m)((k+1)^2 L_n(a, r))^m \exp(y^a) ||p||_a$$

$$(p \in W_n^k(r), 0 \leq y \leq r, M^{-2} \leq r \leq M^2).$$
(52)

This together win Lemma 1 yields the theorem, when $M^{-2} \le r \le M^2$. If $0 < r \le M^{-2}$, then Theorem 1 gives the desired result. If $M^2 < r < \infty$, then the relation $W_n^k(r) \subset W_n^k(M^2)$ and the just proved part of the theorem yield the statement.

Case 3. $0 < a < \frac{1}{2}$. Now Theorem 1 implies Theorem 2.

Proof of Theorem 3. We shall use the following infinite-finite range inequality,

$$||f||_{a} \le c_{27}(a) \max_{0 \le y \le c_{28}(a)n^{1/a}} |f(y) \exp(-y^{a})| \ (f \in \Pi_{2n}, 0 < a < \infty), \tag{53}$$

with suitable $c_{27}(a)$, $c_{28}(a) \ge 1$. By using the substitutions $y = x^2$ and b = 2a this is an obvious consequence of the analogous result for the interval $(-\infty, \infty)$ and weight function $\exp(-|x|^b)$ (b>0); see [7, Theorem A] or [10, Lemma 6.3]. To prove the sharpness of Theorem 2 when k=0, $1 \le m \le n$, and $0 < a \ne \frac{1}{2}$, we distinguish three cases.

Case 1. $0 < r \le (\pi/4m) c_{28}(a) n^{1/a}$ if $1 \le a < \infty$, or $0 < r \le (\pi/4m) n^{2-1/a}$ if $\frac{1}{2} < a$. Let

$$x_{j} = \left(\frac{c_{28}(a)}{2} n^{1/a} - \frac{4m}{\pi} r\right) \cos \frac{(2n - 2j + 1)\pi}{2n} + \frac{c_{28}(a)}{2} n^{1/a} \qquad (1 \le j \le n),$$
(54)

$$z_j = x_j + ir \qquad (1 \le j \le n), \tag{55}$$

and

$$s(x) = s_{n,m,r,a}(x) = \sum_{j=1}^{n} (x - z_j)(x - \bar{z}_j) \in W_n^0(r).$$
 (56)

By Lemma 3 of [1] and (53) we easily deduce that

$$|s(0)| = \max_{0 \le x \le c_{28}(a)n^{1/a}} |s(x)|$$

$$\ge \max_{0 \le x \le c_{28}(a)n^{1/a}} |s(x) \exp(-x^{a})|$$

$$\ge \frac{1}{c_{27}(a)} ||s||_{a}.$$
(57)

So using the notation $q(x) = \sum_{j=1}^{n} (x - x_j)$, (54)–(57), and the assumption of this case, by a simple calculation we get

$$\frac{|s^{(m)}(0)|}{\|s\|_{a}} \ge \frac{1}{c_{27}(a)} \frac{|s^{(m)}(0)|}{|s(0)|}$$

$$\ge \frac{2}{c_{27}(a)} \left(1 + \frac{\pi}{4m}\right)^{-m} \frac{1}{\sqrt{2}} \frac{|q^{(m)}(0)|}{|q(0)|}$$

$$\ge \frac{\sqrt{2}}{ec_{27}(a)} \left(\sum_{j=m}^{n} \frac{1}{1-x_{j}}\right)^{m}$$

$$\ge c_{29}(a,m)(L_{n}(a,r))^{m} \qquad (1 \le m \le n).$$

Case 2. $(\pi/4m) c_{28}(a) n^{1/a} < r < \infty$, $a \ge 1$. Now the polynomials $s_{n,m,r,a} = x^n$ show that Theorem 2 is sharp when k = 0 and $1 \le m \le n$.

Case 3. $(\pi/4m) c_{28}(a) n^{2-1/a} \le r < \infty$ if $\frac{1}{2} < a < 1$ or $0 < r < \infty$ if $0 < a < \frac{1}{2}$. Now the polynomials $s_{n,m,r,a} = x$ give the desired result.

Of course the sharpness of Theorem 2 when k = 0, $1 \le m \le n$, and $0 < a \ne \frac{1}{2}$ implies the sharpness of Theorem 1 as well.

Note 2. Theorem 2 and the examples of Theorem 3 yield that

$$c_{29}(a, r)(L_n(a, r))^m \le \sup \frac{\|s^{(m)}\|_a}{\|s\|_a} \le c_3(a, m)(L_n(a, r))^m$$
$$(0 \le r < \infty, 1 \le m \le n, 0 < a \ne \frac{1}{2})$$

holds not only in the case when the supremum is taken for all polynomials from $W_n^0(r)$, but for all polynomials from Π_n having all their zeros in $\{z \in \mathbb{C} \mid |\operatorname{Im} z| \geq r\}$.

Proof of Theorem 4. We need

LEMMA 9. (a) For each $n \ge 1$, $r \ge 0$, a, $\delta > 0$, and $0 \le y \le r$ there exists a polynomial $p^* = p^*_{n,r,a,\delta,y} \in V^0_n(r)$ such that

$$\frac{|p^{*'}(y)|}{\|p^{*}\|_{a,\delta,y}} = \sup_{p \in \mathcal{V}_{a}^{0}(r)} \frac{|p'(y)|}{\|p\|_{a,\delta,y}},\tag{58}$$

where $||p||_{a,\delta,y} := \sup_{[0,\infty)\setminus(y-\delta,y+\delta)} |p(x)| \exp(-x^a)|.$

(b) p^* has all but at most one root in $[0, r] \cup \{z \in \mathbb{C} \mid \text{Re } z = r\}$, and the remaining (at most one) root is in $(-\infty, 0)$.

The proof of this lemma is rather similar to that of Lemma 5 of [2], so we omit the details.

It is easy to see that for all a>0, $n\ge 1$, and $y\ge 0$ there exists a $0<\tilde{\delta}=\tilde{\delta}(a,n,y)<1$ such that

$$||p||_a \le 2 ||p||_{a,\delta,\gamma} \quad \text{for all} \quad p \in \Pi_n. \tag{59}$$

By Lemma 9 $p^* \in V_n^0(r)$ satisfying (58) with $\delta = \tilde{\delta}$ is of the form

$$p^*(x) = (x - x_0)^{\alpha} \prod_{\nu=1}^{\beta} (x - x_{\nu}) \left(\sum_{j=0}^{\gamma} a_j (x - r)^{2j} \right), \tag{60}$$

where

$$x_0 \in (-\infty, 0), \quad \alpha = 0, \quad \text{or} \quad \alpha = 1,$$
 (61)

$$x_{v} \in [0, r] \qquad (1 \le v \le \beta) \tag{62}$$

$$a_j \ge 0 \qquad (0 \le j \le \gamma) \tag{63}$$

and

$$\alpha + \beta + 2\gamma \le n. \tag{64}$$

Let

$$I_1 = \{ j \in \mathbb{N} \mid 0 \le j \le \gamma, \beta + 2j < 2(4r+1)^a \}, \tag{65}$$

$$I_2 = \{ j \in \mathbb{N} \mid 0 \le j \le \gamma, \, \beta + 2j \ge (4r + 1)^a \}, \tag{66}$$

and

$$p_{j}(x) := (x - x_{0})^{\alpha} \prod_{\nu=1}^{\beta} (x - x_{\nu}) a_{j}(x - r)^{2j} \qquad (0 \le j \le \gamma).$$
 (67)

By (60), (65), (66), and (67) we have

$$p^* = f_1 + f_2, (68)$$

where

$$f_1 := \sum_{j \in I_1} p_j$$
 and $f_2 := \sum_{j \in I_2} p_j$. (69)

By (67), (61), (62), and (66) for $j \in I_2$ and $0 \le y \le r$ we obtain

$$\frac{|p'_{j}(y) \exp(-y^{a})|}{|p_{j}(4r+1) \exp(-(4r+1)^{a})|}
\leq (\alpha + \beta + 2j)3^{1-\beta-2j} \exp((4r+1)^{a})
\leq 3(1+\beta+2j)3^{-(\beta+2j)/2} \exp((4r+1)^{a} - (\beta+2j)/2) \leq c_{30}. \quad (70)$$

Thus from (67), (69), (70), (68), (63), (59), and $0 < \delta < 1$

$$|f_2'(y) \exp(-y^a)| \le c_{30} |f_2(4r+1) \exp(-(4r+1)^a)|$$

$$\le c_{30} |p^*(4r+1) \exp(-(4r+1)^a)|$$

$$\le c_{30} |p^*|_{a,\delta,y} \qquad (0 \le y \le r). \tag{71}$$

By (69), (65), and $0 \le \alpha \le 1$, f_1 is a polynomial of degree at most $l := \min\{[2(4r+1)^a+1], n\}$, so using Theorem 1, (63), (68), and (59) we obtain

$$|f'_{1}(y) \exp(-y^{a})| \leq c_{2}(a, 1) K_{I}(a, r) \|f_{1}\|_{a}$$

$$\leq c_{31}(a) G_{n}(a, r) \|f_{1}\|_{a}$$

$$\leq c_{31}(a) G_{n}(a, r) \|p^{*}\|_{a}$$

$$\leq 2c_{31}(a) G_{n}(a, r) \|p^{*}\|_{a, \delta, y} (0 < a < \infty, 0 \leq y < \infty).$$
(72)

From (68), (71), and (72) we get

$$|p^{*'}(y) \exp(-y^a)|$$

 $\leq c_{32}(a) G_n(a, r) ||p^{*}||_{a, \delta, y} \qquad (0 < a < \infty, 0 \leq y \leq r);$

hence the maximality of p^* yields

$$|p'(y) \exp(-y^{a})| \le c_{32}(a) G_{n}(a, r) ||p||_{a, \delta, y}$$

$$\le c_{32}(a) G_{n}(a, r) ||p||_{a}$$

$$(p \in V_{n}^{0}(r), 0 < a < \infty, 0 \le y \le r). \tag{73}$$

Now let $p \in V_n^0(r)$ and $z \in [0, \infty)$ be arbitrary. Applying (73) with y = 0 to $\tilde{p}(x) := p(x+z) \in V_n^0(r)$ and using the inequality $(x+z)^a \le x^a + z^a$ $(x, z \ge 0, 0 < a \le 1)$ we obtain

$$|p'(z) \exp(-z^{a})| = |\tilde{p}'(0) \exp(-z^{a})|$$

$$\leq c_{32}(a) G_{n}(a, r) \|\tilde{p}\|_{a} \exp(-z^{a})$$

$$\leq c_{32}(a) G_{n}(a, r)$$

$$\times \sup_{0 \leq x < \infty} |p(x+z) \exp(-(x+z)^{a})|$$

$$\leq c_{32}(a) G_{n}(a, r) \|p\|_{a}$$

$$(p \in V_{n}^{0}(r), 0 \leq z < \infty, 0 < a \leq 1). \tag{74}$$

If $p \in V_n^0(r)$ and $r \le y \le \frac{1}{2} n^{1/a}$, then (cf. (5)) $s := pq_{n,y} \in \Pi_{(2[a]+1)n}$ has all its zeros outside the circle with diameter $[y, n^{1/a}]$; thus s is of the form

$$s(x) = \sum_{v=0}^{d} b_{v}(x-y)^{v} (n^{1/a} - x)^{d-v}$$

with $b_v \ge 0$ $(1 \le v \le d)$ and d = (2[a] + 1)n; thus a theorem of G. G. Lorentz (see Theorem A of [6]) and (5) yield

$$|s'(y)| \le \frac{c_{33}(a)n}{n^{1/a} - y} \max_{y \le x \le n^{1/a}} |s(x)|$$

$$\le c_{34}(a)n^{1 - 1/a} \max_{y \le x \le n^{1/a}} |p(x) \exp(-x^{a})|$$

$$\le c_{34}(a)n^{1 - 1/a} \|p\|_{a} \qquad (1 \le a < \infty, r \le y \le \frac{1}{2}n^{1/a}). \tag{75}$$

Hence and from (7)

$$|p'(y) \exp(-y^{a})| \le |s'(y)| + |p(y) q'_{n,y}(y)|$$

$$\le c_{35}(a)n^{1-1/a} ||p||_{a}$$

$$(p \in V_{n}^{0}(r), 1 \le a < \infty, r \le y \le \frac{1}{2} n^{1/a}). \tag{76}$$

By Lemma 1 we have

$$|p'(y) \exp(-y^{a})| \le c_{36}(a) \frac{n^{1-1/(2a)}}{\sqrt{y}} ||p||_{a}$$

$$\le c_{37}(a) n^{1-1/a} ||p||_{a}$$

$$(p \in \Pi_{n}, \frac{1}{2} < a < \infty, \frac{1}{2} n^{1/a} \le y < \infty). \tag{77}$$

Finally we have

$$||p'||_a \le c_{38}(a) ||p||_a \qquad (p \in \Pi_n, 0 < a < \frac{1}{2})$$
 (78)

(see Theorem 2 of [11] and Theorem 1). Now (73), (74), (76), (77), and (78) yield the theorem when m = 1. From this, using Gauss' theorem, by induction on m we immediately obtain the desired result for all $m \ge 1$.

Proof of Theorem 5. Let $T_k(x) = \cos(k \arccos x)$ be the Chebyshev polynomial of degree k and let

$$R := \min\{r, n^{1/a}\}, \qquad a > \frac{1}{2}, \tag{79}$$

$$p_k(x) := T_k \left(\frac{2x}{R} - 1\right) \in V_n^0(r),$$
 (80)

where

$$k := \left[\frac{R^a}{c_{28}(a)^a}\right] \le n \tag{81}$$

with $c_{28}(a) \ge 1$ defined by (53). Then using (53), (79), (80), and (81), by a simple calculation we obtain

$$||p_{k}^{(m)}||_{a} \ge |p_{k}^{(m)}(0)|$$

$$\ge c_{38}(m) \left(\frac{2k^{2}}{R}\right)^{m} \max_{0 \le x \le R} |p_{k}(x)|$$

$$\ge c_{39}(a, m)(k^{2-1/a})^{m} \max_{0 \le x \le c_{28}(a)k^{1/a}} |p_{k}(x)|$$

$$\ge c_{40}(a, m)(1 + \min\{r^{2a-1}, n^{2-1/a}\})^{m} ||p_{k}||_{a}$$

$$(\frac{1}{2} < a < \infty, k \ge m+1). \tag{82}$$

Further, for the polynomials $P_n(x) := x^n \in V_n^0(0) \subset V_n^0(r)$ ($r \ge 0$) we have

$$\|P_n^{(m)}\|_a \ge \begin{cases} c_{41}(a,m)(n^{1-1/a})^m \|P_n\|_a & (1 \le a < \infty, n \ge m+1) \\ c_{42}(a,m) \|P_n\|_a & (0 < a < \infty, n = m+1). \end{cases}$$
(83)

Now (82) and (83) give the desired result.

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