

## Problems in Real Variables, II (Math608), Solutions

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**Problem 1.**  $(X, \mathcal{T})$  is a topological space. Using only the definition of the notions involved prove for some  $A \subset X$ :

- a)  $A$  open  $\iff A^\circ = A$  (Recall:  $A^\circ$  is the open kernel of  $A$ , i.e. the union of all open sets contained in  $A$ )
- b)  $A$  closed  $\iff \overline{A} = A$  (Recall:  $\overline{A}$  is the closure of  $A$ , i.e. the intersection of closed sets containing  $A$ )
- c)  $\overline{[A^\circ]^c} = \overline{A^c}$
- d)  $\bigcup_{i=1}^k A_i = \bigcup_{i=1}^k \overline{A_i}$  if  $A_1, A_2, \dots, A_k \subset X$ .

**Proof:**

a) " $\implies$ " Assume  $A$  open. Then  $A^\circ = \bigcup\{U : U \subset A, U \in \mathcal{T}\} = A$ , since  $A \in \{U : U \subset A, U \in \mathcal{T}\}$ .

" $\impliedby$ " By definition  $A^\circ$  is open, since it is the union of open sets.

c) " $\supset$ ": if  $x \in X \setminus A^\circ$ , we have to show for any closed  $C \subset X$  with  $A^c \subset C$  that  $x \in C$  (then  $x \in \overline{A^c} = \bigcap\{C \subset \text{closed} : A^c \subset C\}$ ).

So let  $C \subset X$  be closed with  $A^c \subset C$ . Since  $C^c \subset A$  and  $C^c$  open it follows that  $C^c \subset A^\circ$  and thus  $x \in X \setminus A^\circ \subset C$ .

" $\supset$ ": If  $x \in \overline{A^c}$  we have to show that  $x \notin A^\circ$ . Assume that  $x \in A^\circ$  and, thus, that there is an  $U \subset A$ ,  $U$  open, with  $x \in U$ . But this means  $x \notin U^c \supset \overline{A^c}$  which contradicts that  $x \in \overline{A^c}$ .

b) If we apply (a) and (c) (for  $A^c$  instead of  $A$ ) we get

$$A \text{ closed} \iff A^c \text{ open} \iff [A^c]^\circ = A^c \iff [[A^c]^\circ]^c = A \iff \overline{[A^c]^c} = A \iff \overline{A} = A.$$

d) It is good enough to show the claim for  $n = 2$  (rest follows by induction).  $\overline{A_1 \cup A_2} \subset \overline{A_1} \cup \overline{A_2}$  because  $\overline{A_1} \cup \overline{A_2}$  is a closed set which contains  $A_1 \cup A_2$ . Also  $\overline{A_1} \subset \overline{A_1 \cup A_2}$  and  $\overline{A_2} \subset \overline{A_1 \cup A_2}$  since  $\overline{A_1 \cup A_2}$  is a closed set which contains  $A_1$  and  $A_2$  respectively.

**Problem 2.** Assume  $X$  and  $Y$  are topological spaces and  $f : X \rightarrow Y$ . The following are equivalent.

- a)  $f$  is continuous.
- b)  $\forall A \subset X \quad f(\overline{A}) \subset \overline{f(A)}$ .
- c)  $\forall B \subset Y \quad \overline{f^{-1}(B)} \subset f^{-1}(\overline{B})$ .

**Proof.** (a)  $\implies$  (b) Assume  $y \in f(\overline{A})$  and thus  $y = f(x)$  for some  $x \in \overline{A}$ . To show that for all open  $V \subset Y$  containing  $y$  it follows that  $V \cap f(A) \neq \emptyset$ .

Let  $V \subset Y$  be open and containing  $y$  then  $f^{-1}(V)$  is open in  $X$  and contains  $x$  and thus  $A \cap f^{-1}(V) \neq \emptyset$ , which implies that

$$V \cap f(A) \supset f(A \cap f^{-1}(V)) \neq \emptyset.$$

(b)  $\implies$  (c) We first note that for any  $A \subset X$  it follows that  $A \subset f^{-1}(f(A))$  and it follows for  $B \subset Y$  that  $B \supset f(f^{-1}(B))$ .

Therefore it follows for  $B \subset Y$  from assumption (b) that

$$\overline{f^{-1}(B)} \subset f^{-1}\left(\overline{f(f^{-1}(B))}\right) \subset f^{-1}\overline{f(f^{-1}(B))} \subset f^{-1}(\overline{B}).$$

(c)  $\Rightarrow$  (a) Let  $B \subset Y$  be closed. To show that  $f^{-1}(B) \subset X$  is closed. But this follows from the following:

$$\overline{f^{-1}(B)} \subset f^{-1}(\overline{B}) = f^{-1}(B) \subset \overline{f^{-1}(B)},$$

and thus  $f^{-1}(B) = \overline{f^{-1}(B)}$  which means that  $f^{-1}(B)$  is closed.

**Problem 3.** Note (trivial) that the set

$$\mathcal{B} = \{[a, b) : a < b\},$$

is a base of a topology on  $\mathbb{R}$  which we denote by  $\mathcal{T}'$ . The usual topology on  $\mathbb{R}$  is denoted by  $\mathcal{T}$ .

- a)  $\mathcal{T}'$  is strictly finer than  $\mathcal{T}$ .
- b) The elements of  $\mathcal{B}$  are not only open but also closed.
- c)  $(\mathbb{R}, \mathcal{T}')$  is first countable (every point has a countable neighborhood basis) but not second countable ( $\mathcal{T}'$  admits a countable basis).
- d)  $(\mathbb{R}, \mathcal{T}')$  is separable.

**Proof.**

- a)  $\mathcal{T}$  is generated by the open intervals and for each open interval  $(a, b)$  we have  $(a, b) = \bigcup_{n \in \mathbb{N}} [a + 1/n, b)$ . Therefore  $\mathcal{T}'$  is finer  $\mathcal{T}$ . Since for example  $[0, 1) \in \mathcal{T}'$  but  $[0, 1) \notin \mathcal{T}$ ,  $\mathcal{T}'$  is strictly finer.
- b) for  $a < b$ ,  $[a, b) = \mathbb{R} \setminus [(-\infty, a) \cup [b, \infty)$ , thus  $[a, b)$  is the complement of an open set and therefore must also be closed.
- c)  $(\mathbb{R}, \mathcal{T}')$  is first countable: for any  $a \in \mathbb{R}$ , the set  $\mathcal{B}_x = \{[a, a + 1/n) : n \in \mathbb{N}\}$  is a neighborhood basis.

$(\mathbb{R}, \mathcal{T}')$  is not second countable: Assume that  $\mathcal{B}$  is a basis for  $(\mathbb{R}, \mathcal{T}')$ . In particular this means that for each  $a \in \mathbb{R}$  there must be a subset  $\mathcal{B}_a$  of  $\mathcal{B}$  which is a neighborhood basis of  $a$ . Now let  $a \in \mathbb{R}$ . Since  $[a, a + 1)$  is an  $\mathcal{T}'$ -open set which contains  $a$  we must find a  $V_a \in \mathcal{B}_a$  so that  $a \in V_a \subset [a, a + 1)$  but this means that  $V_a$  has a minimum and it is  $a$ . In particular we deduce that for any two distinct  $a, a'$  in  $\mathbb{R}$   $V_a \neq V_{a'}$ , which means that  $\mathcal{B}$  contains the uncountable family  $(V_a)_{a \in \mathbb{R}}$  and, thus, must be uncountable itself.

d) We will proof that also in  $\mathcal{T}'$  it follows that  $\overline{\mathbb{Q}} = \mathbb{R}$ . Assume not and assume that  $x \in \mathbb{R} \setminus \overline{\mathbb{Q}}$ . Since  $\mathbb{R} \setminus \overline{\mathbb{Q}}$  is open there should be  $a < b$  so that  $[a, b) \subset \mathbb{R} \setminus \overline{\mathbb{Q}}$ , which is a contradiction since  $[a, b) \cap \mathbb{Q} \neq \emptyset$ .

**Problem 4.** Metric spaces are normal.

**Proof.** Assume  $A, B \subset X$  are closed and disjoint ( $X$  has metric  $d$ ). For each  $x \in A$  we find an  $\varepsilon_x > 0$  so that  $B_{\varepsilon_x}(x) \subset B^c$  and for any  $y \in B$  we find an  $\varepsilon_y > 0$  so that  $B_{\varepsilon_y}(y) \subset A^c$  ( $A^c$  and  $B^c$  are open sets, and thus unions of open balls). Now take  $U = \bigcup_{x \in A} B_{\varepsilon_x/2}(x)$  and  $V = \bigcup_{y \in B} B_{\varepsilon_y/2}(y)$ . Of course  $U$  and  $V$  are open sets containing  $A$  and  $B$  respectively. To show that  $U \cap V = \emptyset$ . Assume  $z \in U \cap V$ , which means that there is an  $x \in A$  so that  $d(x, z) < \varepsilon_x/2$  and there is a  $y \in B$  so that  $d(y, z) < \varepsilon_y/2$ . Without

loss of generality, we can assume that  $\varepsilon_x \geq \varepsilon_y$  (in the other case the proof is the same). Now it follows that  $d(x, y) \leq d(x, z) + d(y, z) < \varepsilon_x/2 + \varepsilon_y/2 \leq \varepsilon_x$ . There fore  $B_{\varepsilon_x}(x) \cap B \neq \emptyset$ , which contradicts the definition of  $\varepsilon_x$ .

**Problem 5.** If  $X$  is an infinite set with the cofinite topology and  $(x_n)$  a sequence of distinct points then:  $\lim_{n \rightarrow \infty} x_n = x$  for any  $x \in X$ .

**Proof.** Let  $(x_n) \subset X$  with  $x_n \neq x_m$  whenever  $n \neq m$  and let  $x \in X$ .

Let  $U$  be a neighborhood of  $x$ , i.e.  $U = X \setminus \{z_1, z_2, \dots, z_n\}$  with  $n \in \mathbb{N}$ ,  $\{z_1, z_2, \dots, z_n\} \subset X$ ,  $z_i \neq x$ , for  $i = 1, 2, \dots, n$ .

Since the elements of  $(x_n)$  are distinct we must find for each  $i \in \{1, 2, \dots, n\}$  an  $N_i \in \mathbb{N}$  so that  $x_n \neq z_i$  for all  $n \geq N_i$ . Now take  $N = \max N_i$ , and we deduce that for all  $n \geq N$  it follows that  $x_n \notin \{z_1, z_2, \dots, z_n\}$  and thus  $x_n \in U$ .

**Problem 6.** Let  $\Omega$  be an uncountable set. By the Well Ordering Principle (see page 5) there is a linear well order  $<$  on  $\Omega$ . We put  $\bar{\Omega} = \Omega \cup \{\Omega\}$  and put  $\alpha < \Omega$ , for all  $\alpha \in \Omega$ . Then  $(\bar{\Omega}, <)$  is a well ordered set with a maximal element (namely  $\Omega$ ).

We denote  $0 := \min \Omega$ , and for  $\alpha < \beta$  in  $\Omega$  we write

$$(\alpha, \beta) := \{\gamma \in \Omega : \alpha < \gamma < \beta\},$$

similarly we define closed and half open intervals  $(\alpha, \beta)$ ,  $(\alpha, \beta]$ ,  $[\alpha, \beta)$ . Define

$$\omega_1 := \min\{\alpha \in \Omega : [0, \alpha] \text{ is uncountable}\}$$

(why does  $\omega_1$  exists?)

- (1) The open intervals  $\{(\alpha, \beta), [0, \beta), (\alpha, \Omega) : \alpha, \beta \in \Omega\}$  are the basis of a topology  $\mathcal{T}$ , the *Order Topology on  $\Omega$* .
- (2) Every sequence in  $[0, \omega_1)$  has a convergent subsequence whose limit is also in  $[0, \omega_1)$ .
- (3)  $[0, \omega_1)$  is not closed.

**Proof.** First we note that  $\omega_1$  exists, since the set

$$S = \{\alpha \in \bar{\Omega}; [0, \alpha] \text{ is uncountable}\}$$

is not empty (for example  $\Omega \in S$ ), and has therefore a minimum (well order!).

a) Follows immediately by verification that conditions (a) and (b) of Proposition 4.3 (page 115) are satisfied.

b) Let  $(\alpha_n : n \in \mathbb{N}) \subset [0, \omega_1)$ . Choose  $n_1 \in \mathbb{N}$  so that

$$\alpha_{n_1} = \min(\alpha_n : n \in \mathbb{N}),$$

and assuming  $n_1 < n_2 < \dots < n_k$  has been chosen, choose  $n_{k+1}$  so that

$$\alpha_{n_{k+1}} = \min(\alpha_n : n > n_{k+1}).$$

It follows that  $(\alpha_{n_j} : j \in \mathbb{N})$  is non decreasing, i.e  $\alpha_{n_1} \leq \alpha_{n_2} \leq \dots$ . Thus either  $(\alpha_{n_j} : j \in \mathbb{N})$  is eventually constant, or it has a strictly increasing

subsequence, say  $(\alpha'_j : j \in \mathbb{N})$ . In the first case we are done, in the second case we define

$$\alpha := \sup_{n \in \mathbb{N}} \alpha'_n := \min\{\beta \in \overline{\Omega} : \forall j \in \mathbb{N} \quad \beta > \alpha'_j\}.$$

(note that set is not empty and that therefore min exists) We claim that  $\alpha = \lim_{n \rightarrow \infty} \alpha'_n$  and  $\alpha < \omega_1$ . Indeed, the first claim follows that for any open interval  $(\gamma_1, \gamma_2)$  or  $[\gamma_1, \Omega]$ , with  $\gamma_1 < \alpha < \gamma_2$  (note that  $\alpha \neq 0$ , thus the a neighborhood basis of  $\alpha$  consists of open interval of that form), it follows that  $\gamma_1$  is not in the set  $\{\beta \in \overline{\Omega} : \forall j \in \mathbb{N} \quad \beta > \alpha'_j\}$ , and thus there is a  $k_0$  so that  $\gamma_1 < \alpha_{k_0} < \alpha_k < \alpha$  for all  $k \in k_0$ . The second claim follows from the fact that the

$$[0, \alpha) = \bigcup_{k \in \mathbb{N}} [0, \alpha'_k),$$

is (as countable union of countable sets) countable.

c) We claim that  $\omega_1 \in \overline{[0, \omega_1]}$  (actually then it follows that  $\overline{[0, \omega_1]} = [0, \omega_1]$ , since either  $\omega_1 = \Omega$  or there is an element  $\omega_1 + 1 := \min\{\alpha : \alpha > \omega_1\}$ , and then  $[0, \omega_1] = (\omega_1 + 1, \Omega]^c$ , thus  $[0, \omega_1]$  is closed).

In order to show  $\omega_1 \in \overline{[0, \omega_1]}$ , assume, to the contrary that  $\omega_1$  is in (the open set)  $\overline{\Omega} \setminus \overline{[0, \omega_1]}$ . It follows that there is a  $\gamma_1 < \omega_1$  so that  $(\gamma_1, \omega_1] \subset \overline{\Omega} \setminus \overline{[0, \omega_1]} \subset \overline{\Omega} \setminus [0, \omega_1]$ . By definition of  $\omega_1$  it follows that  $[0, \gamma_1)$  is countable, thus also  $[0, \gamma_1] = [0, \gamma_1 + 1)$  (with  $\gamma_1 + 1 := \min\{\alpha : \alpha > \gamma_1\}$ ). Since  $\gamma_1 + 1 < \omega_1$ , but on the other hand  $\gamma_1 \in \overline{\Omega} \setminus [0, \omega_1]$  we derive a contradiction.

**Problem 7.** Consider  $X = [-1, 1]^{[-1, 1]}$  with its product topology. You can think of

$$X = \{f | f : [-1, 1] \rightarrow [-1, 1]\}$$

Show that  $(f_n) \subset X$  converges in  $X$  if and only  $(f_n(t) : n \in \mathbb{N})$  converges for all  $t$ .

Show that there is a sequence in  $X$  which does not have a convergent subsequence.

**Proof** For  $n \in \mathbb{N}_0$  we define the following function  $f_n : [-1, 1] \rightarrow [-1, 1]$

$$f_n(t) = \sum_{k=-2^n}^{2^n-1} (-1)^k \chi_{[k2^{-n}, (k+1)2^{-n})}.$$

Note

$$\begin{aligned} f_0 &= -\chi_{[-1, 0)} + \chi_{[0, 1)} \\ f_1 &= \chi_{[-1, -2^{-1})} - \chi_{[-2^{-1}, 0)} + \chi_{[0, 2^{-1})} - \chi_{[2^{-1}, 1)} \\ &\vdots \end{aligned}$$

Now for any two natural numbers  $m \neq n$  one can find a  $t \in [-1, 1]$  so that  $f_m(t) = 1$  and  $f_n(t) = -1$ . But this means there cannot exist a point wise converging subsequence of  $(f_n)$ .