## MATH 323

Linear Algebra

Lecture 14:
Basis and coordinates.
Change of basis.
Linear transformations.

#### **Basis and dimension**

Definition. Let V be a vector space. A linearly independent spanning set for V is called a **basis**.

**Theorem** Any vector space V has a basis. If V has a finite basis, then all bases for V are finite and have the same number of elements (called the dimension of V).

Example. Vectors 
$$\mathbf{e}_1 = (1, 0, 0, \dots, 0, 0)$$
,  $\mathbf{e}_2 = (0, 1, 0, \dots, 0, 0), \dots$ ,  $\mathbf{e}_n = (0, 0, 0, \dots, 0, 1)$  form a basis for  $\mathbb{R}^n$  (called *standard*) since  $(x_1, x_2, \dots, x_n) = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2 + \dots + x_n \mathbf{e}_n$ .

#### **Basis and coordinates**

If  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n\}$  is a basis for a vector space V, then any vector  $\mathbf{v} \in V$  has a unique representation

$$\mathbf{v} = x_1 \mathbf{v}_1 + x_2 \mathbf{v}_2 + \cdots + x_n \mathbf{v}_n,$$

where  $x_i \in \mathbb{R}$ . The coefficients  $x_1, x_2, \ldots, x_n$  are called the **coordinates** of **v** with respect to the ordered basis  $\mathbf{v}_1, \mathbf{v}_2, \ldots, \mathbf{v}_n$ .

The mapping

vector 
$$\mathbf{v} \mapsto its coordinates (x_1, x_2, \dots, x_n)$$

is a one-to-one correspondence between V and  $\mathbb{R}^n$ . This correspondence respects linear operations in V and in  $\mathbb{R}^n$ .

Examples. • Coordinates of a vector

$$\mathbf{v} = (x_1, x_2, \dots, x_n) \in \mathbb{R}^n$$
 relative to the standard basis  $\mathbf{e}_1 = (1, 0, \dots, 0, 0)$ ,  $\mathbf{e}_2 = (0, 1, \dots, 0, 0)$ , . . . ,  $\mathbf{e}_n = (0, 0, \dots, 0, 1)$  are  $(x_1, x_2, \dots, x_n)$ .

• Coordinates of a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathcal{M}_{2,2}(\mathbb{R})$ 

relative to the basis 
$$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$
,  $\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 1 \\ 0 & 1 \end{pmatrix}$  are  $(a, c, b, d)$ .

• Coordinates of a polynomial

 $p(x) = a_0 + a_1 x + \cdots + a_{n-1} x^{n-1} \in \mathcal{P}_n$  relative to the basis  $1, x, x^2, \dots, x^{n-1}$  are  $(a_0, a_1, \dots, a_{n-1})$ .

Vectors  $\mathbf{u}_1 = (2, 1)$  and  $\mathbf{u}_2 = (3, 1)$  form a basis for  $\mathbb{R}^2$ .

**Problem 1.** Find coordinates of the vector  $\mathbf{v} = (7,4)$  with respect to the basis  $\mathbf{u}_1, \mathbf{u}_2$ .

The desired coordinates x, y satisfy

$$\mathbf{v} = x\mathbf{u}_1 + y\mathbf{u}_2 \iff \begin{cases} 2x + 3y = 7 \\ x + y = 4 \end{cases} \iff \begin{cases} x = 5 \\ y = -1 \end{cases}$$

**Problem 2.** Find the vector  $\mathbf{w}$  whose coordinates with respect to the basis  $\mathbf{u}_1, \mathbf{u}_2$  are (7, 4).

$$\mathbf{w} = 7\mathbf{u}_1 + 4\mathbf{u}_2 = 7(2,1) + 4(3,1) = (26,11)$$

## Change of coordinates

Given a vector  $\mathbf{v} \in \mathbb{R}^2$ , let (x,y) be its standard coordinates, i.e., coordinates with respect to the standard basis  $\mathbf{e}_1 = (1,0)$ ,  $\mathbf{e}_2 = (0,1)$ , and let (x',y') be its coordinates with respect to the basis  $\mathbf{u}_1 = (3,1)$ ,  $\mathbf{u}_2 = (2,1)$ .

**Problem.** Find a relation between (x, y) and (x', y').

By definition,  $\mathbf{v} = x\mathbf{e}_1 + y\mathbf{e}_2 = x'\mathbf{u}_1 + y'\mathbf{u}_2$ . In standard coordinates,

$$\begin{pmatrix} x \\ y \end{pmatrix} = x' \begin{pmatrix} 3 \\ 1 \end{pmatrix} + y' \begin{pmatrix} 2 \\ 1 \end{pmatrix} = \begin{pmatrix} 3 & 2 \\ 1 & 1 \end{pmatrix} \begin{pmatrix} x' \\ y' \end{pmatrix}$$

$$\implies \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} 3 & 2 \\ 1 & 1 \end{pmatrix}^{-1} \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 1 & -2 \\ -1 & 3 \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

## Change of coordinates in $\mathbb{R}^n$

The usual (standard) coordinates of a vector  $\mathbf{v}=(x_1,x_2,\ldots,x_n)\in\mathbb{R}^n$  are coordinates relative to the standard basis  $\mathbf{e}_1=(1,0,\ldots,0,0)$ ,  $\mathbf{e}_2=(0,1,\ldots,0,0)$ ,...,  $\mathbf{e}_n=(0,0,\ldots,0,1)$ .

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  be another basis for  $\mathbb{R}^n$  and  $(x_1', x_2', \dots, x_n')$  be the coordinates of the same vector  $\mathbf{v}$  with respect to this basis.

**Problem 1.** Given the standard coordinates  $(x_1, x_2, \ldots, x_n)$ , find the nonstandard coordinates  $(x'_1, x'_2, \ldots, x'_n)$ .

**Problem 2.** Given the nonstandard coordinates  $(x'_1, x'_2, \ldots, x'_n)$ , find the standard coordinates  $(x_1, x_2, \ldots, x_n)$ .

It turns out that

$$\begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} = \begin{pmatrix} u_{11} & u_{12} & \dots & u_{1n} \\ u_{21} & u_{22} & \dots & u_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ u_{n1} & u_{n2} & \dots & u_{nn} \end{pmatrix} \begin{pmatrix} x_1' \\ x_2' \\ \vdots \\ x_n' \end{pmatrix}.$$

The matrix  $U = (u_{ij})$  does not depend on the vector  $\mathbf{x}$ . Columns of U are coordinates of vectors

 $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  with respect to the standard basis.

U is called the **transition matrix** from the basis  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  to the standard basis  $\mathbf{e}_1, \mathbf{e}_2, \dots, \mathbf{e}_n$ .

This solves Problem 2. To solve Problem 1, we have to use the inverse matrix  $U^{-1}$ , which is the transition matrix from  $\mathbf{e}_1, \dots, \mathbf{e}_n$  to  $\mathbf{u}_1, \dots, \mathbf{u}_n$ .

# **Problem.** Find coordinates of the vector $\mathbf{x} = (1, 2, 3)$ with respect to the basis $\mathbf{u}_1 = (1, 1, 0)$ , $\mathbf{u}_2 = (0, 1, 1)$ , $\mathbf{u}_3 = (1, 1, 1)$ .

The nonstandard coordinates (x', y', z') of **x** satisfy

$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = U \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix},$$

where U is the transition matrix from the standard basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  to the basis  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$ .

The transition matrix from  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  to  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  is

$$U_0 = (\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3) = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}.$$

The transition matrix from  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  to  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  is the inverse matrix:  $U = U_0^{-1}$ .

The inverse matrix can be computed using row reduction.

$$(U_0 \mid I) = egin{pmatrix} 1 & 0 & 1 & 1 & 0 & 0 \ 1 & 1 & 1 & 0 & 1 & 0 \ 0 & 1 & 1 & 0 & 0 & 1 \end{pmatrix}$$

$$\begin{pmatrix}
0 & 1 & 1 & 0 & 0 & 1
\end{pmatrix}
\begin{pmatrix}
0 & 0 & 1 & 1 & -1\\
0 & 1 & 0 & -1 & 1 & 0\\
0 & 0 & 1 & 1 & -1 & 1
\end{pmatrix} = (I | U_0^{-1})$$

Thu

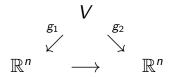
Thus 
$$\begin{pmatrix} x' \\ y' \\ z' \end{pmatrix} = \begin{pmatrix} 0 & 1 & -1 \\ -1 & 1 & 0 \\ 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} 1 \\ 2 \\ 3 \end{pmatrix} = \begin{pmatrix} -1 \\ 1 \\ 2 \end{pmatrix}.$$

### Change of coordinates: general case

Let V be a vector space of dimension n.

Let  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  be a basis for V and  $g_1 : V \to \mathbb{R}^n$  be the coordinate mapping corresponding to this basis.

Let  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$  be another basis for V and  $g_2 : V \to \mathbb{R}^n$  be the coordinate mapping corresponding to this basis.



The composition  $g_2 \circ g_1^{-1}$  is a transformation of  $\mathbb{R}^n$ . It has the form  $\mathbf{x} \mapsto U\mathbf{x}$ , where U is an  $n \times n$  matrix.

U is called the **transition matrix** from  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  to  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$ . Columns of U are coordinates of the vectors  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n$  with respect to the basis  $\mathbf{u}_1, \mathbf{u}_2, \dots, \mathbf{u}_n$ .

**Problem.** Find the transition matrix from the basis  $p_1(x) = 1$ ,  $p_2(x) = x + 1$ ,  $p_3(x) = (x + 1)^2$  to the basis  $q_1(x) = 1$ ,  $q_2(x) = x$ ,  $q_3(x) = x^2$  for the vector space  $\mathcal{P}_3$ .

We have to find coordinates of the polynomials  $p_1, p_2, p_3$  with respect to the basis  $q_1, q_2, q_3$ :  $p_1(x) = 1 = q_1(x),$   $p_2(x) = x + 1 = q_1(x) + q_2(x),$   $p_3(x) = (x+1)^2 = x^2 + 2x + 1 = q_1(x) + 2q_2(x) + q_3(x).$ 

Hence the transition matrix is 
$$\begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix}$$
.

Thus the polynomial identity

a<sub>1</sub> + 
$$a_2(x + 1) + a_2(x + 1)$$

is equivalent to the relation

$$a_1 + a_2(x+1) + a_3(x+1)$$

 $a_1 + a_2(x+1) + a_3(x+1)^2 = b_1 + b_2x + b_3x^2$ 

 $\begin{pmatrix} b_1 \\ b_2 \\ b_2 \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 \\ 0 & 1 & 2 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \\ a_3 \end{pmatrix}.$ 

# **Problem.** Find the transition matrix from the basis $\mathbf{v}_1 = (1, 2, 3), \mathbf{v}_2 = (1, 0, 1), \mathbf{v}_3 = (1, 2, 1)$ to the basis $\mathbf{u}_1 = (1, 1, 0)$ , $\mathbf{u}_2 = (0, 1, 1)$ , $\mathbf{u}_3 = (1, 1, 1)$ .

It is convenient to make a two-step transition: first from  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  to  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ , and then from  $e_1, e_2, e_3$  to  $u_1, u_2, u_3$ .

Let  $U_1$  be the transition matrix from  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  to  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$  and  $U_2$  be the transition matrix from  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  to  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ :

$$\mathbf{u}_2, \mathbf{u}_3$$
 to  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3$ 

$$U_1 = \begin{pmatrix} 1 & 1 & 1 \\ 2 & 0 & 2 \\ 3 & 1 & 1 \end{pmatrix}, \qquad U_2 = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}.$$

Basis  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3 \implies$  coordinates  $\mathbf{x}$ Basis  $\mathbf{e}_1, \mathbf{e}_2, \mathbf{e}_3 \implies$  coordinates  $U_1\mathbf{x}$ 

Basis  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3 \Longrightarrow \text{coordinates } U_2^{-1}(U_1\mathbf{x}) = (U_2^{-1}U_1)\mathbf{x}$ 

Thus the transition matrix from  $\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3$  to  $\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3$  is  $U_2^{-1}U_1$ .

$$U_2^{-1}U_1 = \begin{pmatrix} 1 & 0 & 1 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix}^{-1} \begin{pmatrix} 1 & 1 & 1 \\ 2 & 0 & 2 \\ 3 & 1 & 1 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 1 \end{pmatrix} & \begin{pmatrix} 3 & 1 & 1 \end{pmatrix}$$

$$= \begin{pmatrix} 0 & 1 & -1 \\ -1 & 1 & 0 \\ 1 & -1 & 1 \end{pmatrix} \begin{pmatrix} 1 & 1 & 1 \\ 2 & 0 & 2 \\ 3 & 1 & 1 \end{pmatrix} = \begin{pmatrix} -1 & -1 & 1 \\ 1 & -1 & 1 \\ 2 & 2 & 0 \end{pmatrix}.$$

#### **Linear mapping** = linear transformation = linear function

Definition. Given vector spaces  $V_1$  and  $V_2$ , a mapping  $L: V_1 \to V_2$  is **linear** if  $\boxed{ L(\mathbf{x} + \mathbf{y}) = L(\mathbf{x}) + L(\mathbf{y}),}$   $\boxed{ L(r\mathbf{x}) = rL(\mathbf{x}) }$ 

for any  $\mathbf{x}, \mathbf{y} \in V_1$  and  $r \in \mathbb{R}$ .

A linear mapping  $\ell:V\to\mathbb{R}$  is called a **linear** functional on V.

If  $V_1 = V_2$  (or if both  $V_1$  and  $V_2$  are functional spaces) then a linear mapping  $L: V_1 \to V_2$  is called a **linear operator**.

#### **Linear mapping** = linear transformation = linear function

Definition. Given vector spaces  $V_1$  and  $V_2$ , a mapping  $L: V_1 \to V_2$  is **linear** if  $\boxed{ L(\mathbf{x} + \mathbf{y}) = L(\mathbf{x}) + L(\mathbf{y}),}$   $\boxed{ L(r\mathbf{x}) = rL(\mathbf{x}) }$ 

for any  $\mathbf{x}, \mathbf{y} \in V_1$  and  $r \in \mathbb{R}$ .

Remark. A function  $f : \mathbb{R} \to \mathbb{R}$  given by f(x) = ax + b is a linear transformation of the vector space  $\mathbb{R}$  if and only if b = 0.

## **Examples of linear mappings**

- Scaling  $L: V \to V$ ,  $L(\mathbf{v}) = s\mathbf{v}$ , where  $s \in \mathbb{R}$ .  $L(\mathbf{x} + \mathbf{y}) = s(\mathbf{x} + \mathbf{y}) = s\mathbf{x} + s\mathbf{y} = L(\mathbf{x}) + L(\mathbf{y})$ ,  $L(r\mathbf{x}) = s(r\mathbf{x}) = r(s\mathbf{x}) = rL(\mathbf{x})$ .
  - Dot product with a fixed vector  $\ell: \mathbb{R}^n \to \mathbb{R}, \ \ell(\mathbf{v}) = \mathbf{v} \cdot \mathbf{v}_0, \text{ where } \mathbf{v}_0 \in \mathbb{R}^n.$   $\ell(\mathbf{x} + \mathbf{y}) = (\mathbf{x} + \mathbf{y}) \cdot \mathbf{v}_0 = \mathbf{x} \cdot \mathbf{v}_0 + \mathbf{y} \cdot \mathbf{v}_0 = \ell(\mathbf{x}) + \ell(\mathbf{y}),$   $\ell(r\mathbf{x}) = (r\mathbf{x}) \cdot \mathbf{v}_0 = r(\mathbf{x} \cdot \mathbf{v}_0) = r\ell(\mathbf{x}).$
  - Cross product with a fixed vector  $L: \mathbb{R}^3 \to \mathbb{R}^3$ ,  $L(\mathbf{v}) = \mathbf{v} \times \mathbf{v}_0$ , where  $\mathbf{v}_0 \in \mathbb{R}^3$ .
  - Multiplication by a fixed matrix  $L: \mathbb{R}^n \to \mathbb{R}^m$ ,  $L(\mathbf{v}) = A\mathbf{v}$ , where A is an  $m \times n$  matrix and all vectors are column vectors.

### Linear mappings of functional vector spaces

- Evaluation at a fixed point
- $\ell: F(\mathbb{R}) \to \mathbb{R}, \ \ell(f) = f(a), \text{ where } a \in \mathbb{R}.$ 
  - Multiplication by a fixed function
- $L:F(\mathbb{R}) o F(\mathbb{R}),\ L(f)=gf,\ ext{where}\ g\in F(\mathbb{R}).$
- Differentiation  $D: C^1(\mathbb{R}) \to C(\mathbb{R})$ , L(f) = f'. D(f+g) = (f+g)' = f' + g' = D(f) + D(g), D(rf) = (rf)' = rf' = rD(f).
  - Integration over a finite interval

$$\ell: C(\mathbb{R}) \to \mathbb{R}, \ \ell(f) = \int_a^b f(x) \, dx$$
, where  $a,b \in \mathbb{R}, \ a < b$ .