MATH 433 Applied Algebra

Public key encryption. The RSA system.

## **Euler's Theorem**

 $\mathbb{Z}_n$ : the set of all congruence classes modulo *n*.  $G_n$ : the set of all invertible congruence classes modulo *n*.

**Fermat's Little Theorem** Let p be a prime number. Then  $a^{p-1} \equiv 1 \mod p$  for every integer a not divisible by p.

**Theorem (Euler)** Let  $n \ge 2$  and  $\phi(n)$  be the number of elements in  $G_n$ . Then

 $a^{\phi(n)} \equiv 1 \mod n$ 

for every integer a coprime with n.

**Corollary** Let *a* be an integer coprime with an integer  $n \ge 2$ . Then the order of *a* modulo *n* is a divisor of  $\phi(n)$ .

### **Euler's phi function**

The number of elements in  $G_n$ , the set of invertible congruence classes modulo n, is denoted  $\phi(n)$ . In other words,  $\phi(n)$  counts how many of the numbers 1, 2, ..., n are coprime with n.  $\phi(n)$  is called **Euler's**  $\phi$ -function or **Euler's** totient function.

**Proposition 1** If p is prime, then  $\phi(p^s) = p^s - p^{s-1}$ . **Proposition 2** If gcd(m, n) = 1, then  $\phi(mn) = \phi(m) \phi(n)$ .

**Theorem** Let  $n = p_1^{s_1} p_2^{s_2} \dots p_k^{s_k}$ , where  $p_1, p_2, \dots, p_k$  are distinct primes and  $s_1, \dots, s_k$  are positive integers. Then  $\phi(n) = p_1^{s_1-1}(p_1-1)p_2^{s_2-1}(p_2-1)\dots p_k^{s_k-1}(p_k-1).$ 

# Public key encryption

Suppose that Alice wants to obtain some confidential information from Bob, but they can only communicate via a public channel (meaning all that is sent may become available to third parties, in particular, to Eve). How to organize secure transfer of data in these circumstances?

The **public key encryption** is a solution to this problem.

# Public key encryption

The first step is **coding**. Bob digitizes the message and breaks it into blocks  $b_1, b_2, \ldots, b_k$  so that each block can be encoded by an element of a set  $X = \{1, \ldots, K\}$ , where K is large. This results in a **plaintext**. Coding and decoding are standard procedures known to public.

Next step is **encryption**. Alice sends a **public key**, which is an invertible function  $f : X \to Y$ , where Y is an equally large set. Bob uses this function to produce an encrypted message (**ciphertext**):  $f(b_1), f(b_2), \ldots, f(b_k)$ . The ciphertext is then sent to Alice.

The remaining steps are **decryption** and **decoding**. To decrypt the encrypted message (and restore the plaintext), Alice applies the inverse function  $f^{-1}$  to each block. Finally, the plaintext is decoded to obtain the original message.

## **Trapdoor function**

For a successful encryption, the function f has to be the so-called **trapdoor function**, which means that f is easy to compute while  $f^{-1}$  is hard to compute unless one knows special information ("trapdoor").

The usual approach is to have a family of fuctions  $f_{\alpha}: X_{\alpha} \rightarrow X_{\alpha}$ (where  $X \subset X_{\alpha}$ ) depending on a parameter  $\alpha$  (or several parameters). For any function in the family, the inverse also belongs to the family. The parameter  $\alpha$  is the trapdoor.

An additional step in exchange of information is **key generation**. Alice generates a pair of **keys**, i.e., parameter values,  $\alpha$  and  $\beta$  such that the function  $f_{\beta}$  is the inverse of  $f_{\alpha}$ .  $\alpha$  is the **public key**, it is communicated to Bob (and anyone else who wishes to send encrypted information to Alice).  $\beta$  is the **private key**, only Alice knows it.

The encryption system is efficient if it is virtually impossible to find  $\beta$  when one only knows  $\alpha$ .

### **RSA** system

The **RSA (Rivest-Shamir-Adleman)** system is a public key system based on the modular arithmetic.

 $X = \{1, 2, ..., K\}$ , where K is a large number (say,  $2^{128}$ ). The **key** is a pair of integers  $(n, \alpha)$ , **base** and **exponent**. The domain of the function  $f_{n,\alpha}$  is  $G_n$ , the set of invertible congruence classes modulo *n*, regarded as a subset of  $\{0, 1, 2, ..., n - 1\}$ . We need to pick *n* so that the numbers 1, 2, ..., K are all coprime with *n*.

The function is given by  $f_{n,\alpha}(a) = a^{\alpha} \mod n$ .

**Key generation**: First we pick two distinct primes p and q greater than K and let n = pq. Secondly, we pick an integer  $\alpha$  coprime with  $\phi(n) = (p-1)(q-1)$ . Thirdly, we compute  $\beta$ , the inverse of  $\alpha$  modulo  $\phi(n)$ .

Now the public key is  $(n, \alpha)$  while the private key is  $(n, \beta)$ .

By construction,  $\alpha\beta = 1 + \phi(n)k$ ,  $k \in \mathbb{Z}$ . Then  $f_{n,\beta}(f_{n,\alpha}(a)) = [a]_n^{\alpha\beta} = [a]_n([a]_n^{\phi(n)})^k$ , which equals  $[a]_n$  by Euler's theorem. Thus  $f_{n,\beta} = f_{n,\alpha}^{-1}$ . Efficiency of the RSA system is based on impossibility of efficient prime factorisation (at present time).

**Example.** Let us take p = 5, q = 23 so that the base is n = pq = 115. Then  $\phi(n) = (p - 1)(q - 1) = 4 \cdot 22 = 88$ . Exponent for the public key:  $\alpha = 29$ . It is easy to observe that -3 is the inverse of 29 modulo 88:

$$(-3) \cdot 29 = -87 \equiv 1 \mod 88.$$

However the exponent is to be positive, so we take  $\beta = 85$  ( $\equiv -3 \mod 88$ ).

Public key: (115, 29), private key: (115, 85).

Example of plaintext: 6/8 (two blocks).

Ciphertext: 26 ( $\equiv 6^{29} \mod 115$ ), 58 ( $\equiv 8^{29} \mod 115$ ).