

Two Algorithms for Adaptive Approximation  
of Bivariate Functions by  
Piecewise Linear Polynomials on Triangulations

**Nira Dyn**

School of Mathematical Sciences  
Tel Aviv University, Israel

**First algorithm** — from fine to coarse

Designed for approximating images given by luminances (grey levels) over pixels.

Joint work with L. Demaret, M. Floater and A. Iske.

**Second algorithm** — from coarse to fine

Aims at approximating bivariate functions given everywhere in a rectangular domain.

Work in progress with A. Cohen and F. Hecht.

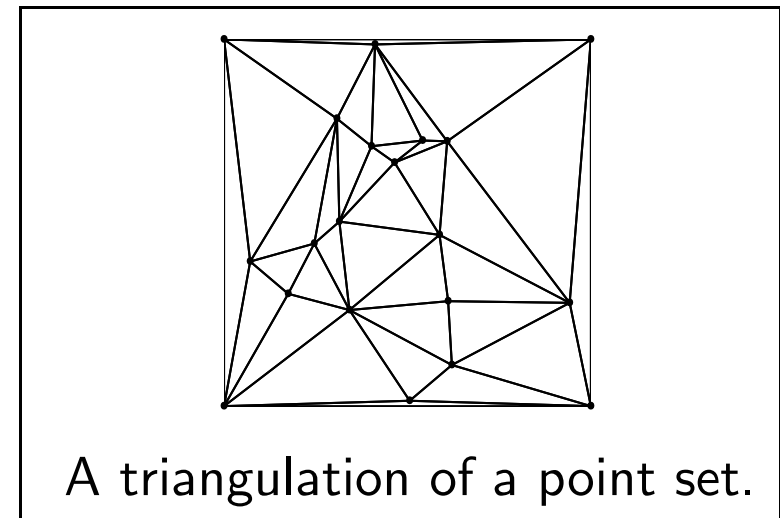
Both algorithms are greedy and allow for error control.



### 3 Linear Splines over Triangulations

**Definition.** A **triangulation** of a planar point set  $Y = \{y_1, \dots, y_N\}$  is a collection  $\mathcal{T}(Y) = \{T\}_{T \in \mathcal{T}(Y)}$  of triangles in the plane, such that

- (T1) the vertex set of  $\mathcal{T}(Y)$  is  $Y$ ;
- (T2) any pair of two distinct triangles in  $\mathcal{T}(Y)$  intersect at most at one common vertex or along one common edge;
- (T3) the convex hull  $[Y]$  of  $Y$  coincides with the area covered by the union of the triangles in  $\mathcal{T}(Y)$ .





## Approximation Spaces.

- Given any triangulation  $\mathcal{T}(Y)$  of  $Y$ , we denote by

$$\mathcal{S}_Y = \{s : s \in C([Y]) \text{ and } s|_T \text{ linear for all } T \in \mathcal{T}(Y)\},$$

the **spline space** containing all continuous functions over  $[Y]$  whose restriction to any triangle in  $\mathcal{T}(Y)$  is linear.

- Any element in  $\mathcal{S}_Y$  is referred to as a **linear spline** over  $\mathcal{T}(Y)$ .
- For given function values  $\{I(\mathbf{y}) : \mathbf{y} \in Y\}$ , there is a unique linear spline,  $L(Y, I) \in \mathcal{S}_Y$ , which interpolates  $I$  at the points of  $Y$ , i.e.,

$$L(Y, I)(\mathbf{y}) = I(\mathbf{y}), \quad \text{for all } \mathbf{y} \in Y.$$



## 4 Outline of our Approach

On input image  $I = \{(x, I(x)) : x \in X\}$ ,

- determine a *good* adaptive spline space  $\mathcal{S}_Y$ , where  $Y \subset X$ ;
- determine from  $\mathcal{S}_Y$  the unique best approximation  $L^*(Y, I) \in \mathcal{S}_Y$  satisfying

$$\sum_{x \in X} |L^*(Y, I)(x) - I(x)|^2 = \min_{s \in \mathcal{S}_Y} \sum_{x \in X} |s(x) - I(x)|^2.$$

- Encode the linear spline  $L^* \in \mathcal{S}_Y$ ;
- Decode  $L^* \in \mathcal{S}_Y$ , and so obtain the reconstructed image  $\tilde{I} = \{(x, L(Y, \tilde{I})(x)) : x \in X\}$ , where  $L(Y, \tilde{I}) \approx L^*(Y, I)$ .

**OBS!** Key Step: Selection of **significant** pixels  $Y \subset X$ .

- This is done by using an “adaptive thinning algorithm”.
- For the triangulation in  $\mathcal{S}_Y$ , we take the *Delaunay triangulation*  $\mathcal{D}(Y)$  of  $Y$ .

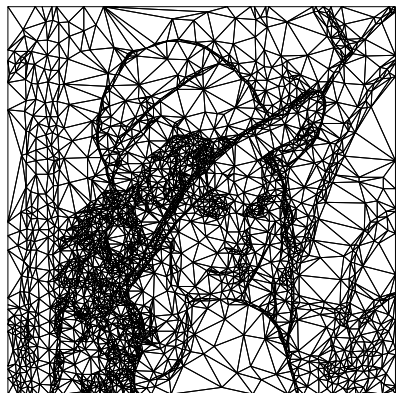
## Popular Example: Test Image Lena.



**Original Image** ( $512 \times 512$ ).



**3244 significant pixels.**



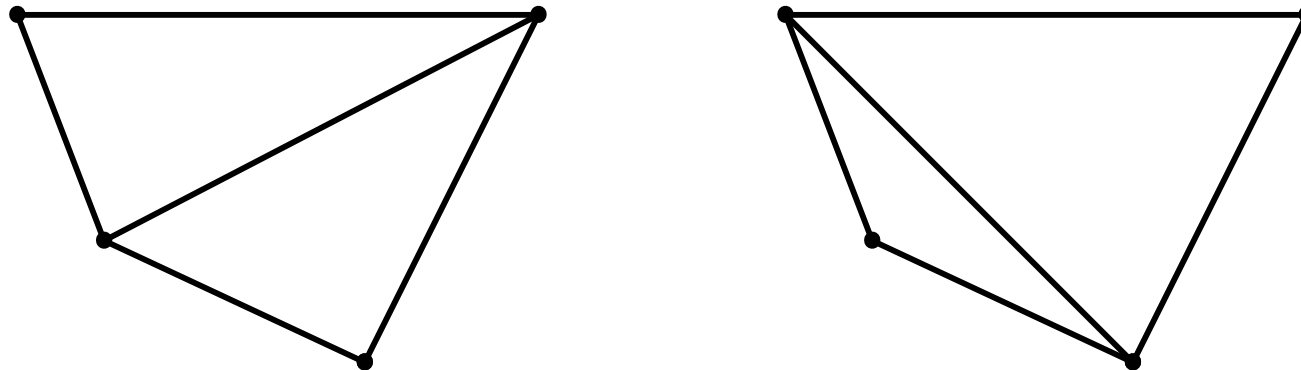
**Delaunay Triangulation.**



**Image Reconstruction.**

# 1 Delaunay Triangulations.

**Definition.** The **Delaunay triangulation**  $\mathcal{D}(X)$  of a discrete planar point set  $X$  is a triangulation of  $X$ , such that the circumcircle for each of its triangles does not contain any point from  $X$  in its interior.



Two triangulations of a convex quadrilateral,  $\mathcal{T}$  (left) and  $\tilde{\mathcal{T}}$  (right).

# Properties of Delaunay Triangulations.

- **Uniqueness.**

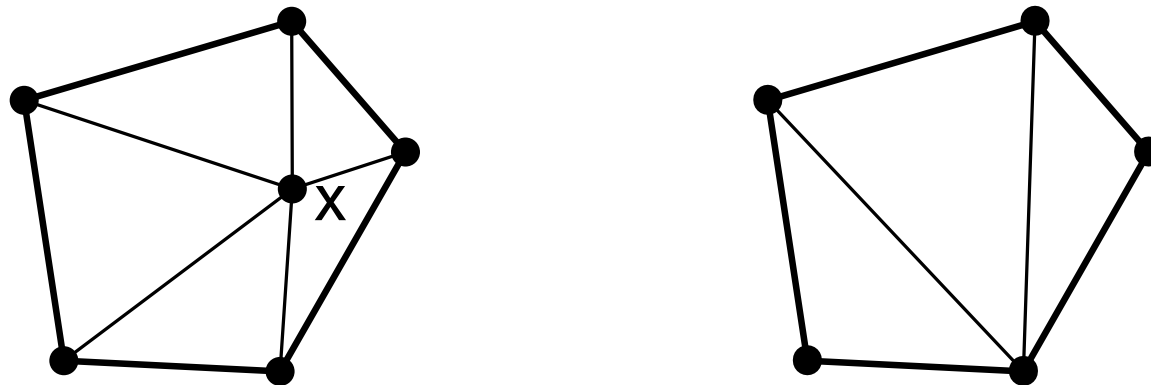
Delaunay triangulation  $\mathcal{D}(X)$  is *unique*, if no four points in  $X$  are co-circular.

- **Complexity.**

For any point set  $X$ , its Delaunay triangulation  $\mathcal{D}(X)$  can be computed in  $\mathcal{O}(N \log N)$  steps, where  $N = |X|$ .

- **Local Updating.**

For any  $X$  and  $x \in X$ , the Delaunay triangulation  $\mathcal{D}(X \setminus x)$  of the point set  $X \setminus x$  can be computed from  $\mathcal{D}(X)$  by retriangulating the *cell*  $\mathcal{C}(x)$  of  $x$ .



Removal of the node  $x$ , and retriangulation of its cell  $\mathcal{C}(x)$ .





## 2 Adaptive Thinning Algorithm

**INPUT.**  $I = \{0, 1, \dots, 2^r - 1\}^X$ , pixels and luminances, where  $X$  set of pixels,  $r$  number of bits for representation of luminances.

(1) Let  $X_N = X$ ;

(2) **FOR**  $k = 1, \dots, N - n$

(2a) Find a **least significant** pixel  $x \in X_{N-k+1}$ ;

(2b) Let  $X_{N-k} = X_{N-k+1} \setminus x$ ;

• **OUTPUT:** Data hierarchy

$$X_n \subset X_{n+1} \subset \dots \subset X_{N-1} \subset X_N = X$$

of nested subsets of  $X$ .



## Controlling the Mean Square Error.

- For a given mean square error (MSE),  $\bar{\eta}^*$ , the adaptive thinning algorithm can be changed in order to terminate when for the first time, the MSE value corresponding to the current linear spline  $L(X_p, I)$  is above  $\bar{\eta}^*$ , for some  $X_p$  in the data hierarchy,  $n = p$  a posteriori.
- We take as the final approximation to the image the linear spline  $L^*(X_{p+1}, I)$ , and so we let  $Y = X_{p+1}$ .
- Observe that  $L^*(X_{p+1}, I)$  satisfies

$$\sum_{x \in X} |L^*(X_{p+1}, I)(x) - I(x)|^2 / |X_{p+1}| \leq \bar{\eta}^*,$$

as desired.



# Greedy Two-Point-Removal.

## Anticipated Error for the Removal of two Points.

$$e(y_1, y_2) = \eta(Y \setminus \{y_1, y_2\}; X) - \eta(Y; X), \quad \text{for } y_1, y_2 \in Y.$$

Can be simplified as

$$e(y_1, y_2) = e_\delta(y_1) + e_\delta(y_2), \quad \text{for } [y_1, y_2] \notin \mathcal{D}(Y), \quad (1)$$

provided that  $y_1, y_2 \in Y$  are *not* connected by an edge in  $\mathcal{D}(Y)$ .

**Definition. (Adaptive Thinning Algorithm AT<sup>2</sup>).**

For  $Y \subset X$ , a point pair  $y_1^*, y_2^* \in Y$  is said to be **least significant** in  $Y$ , iff it satisfies

$$e(y_1^*, y_2^*) = \min_{y_1, y_2 \in Y} e(y_1, y_2).$$



## Implementation of Algorithm $\mathbf{AT}^2$ .

- Due to the representation

$$e_\delta(\mathbf{y}_1, \mathbf{y}_2) = e_\delta(\mathbf{y}_1) + e_\delta(\mathbf{y}_2), \quad \text{for } [\mathbf{y}_1, \mathbf{y}_2] \notin \mathcal{D}(Y),$$

the maintenance of the significances  $\{e_\delta(\mathbf{y}_1, \mathbf{y}_2) : \{\mathbf{y}_1, \mathbf{y}_2\} \subset Y\}$  can be reduced to the maintenance of  $\{e_\delta(\mathbf{y}_1, \mathbf{y}_2) : [\mathbf{y}_1, \mathbf{y}_2] \in \mathcal{D}(Y)\}$  and  $\{e_\delta(\mathbf{y}) : \mathbf{y} \in Y\}$ .

- For the efficient implementation of Algorithm  $\mathbf{AT}^2$  we use two different priority queues, one for the significances  $e_\delta$  of pixels, and one for the significances  $e_\delta$  of edges in  $\mathcal{D}(Y)$ .
- Each priority queue has a least significant element (pixel or pixel pair) at its head, and is updated after each pixel removal.
- The resulting algorithm has also complexity  $\mathcal{O}(N \log N)$ .



## Further Computational Details

- We do not remove corner points from  $X$ , so that the image domain  $[X]$  is invariant during the performance of adaptive thinning.

### Uniqueness of Delaunay triangulation.

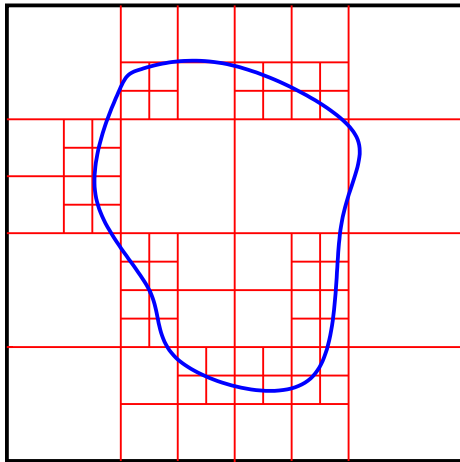
- Recall that the Delaunay triangulation  $\mathcal{D}(Y)$  of  $Y \subset X$ , is unique, provided that no four points in  $Y$  are co-circular.
- Since neither  $X$  nor its subsets satisfy this condition, we initially perturb the pixel positions in order to guarantee the uniqueness of  $\mathcal{D}(Y)$ , for any  $Y \subset X$ .
- The perturbation rules are known at the encoder and at the decoder.

From now, we denote the set of perturbed pixels by  $X$ , and the set of unperturbed pixels (with integer positions) by  $\tilde{X}$ .

Likewise, any subset  $Y \subset X$  corresponds to a subset  $\tilde{Y} \subset \tilde{X}$  of unperturbed pixels.

## Wavelets and edges

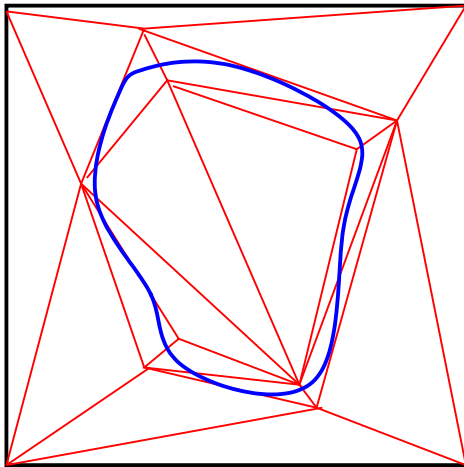
Image:  $f = \chi_{\Omega}$ , with  $\partial\Omega$  smooth.



$f_N =$  approximation by  $N$  largest wavelet coefficients

$$\Rightarrow \|f - f_N\|_{L^2} \sim N^{-1/2}$$

Problem : imposes isotropic refinement

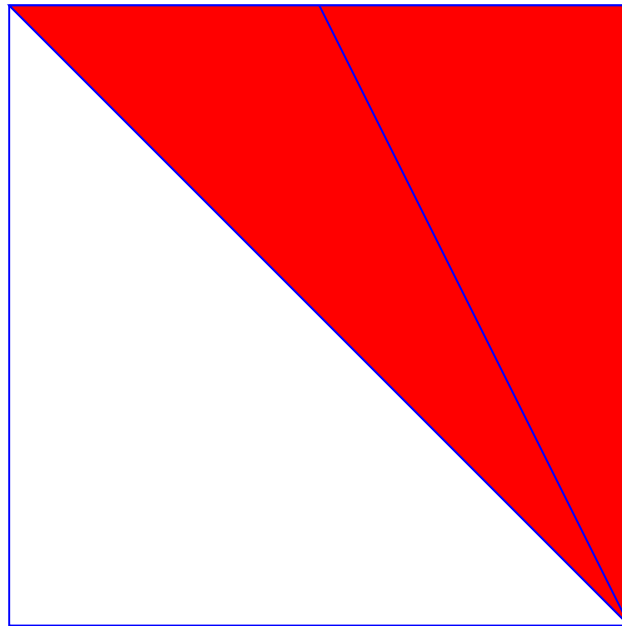


$f_N =$  piecewise linear interpolation on  $N$  optimally selected triangles

$$\Rightarrow \|f - f_N\|_{L^2} \sim N^{-1}$$

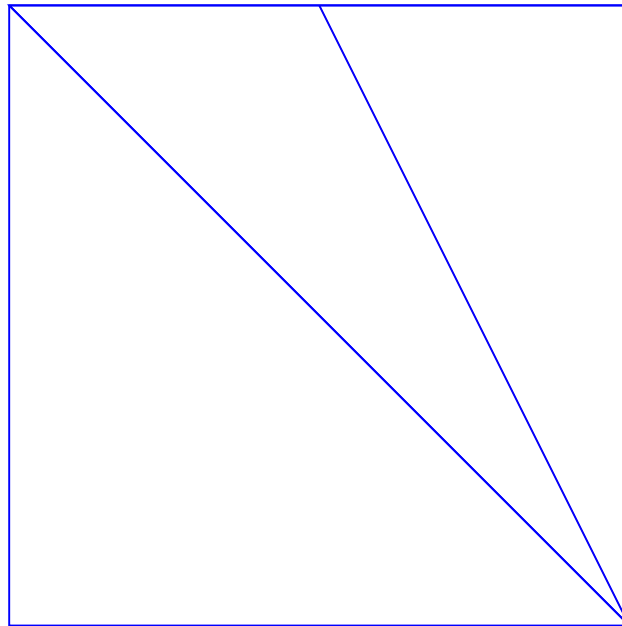
Problem : non-supervised algorithm ?

Greedy approach: adaptive mid-point bisection (Dyn, Hecht, AC)



Coarse triangulation  $\Rightarrow$  select triangle with largest local  $L^2$  error  $\Rightarrow$   
choose the mid-point bisection that best reduces this error

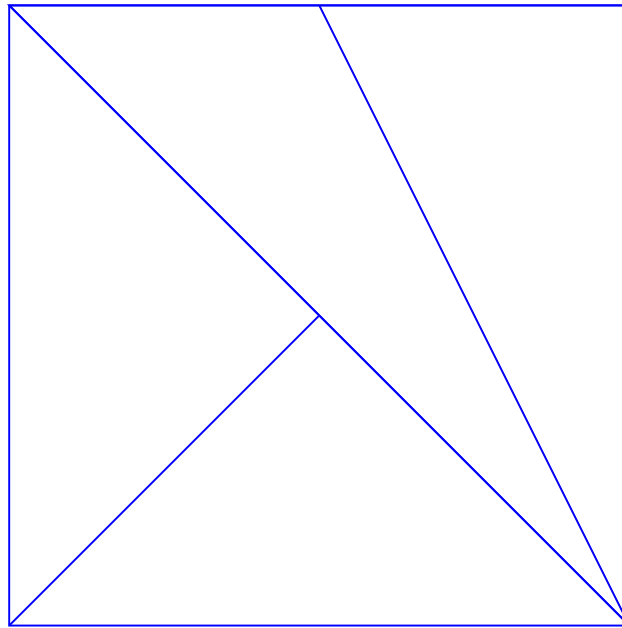
Greedy approach: adaptive mid-point bisection (Dyn, Hecht, AC)



Coarse triangulation  $\Rightarrow$  select triangle with largest local  $L^2$  error  $\Rightarrow$  choose the mid-point bisection that best reduces this error  $\Rightarrow$  split

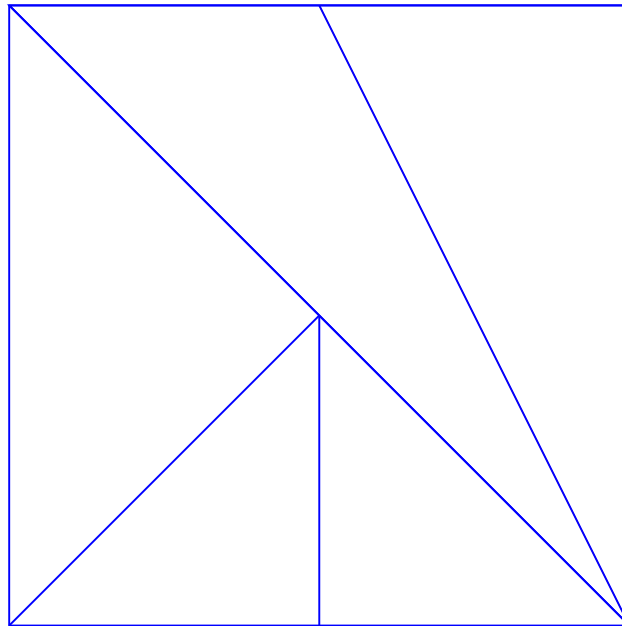


Greedy approach: adaptive mid-point bisection (Dyn, Hecht, AC)



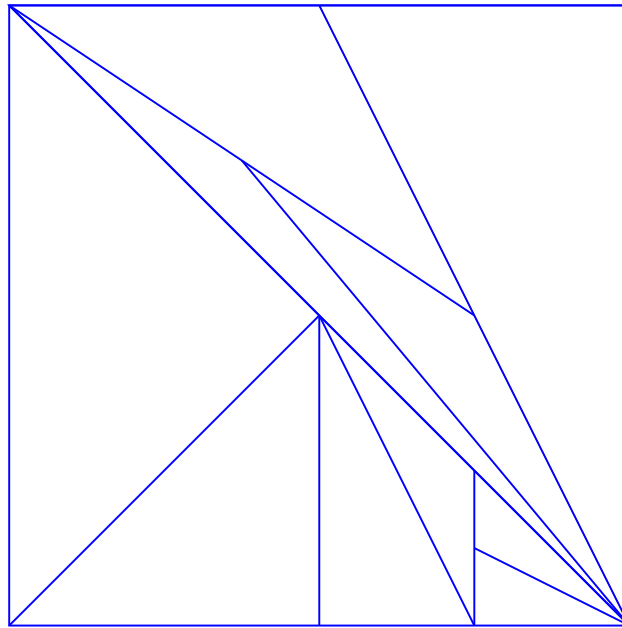
Coarse triangulation  $\Rightarrow$  select triangle with largest local  $L^2$  error  $\Rightarrow$  choose the mid-point bisection that best reduces this error  $\Rightarrow$  split  $\Rightarrow$  iterate...

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Greedy approach: adaptive mid-point bisection (Dyn, Hecht, AC)

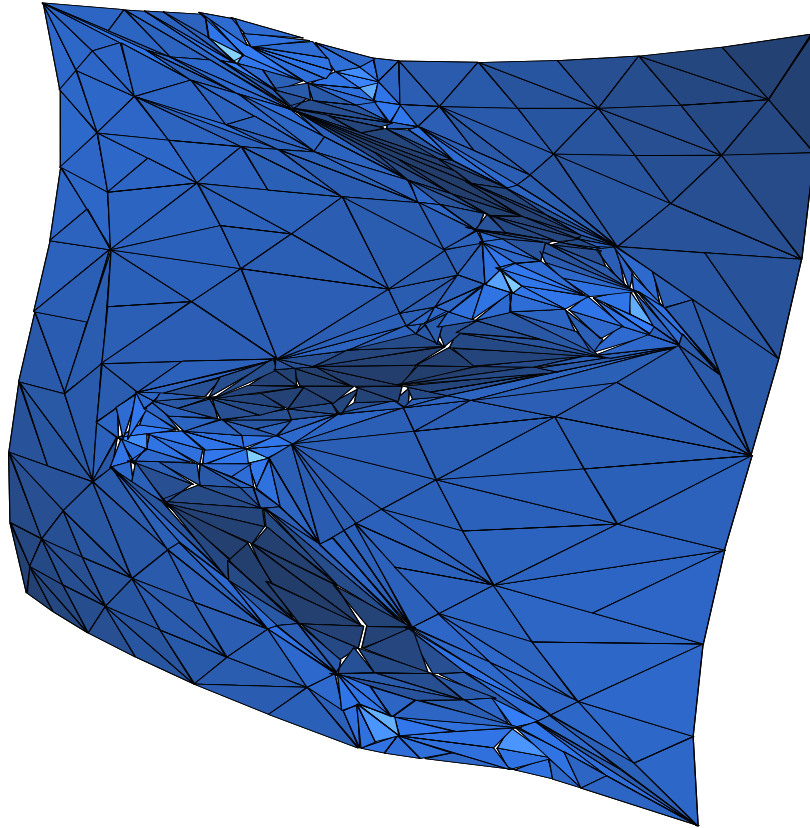


Coarse triangulation  $\Rightarrow$  select triangle with largest local  $L^2$  error  $\Rightarrow$  choose the mid-point bisection that best reduces this error  $\Rightarrow$  split  $\Rightarrow$  iterate....

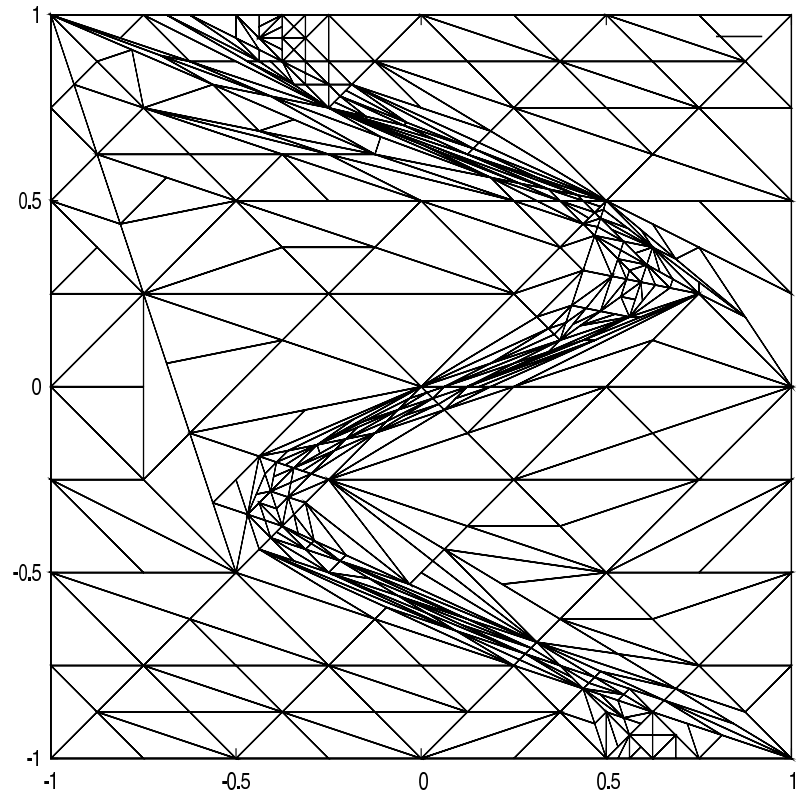
... until prescribed accuracy or number of triangles is met.

## Development of anisotropic triangles

Example: sharp gradient transition on a sine curve.



Approximation



Triangulation

## Theoretical questions

Algorithm stops when reaching the minimal number of triangles  $N$  for which a prescribed  $L_2$  error  $D$  is ensured.

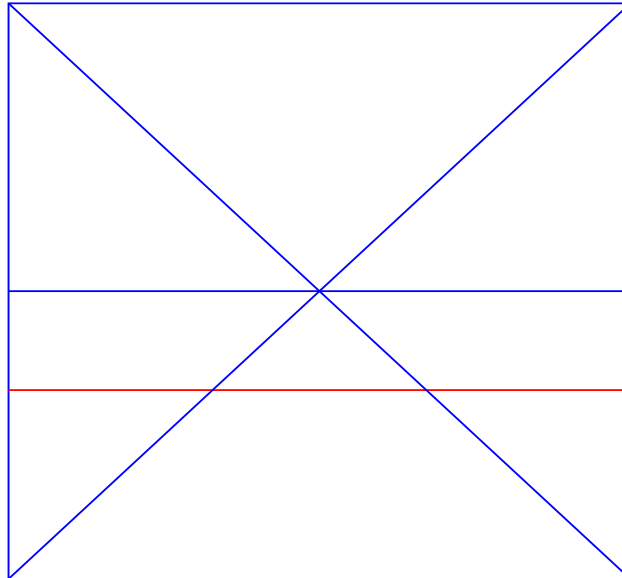
**Open problem:** do greedy algorithm allow to obtain the optimal convergence rate  $D \leq CN^{-1}$  for piecewise smooth functions with smooth edges, such as  $\chi_\Omega$  ?

A more tamed version of this problem: for such functions, is there a certain splitting scenario which generates triangulations such that  $D \leq CN^{-1}$  ? (in other words, is the class of triangulation generated by splitting rich enough to approximate general smooth edges).

Negative answer in the case where the split is limited to the mid-point, positive answer with more choices.

## The case of a step function

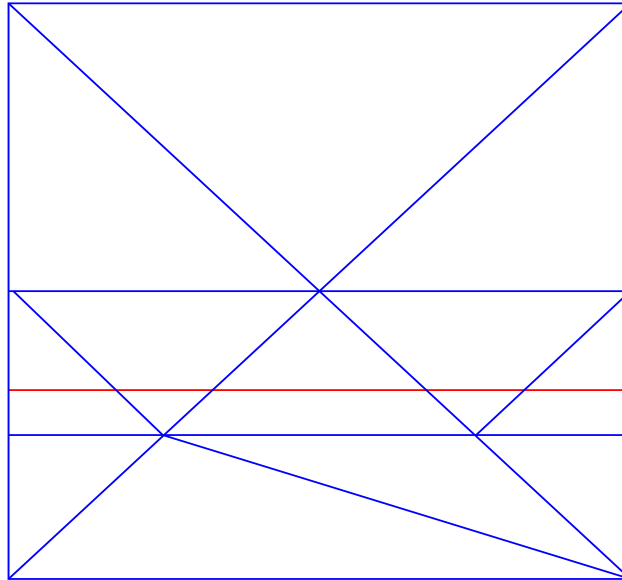
Consider the step function  $f(x, y) = \chi_{\{y > 1/3\}}$  on  $[0, 1]^2$ .



**Supervised split** : refine the edge at resolution  $\Delta y = 1, \frac{1}{2}$

## The case of a step function

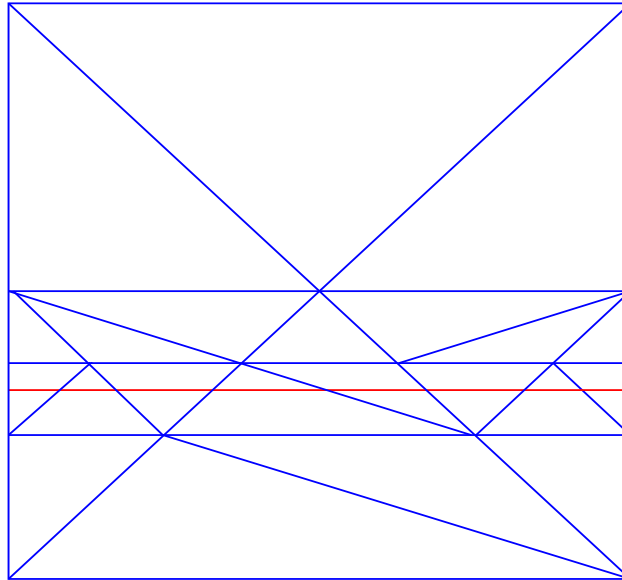
Consider the step function  $f(x, y) = \chi_{\{y > 1/3\}}$  on  $[0, 1]^2$ .



**Supervised split** : refine the edge at resolution  $\Delta y = 1, \frac{1}{2}, \frac{1}{4}$

## The case of a step function

Consider the step function  $f(x, y) = \chi_{\{y > 1/3\}}$  on  $[0, 1]^2$ .

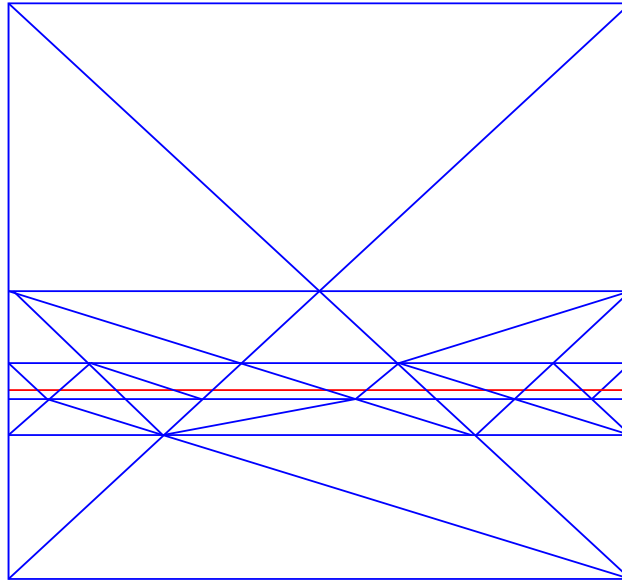


**Supervised split** : refine the edge at resolution  $\Delta y = 1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}$



## The case of a step function

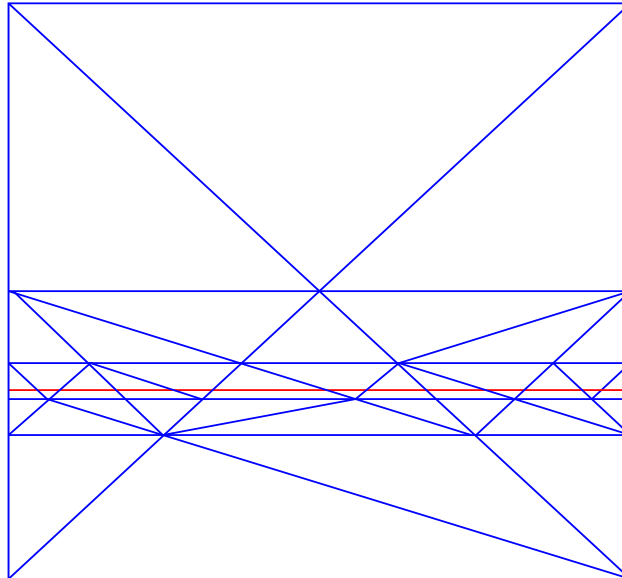
Consider the step function  $f(x, y) = \chi_{\{y > 1/3\}}$  on  $[0, 1]^2$ .



**Supervised split** : refine the edge at resolution  $\Delta y = 1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16} \dots$

## The case of a step function

Consider the step function  $f(x, y) = \chi_{\{y > 1/3\}}$  on  $[0, 1]^2$ .



**Supervised split** : refine the edge at resolution  $\Delta y = 1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{16} \dots$

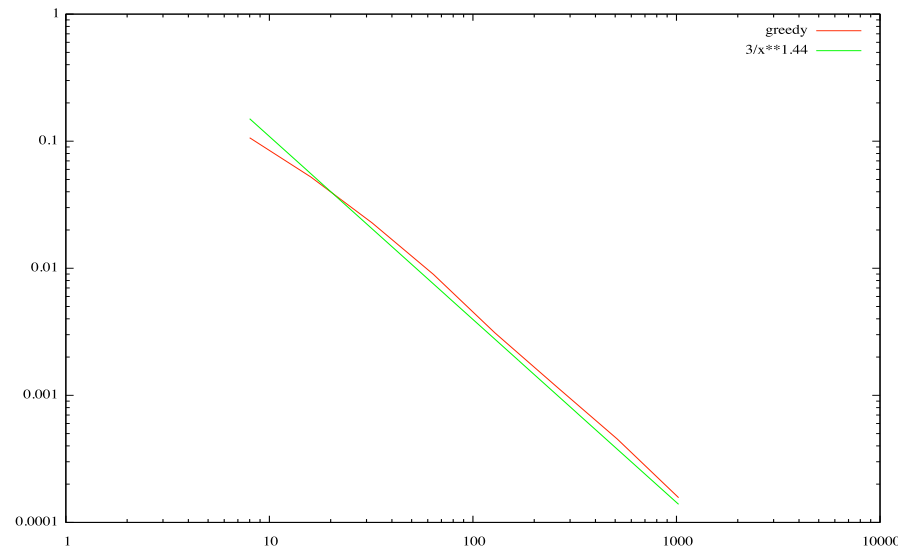
Number of triangles  $N = N(j)$  generated at resolution  $2^{-j}$  :

$$N(j) = N(j - 1) + N(j - 2) \sim G^j$$

## Convergence rate

**Supervised split** : at resolution  $2^{-j}$ , the  $L^2$  square error is at best controlled by

$$E \leq 2^{-j} \leq CN^{-r}, \quad r = \frac{\log 2}{\log G} \approx 1.44$$



Greedy split exhibits the same convergence rate. **General result ?**

## Comparison between the two algorithms

- **Triangulations:**

First – Delaunay triangulation of a set of significant points (pixels).

Second – A triangulation with a binary tree structure.

- **Approximating spaces:**

First – Continuous piecewise linear polynomials over the triangulation.

Second – Discontinuous piecewise linear polynomials over the triangulation.

Nested approximating spaces during the performance of the algorithm.

- **Computation of the optimal approximant:**

First – A global minimization over the values attached to the significant points (pixels).

Second – Local computation on each triangle;  
local correction after a split.

**Conclusion:**

The approximant obtained by the second algorithm can be encoded more efficiently.