# Higher-Dimensional Analogues of the Combinatorial Nullstellensatz

Jake Mundo July 20, 2016

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#### Schwartz-Zippel Lemma

Let  $F \in K[x_1, \dots, x_n]$  be a nonzero polynomial of degree d and let  $S \subset K$  be finite. Then

 $|Z(F) \cap S^n| \le d|S|^{n-1}.$ 

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How tight is the bound?

• roughly, tightest for polynomials of form  $\sum_{i} \prod_{j} (x_i - s_j)$ 

Combinatorial Nullstellensatz (Alon 1999)

Let  $F \in K[x_1, \dots, x_n]$ , and let  $S_i \subset K$  for  $i \in \{1, \dots, n\}$ . Define  $G_i(x_i) = \prod_{s_i \in S_i} (x_i - s_i)$ , and suppose F vanishes on  $\prod_{i=1}^n Z(G_i)$ . Then there are polynomials  $H_1, \dots, H_n \in K[x_1, \dots, x_n]$  with  $\deg(H_i) \leq \deg(F_i) - \deg(G_i)$  such that

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$$F = \sum_{i=1}^{n} G_i H_i.$$

- note: we will assume K is a field throughout the talk
- enormous applications in many areas

#### Second Combinatorial Nullstellensatz (Alon 1999)

Let  $F \in K[x_1, \dots, x_n]$ , and suppose that  $\deg(f) = \sum_{i=1}^n t_i$  for nonnegative integers  $t_i$ . Suppose further that the coefficient of  $\prod_{i=1}^n x_i^{t_i}$  in F is nonzero. Then if  $S_1, \dots, S_n \in K$  with  $\#S_i > t_i$  for each i, there is  $s \in S_1 \times \dots \times S_n$  such that

$$F(s) \neq 0.$$

#### Cauchy-Davenport Theorem (Cauchy 1813)

If p is a prime, and A, B are two nonempty subsets of  $\mathbb{Z}_p$ , then

 $|A + B| \ge \min\{p, |A| + |B| + 1\}.$ 

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#### Theorem (Chevalley 1935, special case)

Let *p* be a prime, and let  $P_1, \dots, P_m \in \mathbb{Z}_p[x_1, \dots, x_n]$ . If  $n > \sum_{i=1}^m \deg(P_i)$  and the polynomials  $P_i$  have a common zero, then they have another common zero.

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 both classical results follow easily from Combinatorial Nullstellensatz

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To find generalizations of the Combinatorial Nullstellensatz into higher dimensions, that is, to generalize the theorem so that the sets *S<sub>i</sub>* can be in more than one dimension and the polynomials *G<sub>i</sub>* can be in more than one variable.

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- $\cdot$  2  $\times$  2 case already considered by Mojarrad et al.

## Background: The Partition

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#### Definition

Let  $\mathcal{G} = \{G_i : i \in \{1, \dots, k\}\}$  be a set of polynomials. We say  $\mathcal{G}$  is a *P*-family of polynomials if  $G_i \in K[x_{n_{i-1}+1}, \dots, x_{n_i}]$  for each *i*.

### Background: The Form of the Polynomial

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#### Definition (Cartesian Polynomial)

Let  $\mathcal{G} = \{G_i : i \in \{1, \dots, k\}\}$  be a *P*-family of polynomials, and let  $F \in K[x_1, \dots, x_n]$ . We say *F* is  $\mathcal{G}$ -Cartesian if there are polynomials  $H_1, \dots, H_k \in K[x_1, \dots, x_n]$  such that  $\deg(H_i) \leq \deg(F) - \deg(G_i)$  for each *i* and

$$F = \sum_{i=1}^{k} G_i H_i.$$

Further, if any such *P*-family of polynomials exists, we say *F* is *P*-Cartesian.

### First Generalized Combinatorial Nullstellensatz

Let  $\mathcal{G} = \{G_i : i \in \{1, \dots, k\}\}$  be a *P*-family of polynomials, all squarefree, and let  $F \in K[x_1, \dots, x_n]$ . Suppose *F* vanishes on  $\prod_{i=1}^{k} Z(G_i)$ . Then *F* is  $\mathcal{G}$ -Cartesian (and hence also *P*-Cartesian).

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Outline of Proof:

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- $\cdot$  hence, F is G-Cartesian

### Combinatorial Nullstellensatz (again)
### Second Combinatorial Nullstellensatz (Alon 1999)

Let  $F \in K[x_1, \dots, x_n]$ , and suppose that  $\deg(f) = \sum_{i=1}^n t_i$  for nonnegative integers  $t_i$ . Suppose further that the coefficient of  $\prod_{i=1}^n x_i^{t_i}$  in F is nonzero. Then if  $S_1, \dots, S_n \in K$  with  $\#S_i > t_i$  for each i, there is  $s \in S_1 \times \dots \times S_n$  such that

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- the tuple (1,2,1,0,5) has P-reduction (3,6) for P defined by 0 < 2 < 5
- the polynomial x<sub>2</sub>x<sub>3</sub><sup>4</sup> + x<sub>1</sub>x<sub>2</sub>x<sub>3</sub><sup>7</sup>x<sub>4</sub> has P-support {(3, 4, 0), (2, 7, 1)} for P defined by 0 < 2 < 3 < 4</li>

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Let  $S \in K^n$  be a finite set. Then the algebraic degree of S, denoted d(S), is the degree of the lowest degree polynomial in  $K[x_1, \dots, x_n]$  which vanishes completely on S.

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• in one dimension, #S = d(S)

#### Theorem

Let  $F \in K[x_1, \dots, x_n]$ , and let  $t = (t_1, \dots, t_k)$  be maximal in the *P*-support of *F*. For each  $i \in \{1, \dots, k\}$ , let  $S_i \subset K^{n_i - n_{i-1}}$  be finite with  $d(S_i) > t_i$ . Then there is  $s \in S_1 \times \dots \times S_k$  such that  $F(s) \neq 0$ .

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Outline of Proof:

• find  $f_i$  so  $\sum_{s_i \in S_i} f_i(s_i) s_i^a$  is 0 when *a*'s terms sum to less than  $t_i$  and 1 when they sum to  $t_i$ 

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- conclude  $\sum f_1(s_1) \cdots f_k(s_k) F(s_1, \cdots, s_k) \neq 0$
- conclude F does not vanish on all of  $S_1 \times \cdots \times S_k$

### The $2 \times 2 \times \cdots \times 2$ Case

### Lemma (Mojarrad et al. 2016)

Let S be a possibly infinite set of curves in  $K^2$  of degree at most d, and suppose that their intersection  $\cap_{C \in S} C$  contains a set I of size  $|I| > d^2$ . Then there is a curve  $C_0$  such that  $C_0 \in \cap_{C \in S} C$  and  $|C_0 \cap I| \ge |I| - (d-1)^2$ .

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- analogue of Bézout's Theorem for many curves
- no direct analogue for three or more dimensions: consider many planes intersecting in a line

# Another Generalized Combinatorial Nullstellensatz

Let  $F \in K[x_1, \dots, x_{2m}]$ , and denote by  $\deg_m(F)$  the degree of F as a polynomial in  $x_{2m-1}, x_{2m}$ . For each  $i \in \{1, \dots, m\}$ , let  $S_i \subset K^2$  and suppose  $\#S_i > \deg_i(F)^2$ . Then there is  $s \in S_1 \times \dots \times S_m$  such that f(s) = 0 unless F is P-Cartesian for P defined by  $0 < 2 < \dots < 2m$ .

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- use lemma to find that *F* vanishes on some  $\prod Z(G_i)$
- use first generalized Combinatorial Nullstellensatz to show that *F* is Cartesian

# Higher Dimensions

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- in three or more dimensions, infinite intersection no longer means intersection in a hyperplane
- $\cdot\,$  much harder to find shared curve in three or more dimensions
- hence, difficult to show a polynomial is Cartesian from vanishing on a finite set

### Future Work
#### Further Goal

To generalize the Schwartz-Zippel lemma to higher dimensions, starting with the  $2 \times 2 \times \cdots \times 2$  case, by giving a bound on the intersection of a variety in  $\mathbb{C}^{2k}$  with  $S_1 \times \cdots \times S_k$ , with the  $S_i$  all 2-dimensional and finite.

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- generalization would present improvements on Schwartz-Zippel in certain cases
- linked to generalized Combinatorial Nullstellensatz

## Thank you!

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## Thank you!