

## Subject §4.3 Similarity

Let  $E = \{v_1, \dots, v_n\}$  be a basis for  $V$  and  $F = \{w_1, \dots, w_m\}$  be a basis for  $W$ .  $L: V \rightarrow W$  linear.

$$\begin{array}{ccc} v \in V_E & \xrightarrow{L} & W_F \\ \downarrow E & & \downarrow F \\ [v]_E \in \mathbb{R}^n & \xrightarrow{A} & \mathbb{R}^m \ni [L(v)]_F = A[v]_E \end{array}$$

$$A = \left[ [L(v_1)]_F \ \dots \ [L(v_n)]_F \right].$$

Now let  $V$  be a vector space with  $\dim(V) = n$ ,  $L: V \rightarrow V$  be linear. The representation matrix of  $L$  depends on the basis we choose.

If  $E$  and  $F$  are two bases for  $V$ ,

$A$  is representation matrix of  $L$  w.r.t.  $E$ ,

$B$  is representation matrix of  $L$  w.r.t.  $F$ , i.e.,

$$\begin{array}{ccc} V \xrightarrow{L} V & & V \xrightarrow{L} V \\ \downarrow E & & \downarrow F \\ [v]_E \in \mathbb{R}^n \xrightarrow{A} \mathbb{R}^n \ni [L(v)]_E = A[v]_E & ; & [v]_F \in \mathbb{R}^n \xrightarrow{B} \mathbb{R}^n \ni [L(v)]_F = B[v]_F \end{array}$$

$$A = \left[ [L(v_1)]_E \ \dots \ [L(v_n)]_E \right] \quad B = \left[ [L(w_1)]_F \ \dots \ [L(w_n)]_F \right].$$

What is the relation between  $A$  and  $B$ ?

Let  $T$  be the transition matrix from  $F$  to  $E$   
 i.e.,  $E = \{v_1, \dots, v_n\} \xleftarrow{T} F = \{w_1, \dots, w_n\}$ . express  $F$  in terms of  $E$ .

$T = \begin{bmatrix} [w_1]_E & \dots & [w_n]_E \end{bmatrix}$ . Then we have the diagram

$$\begin{array}{ccc} V \xrightarrow{[v]_E} \mathbb{R}_E^n & \xleftarrow{T} & \mathbb{R}_F^n \\ L \downarrow & & \downarrow A = \begin{bmatrix} [L(w_1)]_E & \dots & [L(w_n)]_E \end{bmatrix} \\ V \xrightarrow{[Lw]_E} \mathbb{R}_E^n & \xleftarrow{T} & \mathbb{R}_F^n \end{array} \quad \downarrow B = T^{-1}AT$$

Ex:  $L: \mathbb{R}^2 \rightarrow \mathbb{R}^2$  by  $L \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} 2x_1 \\ x_1 + x_2 \end{bmatrix}$ . Given two bases for  $\mathbb{R}^2$ ,  
 $E = \{e_1, e_2\}$ ,  $F = \{u_1, u_2\}$ ,  $u_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$ ,  $u_2 = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$ .

1) The representation matrix  $A$  of  $L$  w.r.t.  $E$  is

$$A = \begin{bmatrix} [L(e_1)]_E & [L(e_2)]_E \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 2 & 0 \\ 1 & 1 \end{bmatrix}$$

2) The representation matrix  $B$  of  $L$  w.r.t.  $F$  is

$$a) B = \begin{bmatrix} [L(u_1)]_F & [L(u_2)]_F \end{bmatrix} = \begin{bmatrix} \begin{bmatrix} 2 \\ 2 \end{bmatrix}_F & \begin{bmatrix} -2 \\ 0 \end{bmatrix}_F \end{bmatrix} = \begin{bmatrix} 2 & -1 \\ 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 2 \\ 2 \end{bmatrix} = 2 \begin{bmatrix} 1 \\ 1 \end{bmatrix} + 0 \begin{bmatrix} -1 \\ 1 \end{bmatrix} \Rightarrow \begin{bmatrix} 2 \\ 2 \end{bmatrix}_F = \begin{bmatrix} 2 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} -2 \\ 0 \end{bmatrix} = -1 \begin{bmatrix} 1 \\ 1 \end{bmatrix} + 1 \begin{bmatrix} 1 \\ 1 \end{bmatrix} \Rightarrow \begin{bmatrix} -2 \\ 0 \end{bmatrix}_F = \begin{bmatrix} -1 \\ 1 \end{bmatrix}$$

b)  $B = T^{-1}AT$ . where  $F \xrightarrow{T} E$ .  $T = \begin{bmatrix} [u_1]_E & [u_2]_E \end{bmatrix} = [u_1, u_2] = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}$

$$T^{-1} = \frac{1}{1+1} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix}$$

$$B = T^{-1}AT = \frac{1}{2} \begin{bmatrix} 1 & 1 \\ -1 & 1 \end{bmatrix} \begin{bmatrix} 2 & 0 \\ 1 & 1 \end{bmatrix} \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} 2 & -1 \\ 0 & 1 \end{bmatrix}$$

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Def: Let  $A$  and  $B$  be  $n \times n$  matrices.  $A$  and  $B$  are said to be similar if there is a nonsingular matrix  $U$  s.t.

$$B = U^{-1}AU, \text{ or } A = UBU^{-1}.$$

denoted by  $A \sim B$ .

1) If  $A$  and  $B$  are representation matrices of a linear operator  $L$  w.r.t. two bases  $E = \{v_1, \dots, v_n\}$  and  $F = \{u_1, \dots, u_n\}$  respectively, then

$$A \sim B = T^{-1}AT$$

where  $F \xrightarrow{T} E$ ,  $T = \begin{bmatrix} [u_1]_E & \dots & [u_n]_E \end{bmatrix} = \begin{bmatrix} t_{11} & \dots & t_{1n} \\ \vdots & & \vdots \\ t_{n1} & \dots & t_{nn} \end{bmatrix}$

$$u_1 = t_{11}v_1 + \dots + t_{n1}v_n$$

$$\vdots$$

$$u_n = t_{1n}v_1 + \dots + t_{nn}v_n.$$

Ex:  $P_3 = \text{span}\{x^2, x, 1\}$ ,  $D: P_3 \rightarrow P_3$  by  $D(p(x)) = p'(x)$ .

1) Find the matrix  $B$  representing  $D$  w.r.t.  $\{1, x, x^2\} = E$

2) Find the matrix  $A$  representing  $D$  w.r.t.  $\{1, 2x, 4x^2 - 2\} = F$

3) Find the matrix  $T$  such that  $A = T^{-1}BT$ .

$$1) B = \left[ [D(1)]_F \quad [D(2x)]_F \quad [D(4x^2)]_F \right] = \left[ [0]_F \quad [1]_F \quad [2x]_F \right] = \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{bmatrix}$$

$$2) A = \left[ [D(1)]_F \quad [D(2x)]_F \quad [D(4x^2-2)]_F \right] = \left[ [0]_F \quad [2]_F \quad [8x]_F \right] = \begin{bmatrix} 0 & 2 & 0 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{bmatrix}$$

$$\text{Since } 0 = 0(1) + 0(2x) + 0(4x^2-2)$$

$$2 = 2(1) + 0(2x) + 0(4x^2-2)$$

$$8x = 0(1) + 4(2x) + 0(4x^2-2)$$

$$3) F \xrightarrow{T} E. \quad T = \left[ [1]_E \quad [2x]_E \quad [4x^2-2]_E \right] = \begin{bmatrix} 1 & 0 & -2 \\ 0 & 2 & 0 \\ 0 & 0 & 4 \end{bmatrix}. \quad \text{To find } T^{-1}$$

$$\left[ \begin{array}{ccc|ccc} 1 & 0 & -2 & 1 & 0 & 0 \\ 0 & 2 & 0 & 0 & 1 & 0 \\ 0 & 0 & 4 & 0 & 0 & 1 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & 0 & -2 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1/2 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1/4 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & 0 & 0 & 1 & 0 & 1/2 \\ 0 & 1 & 0 & 0 & 1/2 & 0 \\ 0 & 0 & 1 & 0 & 0 & 1/4 \end{array} \right]$$

$$\Rightarrow T^{-1} = \begin{bmatrix} 1 & 0 & 1/2 \\ 0 & 1/2 & 0 \\ 0 & 0 & 1/4 \end{bmatrix}$$

check

$$A = \begin{bmatrix} 0 & 2 & 0 \\ 0 & 0 & 4 \\ 0 & 0 & 0 \end{bmatrix} = T^{-1} B T = \begin{bmatrix} 1 & 0 & 1/2 \\ 0 & 1/2 & 0 \\ 0 & 0 & 1/4 \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} 1 & 0 & -2 \\ 0 & 2 & 0 \\ 0 & 0 & 4 \end{bmatrix} \quad *$$

Ex:  $L: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  has the representation matrix  $A = \begin{bmatrix} 2 & 2 & 0 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix}$

w.r.t. the basis  $E = \{e_1, e_2, e_3\}$ .

Find the matrix  $B$  representing  $L$  w.r.t. the basis

$Y = \{y_1, y_2, y_3\}$  where  $y_1 = \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix}$ ,  $y_2 = \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}$ ,  $y_3 = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$ .

sol: Let  $Y \xrightarrow{T} E$ . Then  $B = T^{-1} A T$ . we have

$$T = \left[ [y_1]_E \quad [y_2]_E \quad [y_3]_E \right] = \begin{bmatrix} 1 & -2 & 1 \\ -1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

$$\left[ \begin{array}{ccc|ccc} 1 & -2 & 1 & 1 & 0 & 0 \\ -1 & 1 & 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & -2 & 1 & 1 & 0 & 0 \\ 0 & -1 & 2 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & -2 & 1 & 1 & 0 & 0 \\ 0 & -1 & 2 & 1 & 1 & 0 \\ 0 & 0 & 3 & 1 & 1 & 1 \end{array} \right]$$

$$\rightarrow \left[ \begin{array}{ccc|ccc} 1 & -2 & 1 & 1 & 0 & 0 \\ 0 & -1 & 2 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1/3 & 1/3 & 1/3 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & -2 & 0 & 2/3 & -1/3 & 1/3 \\ 0 & -1 & 0 & 1/3 & 1/3 & -2/3 \\ 0 & 0 & 1 & 1/3 & 1/3 & 1/3 \end{array} \right] \rightarrow \left[ \begin{array}{ccc|ccc} 1 & 0 & 0 & 0 & -1 & 1 \\ 0 & -1 & 0 & 1/3 & 1/3 & -2/3 \\ 0 & 0 & 1 & 1/3 & 1/3 & 1/3 \end{array} \right]$$

$$T^{-1} = \frac{1}{3} \begin{bmatrix} 0 & -3 & 3 \\ -1 & -1 & 2 \\ 1 & 1 & 1 \end{bmatrix}$$

$$\text{Then } B = T^{-1}AT = \frac{1}{3} \begin{bmatrix} 0 & -3 & 3 \\ -1 & -1 & 2 \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} 2 & 2 & 0 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} 1 & -2 & 1 \\ -1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 4 \end{bmatrix}.$$

Another way to find

$$B = \begin{bmatrix} [Ay_1]_{\mathcal{Y}} & [Ay_2]_{\mathcal{Y}} & [Ay_3]_{\mathcal{Y}} \end{bmatrix} = \begin{bmatrix} \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}_{\mathcal{Y}} & \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}_{\mathcal{Y}} & \begin{bmatrix} 4 \\ 4 \\ 4 \end{bmatrix}_{\mathcal{Y}} \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 4 \end{bmatrix}$$

$$\begin{bmatrix} 2 & 2 & 0 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ -1 \\ 0 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}, \quad \begin{bmatrix} 2 & 2 & 0 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} -2 \\ 1 \\ 1 \end{bmatrix}, \quad \begin{bmatrix} 2 & 2 & 0 \\ 1 & 1 & 2 \\ 1 & 1 & 2 \end{bmatrix} \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} 4 \\ 4 \\ 4 \end{bmatrix}, \quad *.$$

Ex:  $P_3 = \text{span}\{x^2, x, 1\}$ .  $L: P_3 \rightarrow P_3$  by  $L(p(x)) = xp'(x) + 2p(x)$

a) Find RM  $A$  of  $L$  w.r.t.  $\mathcal{S} = \{1, x, x^2\}$ ,

b) Find RM  $B$  of  $L$  w.r.t.  $\mathcal{E} = \{1, x, 1+x^2\}$ .

c) Find  $T$  s.t.  $B = T^{-1}AT$ .

$$a) A = \begin{bmatrix} [L(1)]_{\mathcal{S}} & [L(x)]_{\mathcal{S}} & [L(x^2)]_{\mathcal{S}} \end{bmatrix} = \begin{bmatrix} [2]_{\mathcal{S}} & [x+2x]_{\mathcal{S}} & [2x^2+2x^2]_{\mathcal{S}} \end{bmatrix} = \begin{bmatrix} 2 & 0 & 0 \\ 0 & 3 & 0 \\ 0 & 0 & 4 \end{bmatrix}$$

$$b) B = \begin{bmatrix} [L(1)]_{\mathcal{E}} & [L(x)]_{\mathcal{E}} & [L(1+x^2)]_{\mathcal{E}} \end{bmatrix} = \begin{bmatrix} [2]_{\mathcal{E}} & [x+2x]_{\mathcal{E}} & [2x^2+2+2x^2]_{\mathcal{E}} \end{bmatrix}$$

$$= \begin{bmatrix} 2 & 0 & -2 \\ 0 & 3 & 0 \\ 0 & 0 & 4 \end{bmatrix}, \quad 4x^2+2 = -2+4(1+x^2)$$

$$c) \mathcal{E} \xrightarrow{T} \mathcal{S}, \quad T = \begin{bmatrix} [1]_{\mathcal{S}} & [x]_{\mathcal{S}} & [1+x^2]_{\mathcal{S}} \end{bmatrix} = \begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}.$$

$$T^{-1} = \begin{bmatrix} 1 & 0 & -1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \text{check } B = T^{-1}AT. \quad *$$