

Section 11.6: Absolute convergence and the ratio and root test

**Definition:** A series  $\sum a_n$  is called absolutely convergent if the series  $\sum |a_n|$  is convergent.

**Definition:** A series  $\sum a_n$  is called conditionally convergent if the series  $\sum |a_n|$  is divergent and the series  $\sum a_n$  is convergent.

**Theorem:** If a series  $\sum a_n$  is absolutely convergent, then it is convergent.

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

original series

$$\sum_{n=1}^{\infty} \frac{1}{n^3}$$

by the definition  
of Abs. conv.

The original series  
converges

new series

$$\sum_{n=1}^{\infty} \left| \frac{1}{n^3} \right| = \sum_{n=1}^{\infty} \frac{1}{n^3}$$

p-series  $p=3$

conv.

The new series converges

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

original series

$$\sum_{n=1}^{\infty} \frac{\sin(n)}{n^2+1}$$

by the defn of Abs conv.

the original series  
will be absolutely  
conv.

and thus convergent

new series

$$\sum \left| \frac{\sin(n)}{n^2+1} \right| = \sum \frac{|\sin(n)|}{n^2+1}$$

$$0 \leq |\sin(n)| \leq 1$$

$$0 \leq \frac{|\sin(n)|}{n^2+1} \leq \frac{1}{n^2+1} < \frac{1}{n^2}$$

$$\sum \frac{1}{n^2} \text{ p-series } p=2 \text{ conv.}$$

$$\text{by comparison we get } \sum \frac{|\sin(n)|}{n^2+1} \text{ conv.}$$

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

Original Series

$$\sum_{n=1}^{\infty} \frac{(-1)^n}{n}$$

$$b_n = \frac{1}{n}$$

$b_n \rightarrow 0$  as  $n \rightarrow \infty$

and  $b_n$  dec.

by AST. This series conv.

New Series

$$\sum \left| \frac{(-1)^n}{n} \right| = \sum \frac{1}{n} \quad \begin{array}{l} p\text{-series} \\ p=1 \quad \text{div.} \end{array}$$

The original series is not Abs. conv.

So the original series

is conditionally convergent.

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

$$\sum_{n=2}^{\infty} \frac{(-1)^n}{\ln(n)}$$

$$b_n = \frac{1}{\ln(n)}$$

$$n \rightarrow \infty \quad b_n \rightarrow 0$$

$b_n$  dec.

Conv by AST

The original series

is conditionally conv.

new series

$$\sum \left| \frac{(-1)^n}{\ln(n)} \right| = \sum \frac{1}{\ln(n)}$$

LCT with  $\sum \frac{1}{n}$  div.

$$\lim_{n \rightarrow \infty} \frac{\frac{1}{\ln(n)}}{\frac{1}{n}} = \lim_{n \rightarrow \infty} \frac{n}{\ln(n)} \stackrel{\text{L'H}}{=} \lim_{n \rightarrow \infty} \frac{1}{\frac{1}{n}} = \lim_{n \rightarrow \infty} n = \infty$$

by LCT  $\sum \frac{1}{\ln(n)}$  also div.

The original series is not abs. conv.

## The Ratio Test:

$$\sum a_n$$

(a) If  $\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = L$ , with  $0 \leq L < 1$ , then the series  $\sum_{n=1}^{\infty} a_n$  is absolutely convergent (and therefore convergent).

(b) If  $\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = L > 1$  or  $\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \infty$ , then the series  $\sum_{n=1}^{\infty} a_n$  is divergent.

Note: If the limit for the ratio test is 1, then this test fails to give any information. Try something else.

Consider the results of the ratio test for two of our known  $p$ -series.

(a)  $\sum_{n=1}^{\infty} \frac{1}{n^2}$ , where  $a_n = \frac{1}{n^2}$ , converges since  $p > 1$ .

$$\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \lim_{n \rightarrow \infty} \left| \frac{1/(n+1)^2}{1/n^2} \right| = \lim_{n \rightarrow \infty} \frac{n^2}{(n+1)^2} = 1 \text{ by L'Hopitals.}$$

(b)  $\sum_{n=1}^{\infty} \frac{1}{n}$ , where  $a_n = \frac{1}{n}$ , diverges since  $p \leq 1$ .

$$\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \lim_{n \rightarrow \infty} \left| \frac{1/(n+1)}{1/n} \right| = \lim_{n \rightarrow \infty} \frac{n}{n+1} = 1 \text{ by L'Hopitals.}$$

factorials.  
exponentials

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

$$\sum_{n=1}^{\infty} \frac{(-3)^n}{n!}$$

$$a_n = \frac{(-1)^n 3^n}{n!}$$

$$a_{n+1} = \frac{(-1)^{n+1} 3^{n+1}}{(n+1)!}$$

$$\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \lim_{n \rightarrow \infty} \left| \frac{\frac{(-1)^{n+1} 3^{n+1}}{(n+1)!}}{\frac{(-1)^n 3^n}{n!}} \right| = \lim_{n \rightarrow \infty} \left| \frac{(-1)^{n+1} 3^{n+1}}{(n+1)!} \cdot \frac{n!}{(-1)^n 3^n} \right|$$

$$= \lim_{n \rightarrow \infty} \frac{3 n!}{(n+1)!} = \lim_{n \rightarrow \infty} \frac{3 \cancel{n!}}{(n+1) \cancel{n!}}$$

$$= \lim_{n \rightarrow \infty} \frac{3}{n+1} = 0$$

$$\begin{aligned} 5! &= 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 \\ 4! &= 4 \cdot 3 \cdot 2 \cdot 1 \\ 4! &= 4 \cdot 3! \\ (n+1)! &= (n+1) \cdot \underbrace{n(n-1)(n-2)\dots 1}_{=n!} \\ &= (n+1)n! \end{aligned}$$

by Ratio test the series is Abs. conv.

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

$$\sum_{n=1}^{\infty} n! e^{-n}$$

$$a_n = n! e^{-n}$$

$$a_{n+1} = (n+1)! e^{-(n+1)} = (n+1)! e^{-n-1}$$

$$\begin{aligned} \lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| &= \lim_{n \rightarrow \infty} \left| \frac{(n+1)! e^{-n-1}}{n! e^{-n}} \right| = \lim_{n \rightarrow \infty} \frac{(n+1) \cdot \cancel{n!} e^{-n} e^{-1}}{\cancel{n!} e^{-n}} \\ &= \lim_{n \rightarrow \infty} (n+1) e^{-1} = \infty \end{aligned}$$

The series Diverges by the Ratio test.



Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

$$\sum_{n=1}^{\infty} \frac{(n+1)^3}{(2n)!}$$

$$a_n = \frac{(n+1)^3}{(2n)!}$$

$$a_{n+1} = \frac{(n+1+1)^3}{(2(n+1))!} = \frac{(n+2)^3}{(2n+2)!}$$

$$\begin{aligned} \lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| &= \lim_{n \rightarrow \infty} \left| \frac{(n+2)^3}{(2n+2)!} \cdot \frac{(2n)!}{(n+1)^3} \right| = \lim_{n \rightarrow \infty} \frac{(n+2)^3}{(n+1)^3} \cdot \frac{(2n)!}{(2n+2)!} \\ &= \lim_{n \rightarrow \infty} \left( \frac{n+2}{n+1} \right)^3 \cdot \frac{(2n)!}{(2n+2)(2n+1)(2n)!} \\ &= \lim_{n \rightarrow \infty} \left( \frac{n+2}{n+1} \right)^3 \cdot \frac{1}{(2n+2)(2n+1)} = 1^3 \cdot 0 = 0 \end{aligned}$$

The series is Abs. conv.

Example: Determine if the series is absolutely convergent, conditionally convergent, or divergent?

$$\begin{array}{ll} n=1 & 7 \\ n=2 & 7 \cdot 12 \\ n=3 & 7 \cdot 12 \cdot 17 \\ n=4 & 7 \cdot 12 \cdot 17 \cdot 22 \end{array}$$

$$\sum_{n=1}^{\infty} \frac{(-4)^n n!}{7 \cdot 12 \cdot 17 \cdot \dots \cdot (5n+2)}$$

$$\begin{aligned} \lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| &= \lim_{n \rightarrow \infty} \left| \frac{(-1)^{n+1} 4^{n+1} (n+1)!}{7 \cdot 12 \cdot 17 \cdot \dots \cdot (5n+2) \cdot (5(n+1)+2)} \cdot \frac{7 \cdot 12 \cdot 17 \cdot \dots \cdot (5n+2)}{(-1)^n 4^n n!} \right| \\ &= \lim_{n \rightarrow \infty} \frac{4 \cdot (n+1)}{5n+7} = \lim_{n \rightarrow \infty} \frac{4n+4}{5n+7} = \frac{4}{5} = L \end{aligned}$$

Since  $0 < L < 1$  the series is Abs. Conv.

Example: The series  $\sum a_n$  is defined recursively by

$$a_1 = 1 \quad a_{n+1} = \frac{(2 + \cos(n))a_n}{\sqrt{n}} \text{ for } n \geq 1.$$

Is the series absolutely convergent, conditionally convergent, or divergent?

$$\lim_{n \rightarrow \infty} \left| \frac{a_{n+1}}{a_n} \right| = \lim_{n \rightarrow \infty} \left| \frac{\frac{(2 + \cos(n))a_n}{\sqrt{n}}}{\frac{a_n}{1}} \right| = \lim_{n \rightarrow \infty} \frac{(2 + \cos(n))a_n}{\sqrt{n}} \cdot \frac{1}{a_n} = 0$$

Series is Abs conv. by Ratio test.

**The Root Test:** (not covered in this course but is in the textbook)

(a) If  $\lim_{n \rightarrow \infty} \sqrt[n]{|a_n|} = L$ , with  $0 \leq L < 1$ , then the series  $\sum_{n=1}^{\infty} a_n$  is **absolutely convergent** (and therefore convergent).

(b) If  $\lim_{n \rightarrow \infty} \sqrt[n]{|a_n|} = L > 1$  or  $\lim_{n \rightarrow \infty} \sqrt[n]{|a_n|} = \infty$ , then the series  $\sum_{n=1}^{\infty} a_n$  is divergent.

Note: If the limit for the root test is 1, then this test fails to give any information. Try something else.

Example: Determine if the series is absolute convergent, conditionally convergent, or divergent?

$$\sum_{n=1}^{\infty} \left( \frac{4n+3}{3n+7} \right)^n$$

$$\lim_{n \rightarrow \infty} \sqrt[n]{|a_n|} = \lim_{n \rightarrow \infty} \sqrt[n]{\left( \frac{4n+3}{3n+7} \right)^n} = \lim_{n \rightarrow \infty} \left( \frac{4n+3}{3n+7} \right) = \frac{4}{3} > 1$$

By the root test the series will diverge.