

### Section 8.3. Extrema of Functions of Two Variables

We say that  $f(a, b)$  is a **local maximum** if there exists a circular region in the domain of  $f$  with  $(a, b)$  as the center, such that

$$f(a, b) \geq f(x, y)$$

for all  $(x, y)$  in the region.

Similarly, we say that  $f(a, b)$  is a **local minimum** if there exists a circular region in the domain of  $f$  with  $(a, b)$  as the center, such that

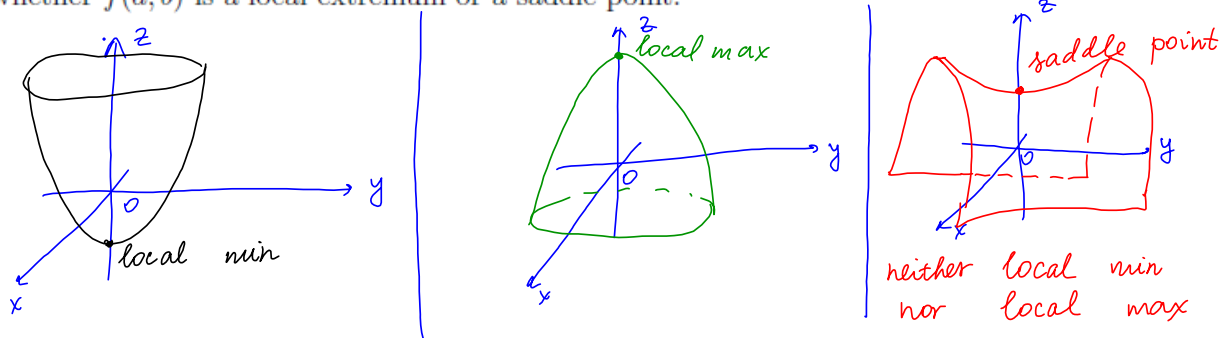
$$f(a, b) \leq f(x, y)$$

for all  $(x, y)$  in the region.

**Theorem.** Let  $f(a, b)$  be a local extremum for the function  $f$ . If both  $f_x$  and  $f_y$  exist at  $(a, b)$ , then

$$f_x(a, b) = 0 \quad \text{and} \quad f_y(a, b) = 0$$

Theorem gives us *necessary* (but not *sufficient*) conditions for  $f(a, b)$  to be a local extremum. We thus find all points  $(a, b)$  such that  $f_x(a, b) = 0$  and  $f_y(a, b) = 0$  and test further to determine whether  $f(a, b)$  is a local extremum or a saddle point.



**Example 1.** Determine the critical point(s) of  $f(x, y) = 2x^2 + 3y^2 + 2xy + 4x - 8y + 3$ .

$$\begin{aligned} f_x &= 4x + 2y + 4 = 0 \\ f_y &= 6y + 2x - 8 = 0 \end{aligned} \quad \left| \begin{aligned} \frac{4x + 2y + 4}{2} &= \frac{0}{2} \\ \frac{6y + 2x - 8}{2} &= \frac{0}{2} \end{aligned} \right.$$

$$\begin{cases} 2x + y + 2 = 0 \\ 3y + x - 4 = 0 \end{cases} \Rightarrow \begin{aligned} y &= -2 - 2x \\ 3(-2 - 2x) + x - 4 &= 0 \\ -6 - 6x + x - 4 &= 0 \\ -5x - 10 &= 0 \end{aligned}$$

$$5x = -10$$

$$\boxed{x = -2}$$

$$y = -2 - 2x$$

$$= -2 - 2(-2)$$

$$= -2 + 4 = \boxed{2 = y}$$

$\boxed{(-2, 2)}$  critical point

Second-derivative test for local extrema. For  $z = f(x, y)$  if

1.  $f_x(a, b) = 0$  and  $f_y(a, b) = 0$
2. All second-order partial derivatives of  $f$  exist in some circular region containing  $(a, b)$  as center.
3.  $A = f_{xx}(a, b)$ ,  $B = f_{xy}(a, b)$ ,  $C = f_{yy}(a, b)$

Then

- If  $AC - B^2 > 0$  and  $A < 0$ , then  $f(a, b)$  is a local maximum.
- If  $AC - B^2 > 0$  and  $A > 0$ , then  $f(a, b)$  is a local minimum.
- If  $AC - B^2 < 0$ , then  $f$  has a saddle point at  $(a, b)$ .
- If  $AC - B^2 = 0$ , the test fails.

Example 2. Find local extrema for the function

$$f(x, y) = 2x^2 - xy + y^2 - x - 5y + 8$$

$$\begin{aligned} f_x = 4x - y - 1 = 0 \\ f_y = -x + 2y - 5 = 0 \end{aligned} \quad \left| \quad \begin{aligned} 4x - y - 1 = 0 &\Rightarrow y = 4x - 1 \\ -x + 2y - 5 = 0 & \end{aligned} \right.$$

$$-x + 2(4x - 1) - 5 = 0$$

$$-x + 8x - 2 - 5 = 0$$

$$7x - 7 = 0$$

$$\boxed{x = 1} \quad \boxed{y = 4(1) - 1 = 3}$$

$(1, 3)$  critical point

$$f_{xx} = \boxed{4 = A > 0}$$

$$f_{xy} = (4x - y - 1)'_y = \boxed{-1 = B}$$

$$f_{yy} = \boxed{2 = C}$$

$$\begin{aligned} AC - B^2 &= 4(2) - (-1)^2 \\ &= 8 - 1 = \boxed{7 > 0} \end{aligned}$$

$(1, 3)$  is the local min