

Chapter 14. Vector calculus.
Section 14.6 Parametric surfaces and their areas.

We suppose that

$$\vec{r}(u, v) = x(u, v)\vec{i} + y(u, v)\vec{j} + z(u, v)\vec{k}, \quad (u, v) \in D \text{ in } \mathbb{R}^2$$

is a vector-valued function defined on an region D in the uv -plane and the partial derivatives of x , y , and z with respect to u and v are all continuous. The set of all points $(x, y, z) \in \mathbb{R}^3$, such that

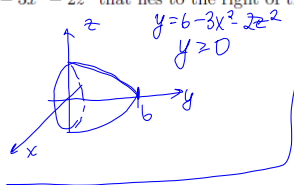
$$x = x(u, v), \quad y = y(u, v), \quad z = z(u, v)$$

and $(u, v) \in D$, is called a parametric surface S with parametric equations

$$x = x(u, v), \quad y = y(u, v), \quad z = z(u, v).$$

D is called the parameter domain for S .

Example 1. Find a parametric representation for the part of the elliptic paraboloid $y = 6 - 3x^2 - 2z^2$ that lies to the right of the xz -plane.

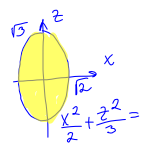


1.
$$\begin{cases} x = x \\ y = 6 - 3x^2 - 2z^2 \\ z = z \end{cases}$$
 parametrization.

Parameter domain:
 $6 - 3x^2 - 2z^2 \geq 0$

$$3x^2 + 2z^2 \leq 6$$

$$\frac{x^2}{2} + \frac{z^2}{3} \leq 1$$



2. "cylindrical coordinates"

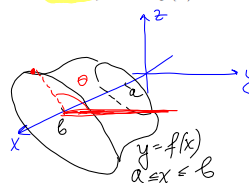
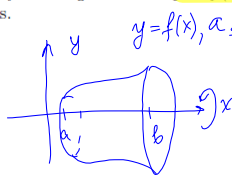
$$\begin{cases} x = \sqrt{2} r \cos \theta \\ y = 6 - 3(\sqrt{2} r \cos \theta)^2 - 2(\sqrt{3} r \sin \theta)^2 = 6 - 6r^2 \\ z = \sqrt{3} r \sin \theta \end{cases}$$

Parameter domain: $0 \leq \theta \leq 2\pi$
 $6 - 6r^2 \geq 0$
 $r^2 \leq 1, \quad 0 \leq r \leq 1$

In general, a surface given as the graph of the function $z = z(x, y)$, can always be regarded as a parametric surface with parametric equations

$$x = x, \quad y = y, \quad z = z(x, y).$$

Surfaces of revolution also can be represented parametrically. Let us consider the surface S obtained by rotating the curve $y = f(x), a \leq x \leq b$, about the x -axis, where $f(x) \geq 0$ and f' is continuous.



Let θ be the angle of rotation. If (x, y, z) is a point on S , then

$$x = x, \quad y = f(x) \cos \theta, \quad z = f(x) \sin \theta$$

The parameter domain is given by $a \leq x \leq b, 0 \leq \theta < 2\pi$.

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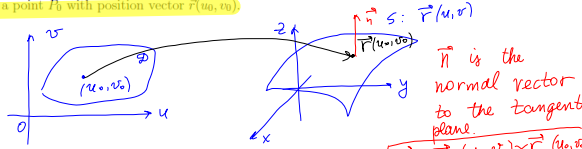
Example 2. Find equation for the surface generated by rotating the curve $x = 4y^2 - y^4, -2 \leq y \leq 2$, about the y -axis. θ is the angle of rotation.

$$\begin{cases} x = (4y^2 - y^4) \cos \theta \\ y = y \\ z = (4y^2 - y^4) \sin \theta \end{cases}$$

Parameter domain: $-2 \leq y \leq 2$
 $0 \leq \theta \leq 2\pi$

Tangent planes.

Problem. Find the tangent plane to a parametric surface S given by a vector function $\vec{r}(u, v)$ at a point P_0 with position vector $\vec{r}(u_0, v_0)$.



$\vec{n} = \vec{r}_u(u_0, v_0) \times \vec{r}_v(u_0, v_0)$

If S is given by $z = z(x, y)$, then the normal vector to the tangent plane to the surface S at (x_0, y_0, z_0) is

$\vec{n} = \langle z_x, z_y, -1 \rangle$

The tangent vector \vec{r}_v to C_1 at P_0 is

$\vec{r}_v = \frac{\partial x}{\partial v}(u_0, v_0)\vec{i} + \frac{\partial y}{\partial v}(u_0, v_0)\vec{j} + \frac{\partial z}{\partial v}(u_0, v_0)\vec{k}$

Similarly, the tangent vector \vec{r}_u to C_2 at P_0 is

$\vec{r}_u = \frac{\partial x}{\partial u}(u_0, v_0)\vec{i} + \frac{\partial y}{\partial u}(u_0, v_0)\vec{j} + \frac{\partial z}{\partial u}(u_0, v_0)\vec{k}$

Then the normal vector to the tangent plane to a parametric surface S at P_0 is the vector $\vec{r}_u \times \vec{r}_v$. If $\vec{r}_u \times \vec{r}_v \neq \vec{0}$, then S is called smooth.

Example 3. Find the tangent plane to the surface with parametric equations $\vec{r}(u, v) = (u + v)\vec{i} + u \cos v\vec{j} + v \sin u\vec{k}$ at the point $(1, 1, 0)$.

$\vec{r}(u, v) = \langle u + v, u \cos v, v \sin u \rangle$ @ $(1, 1, 0)$

Find (u_0, v_0) such that

$\vec{r}(u_0, v_0) = \langle u_0 + v_0, u_0 \cos v_0, v_0 \sin u_0 \rangle = \langle 1, 1, 0 \rangle$
 $u_0 = 1, v_0 = 0$

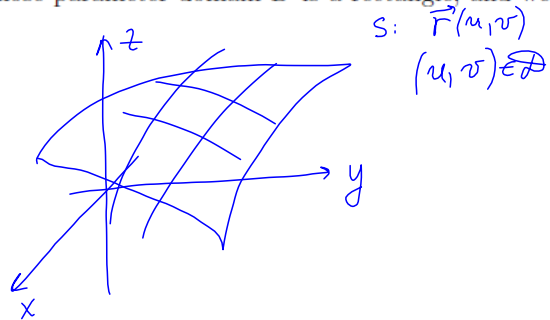
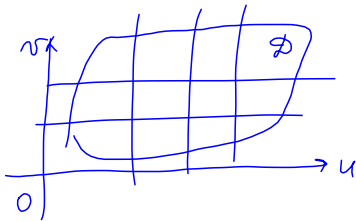
Normal vector $\vec{n} = \vec{r}_u(u_0, v_0) \times \vec{r}_v(u_0, v_0)$

$\vec{r}_u = \langle 1, \cos v, v \cos u \rangle$ | $\vec{r}_u(1, 0) = \langle 1, 1, 0 \rangle$
 $\vec{r}_v = \langle 1, -u \sin v, \sin u \rangle$ | $\vec{r}_v(1, 0) = \langle 1, 0, \sin 1 \rangle$

$\vec{n} = \langle 1, 1, 0 \rangle \times \langle 1, 0, \sin 1 \rangle$
 $= \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 1 & 0 \\ 1 & 0 & \sin 1 \end{vmatrix} = \langle \sin 1, -\sin 1, -1 \rangle$

Tangent plane
 $\sin 1(x-1) - \sin 1(y-1) - 1(z-0) = 0$

Surface area. Let S be a parametric surface given by a vector function $\vec{r}(u, v)$, $(u, v) \in D$. For simplicity, we start by considering a surface whose parameter domain D is a rectangle, and we partition it into subrectangles R_{ij} .



Let's choose (u_i^*, v_j^*) to be the lower left corner of R_{ij} . The part S_{ij} of the surface S that corresponds to R_{ij} has the point P_{ij} with position vector $\vec{r}(u_i^*, v_j^*)$ as one of its corners. Let

$\vec{r}_{u_i} = \vec{r}_u(u_i^*, v_j^*), \quad \vec{r}_{v_j} = \vec{r}_v(u_i^*, v_j^*)$

be the tangent vectors at P_{ij} . We approximate S_{ij} by the parallelogram determined by the vectors $\Delta u_i \vec{r}_{u_i}$ and $\Delta v_j \vec{r}_{v_j}$ (this parallelogram lies in the tangent plane to S at P_{ij}). The area of this parallelogram is

$|(\Delta u_i \vec{r}_{u_i}) \times (\Delta v_j \vec{r}_{v_j})| = |\vec{r}_{u_i} \times \vec{r}_{v_j}| \Delta u_i \Delta v_j$

so an approximation to the area of S is

$\sum_{i=1}^m \sum_{j=1}^n |\vec{r}_{u_i} \times \vec{r}_{v_j}| \Delta u_i \Delta v_j \rightarrow \iint_D |\vec{r}_u \times \vec{r}_v| dA$ as $\|P\| \rightarrow 0$.

Definition. If a smooth parametric surface S is given by the equation $\vec{r}(u, v) = x(u, v)\vec{i} + y(u, v)\vec{j} + z(u, v)\vec{k}$, $(u, v) \in D$ and S is covered just once as (u, v) ranges throughout the parameter domain D , then the surface area of S is

$$A(S) = \iint_D |\vec{r}_u \times \vec{r}_v| dA \quad \text{--- magnitude of the normal vector.}$$

where

$$\vec{r}_u = \frac{\partial x}{\partial u}\vec{i} + \frac{\partial y}{\partial u}\vec{j} + \frac{\partial z}{\partial u}\vec{k} \quad \vec{r}_v = \frac{\partial x}{\partial v}\vec{i} + \frac{\partial y}{\partial v}\vec{j} + \frac{\partial z}{\partial v}\vec{k}$$

If a surface S is given by $z = \mathcal{Z}(x, y)$, $(x, y) \in D$, the parametric equations for S are

$$x = x, \quad y = y, \quad z = \mathcal{Z}(x, y)$$

Then $\vec{r}_x = \langle 1, 0, \mathcal{Z}'_x(x, y) \rangle$, $\vec{r}_y = \langle 0, 1, \mathcal{Z}'_y(x, y) \rangle$, and

$$\vec{r}_x \times \vec{r}_y = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 0 & \mathcal{Z}'_x(x, y) \\ 0 & 1 & \mathcal{Z}'_y(x, y) \end{vmatrix} = -\mathcal{Z}'_x(x, y)\vec{j} + \mathcal{Z}'_y(x, y)\vec{k}$$

Then

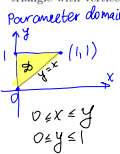
$$|\vec{r}_x \times \vec{r}_y| = \sqrt{1 + \mathcal{Z}'_x(x, y)^2 + \mathcal{Z}'_y(x, y)^2}$$

and

$$A(S) = \iint_D \sqrt{1 + \mathcal{Z}'_x(x, y)^2 + \mathcal{Z}'_y(x, y)^2} dA$$

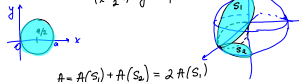
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Example 4. Find the surface area of the part of the surface $z = x + y^2$ that lies above the triangle with vertices $(0,0)$, $(1,1)$, and $(0,1)$.



$$\begin{aligned} z &= x + y^2, \quad z_x = 1, \quad z_y = 2y \\ A &= \iint_D \sqrt{1 + [z_x]^2 + [z_y]^2} dA \\ &= \iint_D \sqrt{1 + 1 + 4y^2} dA \\ &= \int_0^1 \int_0^y \sqrt{2 + 4y^2} dx dy \\ &= \int_0^1 8y \sqrt{2 + 4y^2} dy \quad \left\{ \begin{array}{l} u = 2 + 4y^2 \\ du = 8y dy \\ 0 \rightarrow 2 \\ 1 \rightarrow 6 \end{array} \right. \\ &= \frac{1}{8} \int_2^6 \sqrt{u} du \\ &= \frac{1}{8} \cdot \frac{2}{3} u^{3/2} \Big|_2^6 \\ &= \frac{1}{12} (6\sqrt{6} - 2\sqrt{2}) \end{aligned}$$

Example 5. Find the surface area of the part of the sphere $x^2 + y^2 + z^2 = a^2$ that lies inside the cylinder $x^2 + y^2 = ax$ ($(x - \frac{a}{2})^2 + y^2 = \frac{a^2}{4}$)



$$A = A(S_1) + A(S_2) = 2A(S_1)$$

Surface S_1

Step 1. Parametric S_1 :

spherical coordinates:

$$\begin{cases} x = \rho \cos \theta \sin \phi \\ y = \rho \sin \theta \sin \phi \\ z = \rho \cos \phi \end{cases}$$

Plug x, y, z into the equation of the sphere

$$\rho^2 = a^2, \quad \rho = a$$

Parametric equations of the sphere:

$$\begin{cases} x = a \cos \theta \sin \phi \\ y = a \sin \theta \sin \phi \\ z = a \cos \phi \end{cases}$$

Step 2. Parameter domain for S_1 .

$$\begin{cases} \frac{a}{2} \leq \theta \leq \frac{3\pi}{2} \\ 0 \leq \phi \leq \frac{\pi}{2} \end{cases} \Rightarrow D$$

Step 3. Find $|\vec{r}_\theta \times \vec{r}_\phi|$

$$\vec{r}_\theta(\theta, \phi) = \langle -a \sin \theta \sin \phi, a \cos \theta \sin \phi, 0 \rangle$$

$$\vec{r}_\phi = \langle a \cos \theta \cos \phi, a \sin \theta \cos \phi, -a \sin \phi \rangle$$

$$\vec{r}_\theta \times \vec{r}_\phi = \begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ -a \sin \theta \sin \phi & a \cos \theta \sin \phi & 0 \\ a \cos \theta \cos \phi & a \sin \theta \cos \phi & -a \sin \phi \end{vmatrix}$$

$$= \langle -a^2 \cos \theta \sin^2 \phi, a^2 \sin \theta \sin^2 \phi, -a^2 \sin \theta \cos \phi \cos \phi - a^2 \cos \theta \sin \phi \cos \phi \rangle$$

$$= -a^2 \langle \cos \theta \sin^2 \phi, \sin \theta \sin^2 \phi, \sin \phi \cos \phi \rangle$$

$$|\vec{r}_\theta \times \vec{r}_\phi| = a^2 \sqrt{\cos^2 \theta \sin^4 \phi + \sin^2 \theta \sin^4 \phi + \sin^2 \phi \cos^2 \phi}$$

$$= a^2 \sqrt{\sin^4 \phi (\cos^2 \theta + \sin^2 \theta) + \sin^2 \phi \cos^2 \phi}$$

$$= a^2 \sqrt{\sin^4 \phi + \sin^2 \phi \cos^2 \phi}$$

$$= a^2 \sin \phi$$

Step 4. Find the area

$$A = 2 \iint_D a^2 \sin \phi dA$$

$$= 2 \int_{\pi/2}^{3\pi/2} \int_0^{\pi/2} a^2 \sin \phi d\phi d\theta$$

$$= 2 \left(\frac{\pi}{2} - \left(-\frac{\pi}{2}\right) \right) a^2 (\cos \phi) \Big|_0^{\pi/2}$$

$$= 2\pi a^2$$