

5.3: Derivatives and Shapes of Curves

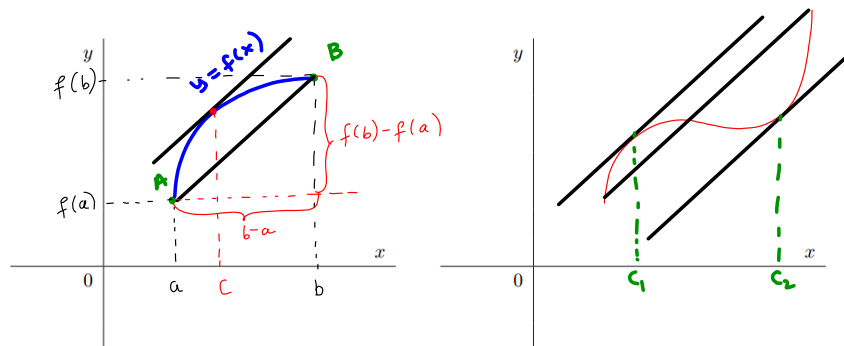
**Mean Value Theorem:** Suppose a function  $f$  is continuous on the (closed) interval  $[a, b]$  and differentiable on the (open) interval  $(a, b)$ . Then there is a number  $c$  such that  $a < c < b$  and

$$f'(c) = \frac{f(b) - f(a)}{b - a}$$

or, equivalently,

$$f(b) - f(a) = f'(c)(b - a).$$

Illustration:  $m_{AB} = \frac{f(b) - f(a)}{b - a}$



EXAMPLE 1. Find a number  $c$  that satisfies the conclusion of the Mean Value Theorem on the interval  $[0, 2]$  when  $f(x) = x^3 + x - 1$ .

MVT

$f(x)$  is a polynomial (i.e. it is continuous and differentiable everywhere)

In particular,  $f(x)$  is contin. on  $[0, 2]$  and diff. on  $(0, 2)$ .

Hence, by MVT, there exists  $c$  such that

$0 < c < 2$  and  $f'(c) = \frac{f(2) - f(0)}{2 - 0}$

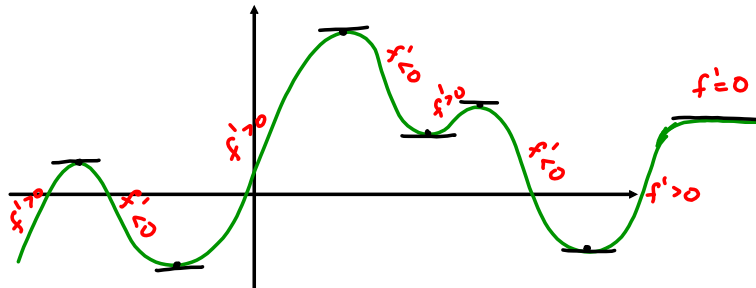
$f(x) = x^3 + x - 1$   
 $f(2) = 8 + 2 - 1 = 9$   
 $f(0) = -1$   
 $f'(x) = 3x^2 + 1$   
 $f'(c) = 3c^2 + 1$

$3c^2 + 1 = \frac{9 - (-1)}{2}$   
 $3c^2 + 1 = 5$   
 $3c^2 = 4$   
 $c^2 = \frac{4}{3}$   
 $c = \pm \frac{2}{\sqrt{3}}$

Since  $0 < c < 2$ , we get  $c = \frac{2}{\sqrt{3}}$

Test for increasing/decreasing

- If  $f'(x) > 0$  on an interval, then  $f$  is increasing on that interval.
- If  $f'(x) < 0$  on an interval, then  $f$  is decreasing on that interval.
- If  $f'(x) = 0$  on an interval, then  $f$  is constant on that interval.



EXAMPLE 2. Determine all intervals where the following function

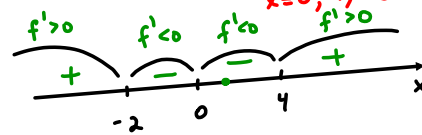
$$f(x) = x^5 - \frac{5}{2}x^4 - \frac{40}{3}x^3 - 12$$

is increasing or decreasing.

$$f'(x) = 5x^4 - 10x^3 - 40x^2$$

$$f'(x) = 5x^2(x^2 - 2x - 8) = 5x^2(x - 4)(x + 2) = 0$$

$x = 0, 4, -2$  critical numbers



$f(x)$  is increasing on  $(-\infty, -2) \cup (4, \infty)$

$f(x)$  is decreasing on  $(-2, 4)$ .

**First Derivative Test:** Suppose that  $x = c$  is a critical point of a continuous function  $f$ .

- If  $f'(x)$  changes from negative to positive at  $x = c$ , then  $f$  has a local minimum at  $c$ .
- If  $f'(x)$  changes from positive to negative at  $x = c$ , then  $f$  has a local maximum at  $c$ .
- If  $f'(x)$  does not change sign at  $x = c$ , then  $f$  has no local maximum or minimum at  $c$ .

REMARK 3. The first derivative test only classifies critical points as local extrema and not as absolute extrema.

EXAMPLE 4. For function from Example 2 identify all local extrema.

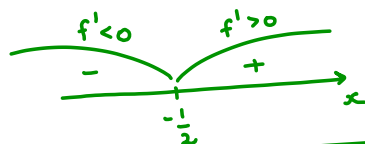
Local max at  $x = -2$

Local min at  $x = 4$

At  $x = 0$  no local extrema

EXAMPLE 5. Find all intervals of increase and decrease of  $f(x) = xe^{2x}$  and identify all local extrema.

$$f'(x) = (xe^{2x})' = e^{2x} + 2xe^{2x} = \underbrace{e^{2x}}_{>0} (1+2x) = 0$$



$$\begin{aligned} \Downarrow \\ 1+2x &= 0 \\ x &= -\frac{1}{2} \\ &\text{critical number} \end{aligned}$$

$f(x)$  is increasing on  $(-\frac{1}{2}, \infty)$   
 $f(x)$  is decreasing on  $(-\infty, -\frac{1}{2})$   
 $f(x)$  has local min at  $x = -\frac{1}{2}$



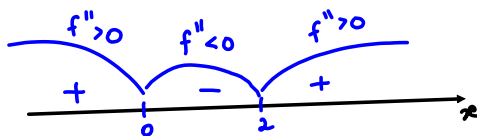
Recall here the **Second derivative test for concavity**. (see Section 5.1):

- If  $f''(x) > 0$  for all  $x$  on an interval, then  $f$  is concave up on that interval.
- If  $f''(x) < 0$  for all  $x$  on an interval, then  $f$  is concave down on that interval.

In addition, if  $f$  changes concavity at  $x = a$ , and  $x = a$  is in the domain of  $f$ , then  $x = a$  is an inflection point of  $f$ .

EXAMPLE 6. Find intervals of concavity and inflection points of  $f$ , if  $f'(x) = 4x^3 - 12x^2$ .

$$f''(x) = (f'(x))' = (4x^3 - 12x^2)' = 12x^2 - 24x = 12x(x-2)$$



$f(x)$  is concave up on  $(-\infty, 0) \cup (2, \infty)$

$f(x)$  is concave down on  $(0, 2)$

inflection points are  $x=0$  and  $x=2$ .

**Second derivative test for local extrema:** Suppose  $f''$  is continuous near  $c$ .

- If  $f'(c) = 0$  and  $f''(c) > 0$  then  $f$  has a local minimum at  $c$ .
- If  $f'(c) = 0$  and  $f''(c) < 0$  then  $f$  has a local maximum at  $c$ .

REMARK 7. If  $f'(c) = 0$  and  $f''(c) = 0$  or does not exist, then the test fails. In the case  $f''(c)$  does not exist we use the first derivative test to find the local extrema.

EXAMPLE 8. Find the local extrema for  $f(x) = 1 - 3x + 5x^2 - x^3$ .

$$f'(x) = -3 + 10x - 3x^2 = 0$$

$$3x^2 - 10x + 3 = 0$$

$$3(x-3)(x-\frac{1}{3}) = 0$$

$$x=3, \quad x=\frac{1}{3} \text{ critical numbers.}$$

$$f''(x) = 10 - 6x$$

$$f''(3) = 10 - 6 \cdot 3 < 0 \Rightarrow \text{local max at } x=3$$

$$f''(\frac{1}{3}) = 10 - 6 \cdot \frac{1}{3} > 0 \Rightarrow \text{local min at } x=\frac{1}{3}.$$