Mass dependence of instanton determinant in QCD: part II

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- determinants in quantum field theory
- functional determinant to radial problem
- radial WKB methods: renormalization
- radial WKB methods: approximation
- comparing with other methods

Instanton background in QCD

scalar (Klein-Gordon) determinant in an instanton background :

$$\Gamma^{S}(A;m) = \ln \left[\frac{\operatorname{Det}(-D^{2} + m^{2})}{\operatorname{Det}(-\partial^{2} + m^{2})}\right]$$

now involves **partial** differential operators

<u>radial symmetry</u> reduces problem to a sum over ODEs

Regularization and renormalization

$$\Gamma_{\Lambda} = \sum_{l=0,\frac{1}{2},\dots} (2l+1)(2l+2) \left\{ \ln \det \left(\frac{\mathcal{H}_{(l,l+\frac{1}{2})} + m^2}{\mathcal{H}_{(l)}^{\text{free}} + m^2} \right) + \ln \det \left(\frac{\mathcal{H}_{(l+\frac{1}{2},l)} + m^2}{\mathcal{H}_{(l+\frac{1}{2})}^{\text{free}} + m^2} \right) \right\}$$

$$-\ln \det \left(\frac{\mathcal{H}_{(l,l+\frac{1}{2})} + \Lambda^2}{\mathcal{H}_{(l)}^{\text{free}} + \Lambda^2}\right) - \ln \det \left(\frac{\mathcal{H}_{(l+\frac{1}{2},l)} + \Lambda^2}{\mathcal{H}_{(l+\frac{1}{2})}^{\text{free}} + \Lambda^2}\right)\right\}$$

problem : large 1 and large Λ limits ?

solution : split sum into 2 parts, with L large but finite

$$\Gamma^{S}_{\Lambda}(A;m) = \sum_{l=0,\frac{1}{2},\dots}^{L} \Gamma^{S}_{(l)}(A;m) + \sum_{l=L+\frac{1}{2}}^{\infty} \Gamma^{S}_{\Lambda,(l)}(A;m)$$

evaluate **<u>numerically</u>**, for large L

evaluate **analytically**, for large L

WKB computation

- Separation of lower angular momentum
 + higher angular momentum
- using "Radial" WKB method, we compute <u>analytically</u> <u>and exactly</u> the higher angular momentum piece
- alternatively, WKB provides an <u>approximation</u> method for the lower angular momentum sector

Phase shifts and radial WKB



express "the heat kernel" F(s) in terms of radial phase shifts

$$F(s) = \frac{2s}{\pi} \sum_{l=0,\frac{1}{2},\dots} (2l+1)(2l+2) \int_0^\infty dk \ e^{-k^2 s} k \left[\eta_{l,l+\frac{1}{2}}(k) + \eta_{l+\frac{1}{2},l}(k) \right]$$

evlaute the phase shifts from the radial Schrodinger equation

$$\left\{-\frac{\partial^2}{\partial r^2} - \frac{3}{r}\frac{\partial}{\partial r} + \frac{4l(l+1)}{r^2} + \frac{4(j-l)(j+l+1)}{r^2+1} - \frac{3}{(r^2+1)^2}\right\}\psi(r) = k^2\psi(r)$$

Radial WKB method

$$\left\{\frac{d^2}{dx^2} + Q(x)\right\}\Psi(x) = 0,$$

Standard form for WKB

$$\Psi(x) = r\psi(r)|_{r=e^x} = e^x\psi(r=e^x),$$
 Change of variables

$$Q_{(l,j)}(x) = e^{2x} \left\{ k^2 - \frac{4\left(l + \frac{1}{2}\right)^2}{e^{2x}} - \frac{4(j-l)(j+l+1)}{e^{2x}+1} + \frac{3}{(e^{2x}+1)^2} \right\}$$

radial WKB is good for large values of angular momentum l

Leading terms in WKB

$$\eta_{l,j}^{(1)} = \frac{1}{2} \oint \sqrt{k^2 - \tilde{V}_{(l,j)}(r)} \, dr - (\text{free})$$

$$\eta_{l,j}^{(2)} = \frac{1}{2} \oint \left\{ \frac{1}{8r^2} \underbrace{\frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{1/2}} + \frac{1}{48} \frac{d^2 \tilde{V}_{(l,j)}(r)}{dr^2} \frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{3/2}} \right\} dr - (\text{free})$$

Langer modified potential

$$\tilde{V}_{(l,j)}(r) \equiv \frac{4\left(l+\frac{1}{2}\right)^2}{r^2} + \frac{4(j-l)(j+l+1)}{r^2+1} - \frac{3}{(r^2+1)^2}$$

k integrals and l-summation

can do k integrals :

$$\frac{1}{2} \oint dk \, \frac{2s}{\pi} \, e^{-k^2 s} \frac{k}{\left[k^2 - \tilde{V}(r)\right]^{n+\frac{1}{2}}} = \frac{e^{-s\tilde{V}(r)}s^{n+\frac{1}{2}}\Gamma(-n+\frac{1}{2})}{\pi}$$

WKB approximation for proper-time kernels : $F_L(s) = \int_0^\infty dr \left(\sum_{l=L+\frac{1}{2}}^\infty f_l(s,r)\right)$

$$f_{l}(s,r) = (2l+1)(2l+2)[f_{(l,l+\frac{1}{2})}(s,r) + f_{(l+\frac{1}{2},l)}(s,r)]$$

$$f_{(l,j)}^{(1)}(s,r) = \frac{1}{2\sqrt{\pi s}} \exp\left[-s\tilde{V}_{(l,j)}(r)\right] - (\text{free})$$

$$f_{(l,j)}^{(2)}(s,r) = \frac{1}{2\sqrt{\pi s}} \left(\frac{s}{4r^{2}} - \frac{s^{2}}{12}\frac{d^{2}\tilde{V}_{(l,j)}}{dr^{2}}\right) \exp\left[-s\tilde{V}_{(l,j)}(r)\right] - (\text{free})$$

use WKB for large l part of sum : do l sum using Euler-MacLaurin

$$\sum_{l=L+\frac{1}{2}}^{\infty} f_l = 2 \int_L^{\infty} dl f(l) - \frac{1}{2} f(L) - \frac{1}{24} f'(L) + \dots$$

remaining r and s integrals done in large L limit

Large L behavior from WKB

<u>analytic</u> WKB (<u>exact</u> in large L) computation :

$$\sum_{l=L+\frac{1}{2}}^{\infty} \Gamma_{\Lambda,(l)}^{S}(A;m) \sim \frac{1}{6} \ln \Lambda + 2L^{2} + 4L - \left(\frac{1}{6} + \frac{m^{2}}{2}\right) \ln L$$

$$+\left[\frac{127}{72} - \frac{1}{3}\ln 2 + \frac{m^2}{2} - m^2\ln 2 + \frac{m^2}{2}\ln m\right] + O\left(\frac{1}{L}\right)$$

2nd order WKB (higher orders don't contribute in large L limit)

NOTE :

- $\ln \Lambda$ term exactly as required for renormalization
- quadratic, linear and log divergences, and finite part
- exactly cancel divergences from numerical sum in large L limit !!!
- note mass dependence in "subtraction" terms

When m=0, analytic expression

analytic check : massless case

$$S_{(l,l+\frac{1}{2})}(r) = \ln\left[\frac{2l+1}{2l+2}\right] + \ln\left[\sqrt{1+r^2} + \frac{1}{2l+1}\frac{1}{\sqrt{1+r^2}}\right]$$

$$S_{(l+\frac{1}{2},l)}(r) = -\ln\left[\sqrt{1+r^2}\right]$$

exact renormalized effective action :

$$\begin{split} \Gamma_{\Lambda}^{S}(A;m) &= \sum_{l=0,\frac{1}{2},\dots}^{L} \Gamma_{(l)}^{S}(A;m) + \sum_{l=L+\frac{1}{2}}^{\infty} \Gamma_{\Lambda,(l)}^{S}(A;m) \\ \tilde{\Gamma}_{\rm ren}^{S}(m=0) &= \lim_{L \to \infty} \left\{ \sum_{l=0,\frac{1}{2},\dots}^{L} (2l+1)(2l+2) \ln\left(\frac{2l+1}{2l+2}\right) + 2L^{2} + 4L - \frac{1}{6} \ln L + \frac{127}{72} - \frac{1}{3} \ln 2 \right\} \\ &= -\frac{17}{72} - \frac{1}{6} \ln 2 + \frac{1}{6} - 2\zeta'(-1) \\ &= \alpha \left(\frac{1}{2}\right) = 0.145873... \end{split}$$

<u>Comparison with asymptotic</u> <u>results(massive case)</u>



Singular gauge computation

singular gauge
$$A^{\text{sing}}_{\mu}(x) \equiv A^{a}_{\mu}(x)\frac{\tau^{a}}{2} = \frac{\bar{\eta}_{\mu\nu a}\tau^{a}x_{\nu}}{r^{2}(r^{2}+\rho^{2})}$$

potential becomes more singular ? <u>NO</u> $V_{(l,j)}^{\text{singular}}(r) = V_{(j,l)}^{\text{regular}}(r)$

(consequence of <u>conformal invariance</u>)

$$\Gamma \approx \sum_{l} \left(\ln \det \left(\mathbf{H}_{(l,l+1/2)} + m^2 \right) + \ln \det \left(\mathbf{H}_{(l+1/2,l)} + m^2 \right) \right)$$

Effective action has same value in regular and singular gauge

WKB as an approximation

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$$F(s) = \frac{2s}{\pi} \sum_{l=0,\frac{1}{2},\dots} (2l+1)(2l+2) \int_0^\infty dk \ e^{-k^2 s} k \left[\eta_{l,l+\frac{1}{2}}(k) + \eta_{l+\frac{1}{2},l}(k) \right]$$

include lower angular
momentum sector
$$F^{(1)}(s) = \frac{1}{2\sqrt{\pi}\sqrt{s}} \int_0^\infty dr \left(\sum_{l=0,\frac{1}{2},\dots} (2l+1)(2l+2) \left\{ e^{-sV^{l,l+\frac{1}{2}}(r)} - e^{-sV_0^l(r)} + e^{-sV^{l+\frac{1}{2},l}(r)} - e^{-sV_0^{l+\frac{1}{2}}(r)} \right\} \right)$$

1st order WKB does not give correct value for the renormalization

$$F^{(1)}(s=0) = -\frac{1}{24} \quad (\neq -\frac{1}{12})$$

$$\Gamma^{s}_{\Lambda}(A;m) = -\int_{0}^{\infty} \frac{ds}{s} (e^{-m^{2}s} - e^{-\Lambda^{2}s})F(s) = -F(s=0)\ln\frac{\Lambda^{2}}{m^{2}} + \dots$$

Higher order WKB

1st + 2nd WKB gives correct value at s=0 $F^{(1)+(2)}(s=0) = -\frac{1}{12} = F_{\text{Correct}}(s=0)$

1st + 2nd + 3rd order WKB gives good approximation for small s / large mass $\frac{d}{ds}F^{(1)+(2)+(3)}(s=0) = \frac{1}{75}$

$$s \to 0 + : F(s) \sim -\frac{1}{12} + \frac{1}{75}s + \frac{17}{735}s^2 - \frac{116}{2835}s^3 + \cdots$$
 heat kernel
$$m \to \infty : \tilde{\Gamma}^S(m) = -\frac{1}{6}\ln m - \frac{1}{75m^2} - \frac{17}{735m^4} + \frac{232}{2835m^6} + \cdots$$

surprisingly good also for small mass (we need data from all finite s) $\tilde{\Gamma}^{S}_{WKB(1+2+3)}(m=0) = 0.158054$ $\tilde{\Gamma}^{S}_{exact}(m=0) = \alpha(1/2) = 0.145873....$

Higher order WKB(2)

$$\eta^{(3)} = \frac{1}{2} \left[\oint \left(\frac{1}{768} \frac{Q^{(4)}(x)}{Q(x)^{5/2}} - \frac{7}{1536} \frac{[Q''(x)]^2}{Q(x)^{7/2}} \, dx \right) - (\text{`free'}) \right]$$

$$\eta_{l,j}^{(3)} = \frac{1}{2} \oint \left\{ -\frac{5}{128r^4} \frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{3/2}} - \frac{1}{128r^2} \frac{d^2 \tilde{V}_{(l,j)}(r)}{dr^2} \frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{5/2}} - \frac{7}{1536} \left(\frac{d^2 \tilde{V}_{(l,j)}(r)}{dr^2} \right)^2 \frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{7/2}} - \frac{1}{768} \frac{d^4 \tilde{V}_{(l,j)}(r)}{dr^4} \frac{1}{(k^2 - \tilde{V}_{(l,j)}(r))^{5/2}} \right\} dr$$

$$\begin{split} f^{(3)}_{(l,j)}(s,r) \ &= \ \frac{e^{-s\tilde{V}_{(l,j)}(r)}}{2\sqrt{\pi}\sqrt{s}} \left\{ \frac{5s^2}{32r^4} - \frac{s^3}{48r^2} \frac{d^2\tilde{V}_{(l,j)}(r)}{dr^2} + \frac{7s^4}{1440} \left(\frac{d^2\tilde{V}_{(l,j)}(r)}{dr^2}\right)^2 - \frac{s^3}{288} \frac{d^4\tilde{V}_{(l,j)}(r)}{dr^4} \right\} \\ &- \frac{e^{-s\tilde{V}_l(r)}}{2\sqrt{\pi}\sqrt{s}} \left\{ \frac{5s^2}{32r^4} - \frac{s^3}{48r^2} \frac{d^2\tilde{V}_l(r)}{dr^2} + \frac{7s^4}{1440} \left(\frac{d^2\tilde{V}_l(r)}{dr^2}\right)^2 - \frac{s^3}{288} \frac{d^4\tilde{V}_l(r)}{dr^4} \right\} \end{split}$$





Plot of WKB effective action



Modified Pade approximation

simple analytic interpolation expression for the effective action

$$\tilde{\Gamma}_{\rm ren}^S(m) \sim -\frac{1}{6} \ln m + \frac{\frac{1}{6} \ln m + \alpha - (3\alpha + \beta)m^2 - \frac{1}{5}m^4}{1 - 3m^2 + 20m^4 + 15m^6}$$
$$\alpha \equiv \alpha(1/2) \sim 0.145873 \qquad \beta = \frac{1}{2}(\ln 2 - \gamma) \sim 0.05797$$

 $-\frac{\ln m}{6} - \frac{1}{75m^2} - \frac{17}{735m^4} + \frac{232}{2835m^6} - \frac{7916}{148225m^8} + \cdots$

Best fit higher order formula

$$\tilde{\Gamma}_{\rm ren}^{S}(m) \sim -\frac{1}{6}\ln m + \frac{\frac{1}{6}\ln m + \alpha - (3\alpha + \beta)m^{2} + A1m^{4} - A2m^{6}}{1 - 3m^{2} + B1m^{4} + B2m^{6} + B3m^{8}}$$
$$B1 = 25(592955/21609A2 + 255/49A1 + 9\alpha + 6\beta),$$
$$B2 = -75(85/49A2 + A1), \quad B3 = 15A2,$$

Plot of Pade approximants



Comparison with derivative expansion

• exact effective action known for <u>covariantly constant</u> $F_{\mu\nu}$

$$F^{a}_{\mu\nu} = n^{a} F_{\mu\nu} \qquad \qquad \frac{1}{4} F_{\mu\nu} F_{\mu\nu} = f^{2}$$

• effective action as a constant effective Lagrangian

$$\mathcal{L}^{S} = -\text{tr} \int_{0}^{\infty} \frac{dT}{T} \frac{e^{-m^{2}T}}{(4\pi T)^{2}} \left[\left(\frac{fTn^{a}T^{a}}{\sinh(fTn^{a}T^{a})} \right)^{2} - 1 + \frac{(fTn^{a}T^{a})^{2}}{3} \right]$$

• replace $f^2 = \frac{1}{4} F_{\mu\nu} F_{\mu\nu}$ by local expression and integrate

$$\tilde{\Gamma}_{\text{ren}}^{S}\Big]_{\text{DE}} = -\frac{1}{14} \int_{0}^{\infty} \frac{dx \, x}{e^{2\pi x} - 1} \left\{ -84 + 14 \ln\left(1 + \frac{48x^{2}}{m^{4}}\right) + 7\sqrt{3} \, \frac{m^{2}}{x} \arctan\left(\frac{4\sqrt{3} \, x}{m^{2}}\right) + 768 \frac{x^{2}}{m^{4}} \, _{2}F_{1}\left(1, \frac{7}{4}, \frac{11}{4}; -\frac{48x^{2}}{m^{4}}\right) \right\} - \frac{1}{6} \ln m$$

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Comparison with derivative expansion



conclusions

- successful application of determinant theorem to **radial** partial differential operator
- excellent results for **instanton** background
- regularization/renormalization approach is **very general**
- WKB approximations: good for small mass as well as for large mass
- methods are suitable to various computations:
 -tunneling amplitude, ground state energy, quantum effects to solitons
- **derivative expansion** surprisingly accurate