## **CHAPTER 16 – IDENTIFICATION NUMBERS**

Consider the UPC code on a can of  $RO \star TEL$  tomatoes



The scanner is not working so the clerk enters the numbers by hand as

0 64144 28263 2

and this is invalid even though the product code for the mild version of this is 28263. What happened?

The UPC codes use a *check digit* to minimize scanning errors. A check digit is a digit included in a code to help detect errors.

For the UPC code  $a_1a_2a_3a_4a_5a_6a_7a_8a_9a_{10}a_{11}a_{12}$ , the check digit,  $a_{12}$ , is chosen so that *S* a multiple of 10 where

 $S = 3a_1 + a_2 + 3a_3 + a_4 + 3a_5 + a_6 + 3a_7 + a_8 + 3a_9 + a_{10} + 3a_{11} + a_{12}.$ 

What is the check digit for the mild RO  $\star$  TEL if the first eleven digits are 0 6 4 1 4 4 2 8 2 6 3?

When talking about check digits, modular arithmetic will be helpful.

## Definition: Congruence Modulo m

Let *a*, *b*, and *m* be integers with  $m \ge 2$ . Then *a* is congruent to *b* modulo *m*, written

 $a \equiv b \mod m$ 

if  $(a - b) \div m$  has a remainder of 0. This means a - b is a multiple of m. One way to find a value for b is to find the remainder when a is divided by m.

Determine if the congruences below are true or false:

 $25 \equiv 1 \mod 6 \qquad \qquad 100 \equiv 20 \mod 10$ 

 $52 \equiv 0 \mod 13$   $75 \equiv 7 \mod 5$ 

Find the following values:

(a)  $34 \mod 5 =$  \_\_\_\_\_

- (b)  $78 \mod 11 =$  \_\_\_\_\_
- (c)  $13 \mod 15 =$  \_\_\_\_\_

(d)  $12 \mod 2 =$  \_\_\_\_\_

Some types of errors when dealing with identification numbers are

- Replacing one digit with a different digit (<u>single digit error</u>)
- Transposing two adjacent digits (adjacent transposition error)
- Transposing two digits that are separated by another digit (jump transposition error)

Assume that the correct code was 5678 and provide an example of these errors:

Single digit error:

Adjacent Transposition Error:

Jump Transposition Error:

Note that some of the digits in the UPC code are multiplied by 3. Those digits had a *weight* of 3. Other codes use different weights.

A code  $a_1a_2a_3a_4a_5$  uses the last digit as a check digit. The check digit is found using the formula

$$a_5 = (a_1 + 7a_2 + a_3 + 7a_4) \mod 10$$

(a) What is the check digit for the code 2374?

(b) Find the value of the missing digit x in the code 468x3

(c) Will this code find an error if a single digit is entered incorrectly? Let's look at an error in the first digit,  $a_1$ .

Correct Code: $a_1a_2a_3a_4$ Incorrect Code: $e_1a_2a_3a_4$ So the correct check digit is

 $(a_1 + 7a_2 + a_3 + 7a_4) \mod 10$ and the incorrect check digit is

 $(e_1 + 7a_2 + a_3 + 7a_4) \mod 10$ The error will NOT be caught if

 $(a_1 + 7a_2 + a_3 + 7a_4) - (e_1 + 7a_2 + a_3 + 7a_4)$  is a multiple of 10. This simplifies to

 $a_1 - e_1$  is a multiple of 10,

Multiples of 10 are  $\pm \{0, 10, 20, 30, ...\}$ .

If  $a_1 - e_1 = 0$ , then  $a_1 = e_1$  so we did not really make an error.

If  $a_1 - e_1 = \pm 10$  (which can also be written as  $|a_1 - e_1| = 10$ ), then  $a_1$  and  $e_1$  are digits that are separated by 10 units. The digits 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 are never separated by more than 9 units, so this and all higher multiples of 10 are impossible.

Therefore, all single-digit errors in the first digit would be caught.

The same logic also applies to the third digit.

Therefore, we need to check the even-numbered positions.

Let's look at an error in the second digit,  $a_2$ . Correct Code:  $a_1a_2a_3a_4$ Incorrect Code:  $a_1e_2a_3a_4$ So the correct check digit is  $(a_1 + 7a_2 + a_3 + 7a_4) \mod 10$ and the incorrect check digit is  $(a_1 + 7a_2 + a_3 + 7a_4) \mod 10$ 

 $(a_1 + 7e_2 + a_3 + 7a_4) \mod 10$ 

The error will NOT be caught if

 $(a_1 + 7a_2 + a_3 + 7a_4) - (a_1 + 7e_2 + a_3 + 7a_4)$  is a multiple of 10. This simplifies to

- $7a_2 7e_2$  is a multiple of 10, which means  $7(a_2 - e_2)$  is a multiple of 10, which means,  $a_2 - e_2$  is a multiple of  $\frac{10}{7}$ . Multiples of  $\frac{10}{7}$  are  $\pm \left\{0, \frac{10}{7}, \frac{20}{7}, \frac{30}{7}, \frac{40}{7}, \frac{50}{7}, \frac{60}{7}, 10, \frac{80}{7}, \dots\right\}$ . If  $a_2 - e_2 = 0$ , then  $a_2 = e_2$  so we did not really make an error. If  $a_2 - e_2 = \pm \frac{10}{7}$  (which can also be written as  $|a_1 - e_1| = \frac{10}{7}$ ), then  $a_1$ and  $e_1$  are digits that are separated by  $\frac{10}{7}$ . The difference between digits is always an integer, so this and all other non-integer multiples of  $\frac{10}{7}$  are
  - impossible.
- If |a<sub>2</sub> e<sub>2</sub>| = 10, then then a<sub>1</sub> and e<sub>1</sub> are digits that are separated by 10 units. The digits 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 are never separated by more than 9 units, so this and all higher multiples of <sup>10</sup>/<sub>7</sub> are impossible.
  Therefore, all single-digit errors in the second digit would be caught.
  The same logic also applies to the fourth digit.
- We have now checked all four digits for single-digit errors and have not found any that would not be detected. Therefore, this scheme detects all single-digits errors.

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(d) Will this code find all adjacent transposition errors?

Let's look at an adjacent transposition of the first two digits,  $a_1$  and  $a_2$ .

Correct Code:  $a_1 a_2 a_3 a_4$ Incorrect Code:  $a_2 a_1 a_3 a_4$ So the correct check digit is  $(a_1 + 7a_2 + a_3 + 7a_4) \mod 10$ and the incorrect check digit is  $(a_2 + 7a_1 + a_3 + 7a_4) \mod 10$ The error will NOT be caught if  $(a_1 + 7a_2 + a_3 + 7a_4) - (a_2 + 7a_1 + a_3 + 7a_4)$  is a multiple of 10. This simplifies to  $a_1 + 7a_2 - a_2 - 7a_1$  is a multiple of 10, which means  $6a_2 - 6a_1 = 6(a_2 - a_1)$  is a multiple of 10, which means,  $a_2 - a_1$  is a multiple of  $\frac{10}{6} = \frac{5}{3}$ . Multiples of  $\frac{5}{2}$  are  $\pm \left\{ 0, \frac{5}{2}, \frac{10}{2}, 5, \frac{20}{2}, \frac{25}{2}, 10, \frac{35}{2}, \ldots \right\}$ . If  $a_2 - a_1 = 0$ , then  $a_2 = a_1$  so we did not really make an error. If  $a_2 - a_1 = \pm \frac{5}{3}$  (which can also be written as  $|a_2 - a_1| = \frac{5}{3}$ ), then  $a_2$ and  $a_1$  are digits that are separated by  $\frac{5}{3}$ . The difference between digits is always an integer, so this and all other non-integer multiples of  $\frac{5}{3}$  are impossible.

If  $|a_2 - a_1| = 5$ , then then  $a_2$  and  $a_1$  are digits that are separated by 5 units. The digits that are separated by 5 units are 0 and 5, 1 and 6, 2 and 7, 3 and 8, and 4 and 9. These errors will NOT be caught.

- If  $|a_2 a_1| = 10$ , then then  $a_2$  and  $a_1$  are digits that are separated by 10 units. The digits 0, 1, 2, 3, 4, 5, 6, 7, 8, 9 are never separated by more than 9 units, so this and all higher multiples of  $\frac{5}{3}$  are impossible.
- Therefore, all adjacent transposition errors of the first two digits will be caught unless the digits are separated by 5 units. (This is the same as saying  $|a_2 a_1| = 5$ ).
- The same logic also applies to the remaining adjacent digits.

Therefore, this scheme detects all adjacent transposition errors other than the interchange of 0 and 5, 1 and 6, 2 and 7, 3 and 8, or 4 and 9.

(e) Will this code find all jump transposition errors?

Data can be encoded in identification numbers.

An Illinois driver's license contains a portion (Y-YDDD) that represents the last two digits of the year of birth of the driver and codes the birthday according to the following formulas where m represents birth month and drepresents the birth date.

> Male = 31(m - 1) + dFemale = 31(m - 1) + d + 600

(a) What would the Y-YDDD digits of an of Illinois driver's license number look like for a man born on February 12, 1967?

(b) What do you know about a person whose Y-YDDD digits are 1-0642?

(c) What do you know about a person whose Y-YDDD digits are 9-0373?

## **SAMPLE EXAM QUESTIONS FROM CHAPTER 16**

1. Determine the check digit that should be appended to the identification number 634498, if the check digit is the number needed to bring the total of all the digits to a multiple of 10.

(A) The code is invalid (B) 6 (C) 8 (D) 4 (E) None of these

2. Which, if any, of the statements below are true? Mark all correct answers.

- (A)  $101 \equiv 1 \mod 2$
- (B)  $77 \equiv 0 \mod 11$
- (C)  $49 \equiv 1 \mod 12$

(D)  $39 \equiv 5 \mod 5$ 

(E) None of these are true.

**3.** The number 4320 is accidentally entered as 4321. What type of error is this?

- (A) A transposition error
- (B) A jump transposition error
- (C) A single digit error
- (D) A baseball error
- (E) None of these

4. The last three digits of a person's ID are calculated based on their birthday where m represents birth month and d represents the birth date.

Male = 
$$35(m - 1) + d$$
  
Female =  $35(m - 1) + d + 500$ 

(a) What are the last three digits of a man's ID number if he was born on October 8<sup>th</sup>?

(b) What do you know about a person if the last three digits of the person's ID number are 603?

(c) What do you know about a person if the last three digits of the person's ID number is 320?

5. A code is given by  $a_1a_2a_3a_4$  where  $a_4$  is the check digit. The check digit is  $a_4 = 7a_1 + 2a_2 + 5a_3 \mod 9$ .

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(a) Determine the value of x in the code 2x45, given that the check digit is valid.

(b) Determine if the check digit will find all single digit errors in the second position.

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(c) Determine if the check digit will find all transposition errors in the second and third positions.