MATH 415 Modern Algebra I

Lecture 1: Preliminaries. Definition. The Cartesian product  $X \times Y$  of two sets X and Y is the set of all ordered pairs (x, y) such that  $x \in X$  and  $y \in Y$ .

The Cartesian square  $X \times X$  is also denoted  $X^2$ .

If the sets X and Y are finite, then  $\#(X \times Y) = (\#X)(\#Y)$ , where #S denote the number of elements in a set S.

#### Relations

*Definition.* Let X and Y be sets. A **relation** R from X to Y is given by specifying a subset of the Cartesian product:  $S_R \subset X \times Y$ .

If  $(x, y) \in S_R$ , then we say that x is related to y (in the sense of R or by R) and write xRy.

*Remarks.* • Usually the relation R is identified with the set  $S_R$ .

• In the case X = Y, the relation R is called a relation on X.

**Examples.** • "is equal to"  $xRy \iff x = y$ Equivalently,  $R = \{(x, x) \mid x \in X \cap Y\}.$ 

• "is not equal to"  $xRy \iff x \neq y$ 

• "is mapped by f to"  $xRy \iff y = f(x)$ , where  $f : X \to Y$  is a function. Equivalently, R is the graph of the function f.

• "is the image under f of" (from Y to X)  $yRx \iff y = f(x)$ , where  $f : X \to Y$  is a function. If f is invertible, then R is the graph of  $f^{-1}$ .

• reversed R'

 $xRy \iff yR'x$ , where R' is a relation from Y to X.

• not *R*′

 $xRy \iff$  not xR'y, where R' is a relation from X to Y. Equivalently,  $R = (X \times Y) \setminus R'$  (set difference).

#### Relations on a set

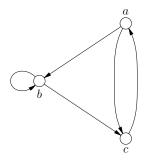
- "is equal to"  $xRy \iff x = y$ • "is not equal to"  $xRy \iff x \neq y$ • "is less than"  $X = \mathbb{R}, xRy \iff x < y$ • "is less than or equal to"
  - $X = \mathbb{R}, xRy \iff x \leq y$
  - "is contained in" X = the set of all subsets of some set Y,  $xRy \iff x \subset y$
  - "is congruent modulo *n* to"
  - $X = \mathbb{Z}, \ xRy \iff x \equiv y \mod n$
  - "divides"
- $X = \mathbb{P}, \ xRy \Longleftrightarrow x|y$

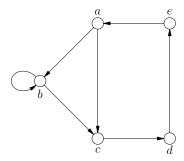
## A relation R on a finite set X can be represented by a **directed graph**.

Vertices of the graph are elements of X, and we have a directed edge from x to y if and only if xRy.

# Another way to represent the relation R is the **adjacency table**.

Rows and columns are labeled by elements of X. We put 1 at the intersection of a row x with a column y if xRy. Otherwise we put 0.





	а		С
а	0	1	1
b	0 0	1	1
С	1	0	0

	а	b	С	d	е
а	0	1	1	0	0
b	0	1	1	0	0
С	0	0	0	1	0
d	0	0	0	0	1
е	1	0	0	0 0 1 0 0	0

#### **Properties of relations**

Definition. Let R be a relation on a set X. We say that R is

- reflexive if xRx for all  $x \in X$ ,
- symmetric if, for all  $x, y \in X$ , xRy implies yRx,
- antisymmetric if, for all  $x, y \in X$ , xRy and yRx cannot hold simultaneously,
- weakly antisymmetric if, for all  $x, y \in X$ , *xRy* and *yRx* imply that x = y,

• transitive if, for all  $x, y, z \in X$ , xRy and yRz imply that xRz.

### **Partial ordering**

Definition. A relation R on a set X is a **partial** ordering (or **partial order**) if R is reflexive, weakly antisymmetric, and transitive:

• xRx,

• 
$$xRy$$
 and  $yRx \implies x = y$ ,

• 
$$xRy$$
 and  $yRz \implies xRz$ .

A relation R on a set X is a **strict partial order** if R is antisymmetric and transitive:

• 
$$xRy \implies \text{not } yRx$$
,

• 
$$xRy$$
 and  $yRz \implies xRz$ .

*Examples.* "is less than or equal to", "is contained in", "is a divisor of" are partial orders. "is less than" is a strict order.

#### **Equivalence** relation

*Definition.* A relation R on a set X is an **equivalence** relation if R is reflexive, symmetric, and transitive:

- xRx,
- $xRy \implies yRx$ ,
- xRy and  $yRz \implies xRz$ .

*Examples.* "is equal to", "is congruent modulo n to" are equivalence relations.

Given an equivalence relation R on X, the **equivalence class** of an element  $x \in X$  relative to R is the set of all elements  $y \in X$  such that yRx.

**Theorem** The equivalence classes form a **partition** of the set X, which means that

• any two equivalence classes either coincide, or else they are disjoint,

• any element of X belongs to some equivalence class.

#### **Functions**

A function (or map)  $f: X \to Y$  is an assignment: to each  $x \in X$  we assign an element  $f(x) \in Y$ .

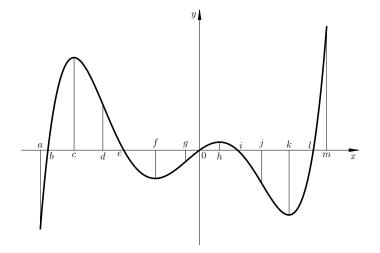
Definition. A function  $f: X \to Y$  is **injective** (or **one-to-one**) if  $f(x') = f(x) \implies x' = x$ .

The function f is **surjective** (or **onto**) if for each  $y \in Y$  there exists at least one  $x \in X$  such that f(x) = y.

Finally, f is **bijective** if it is both surjective and injective. Equivalently, if for each  $y \in Y$  there is exactly one  $x \in X$  such that f(x) = y.

Suppose we have two functions  $f : X \to Y$  and  $g : Y \to X$ . We say that g is the **inverse function** of f (denoted  $f^{-1}$ ) if  $y = f(x) \iff g(y) = x$  for all  $x \in X$  and  $y \in Y$ .

**Theorem** The inverse function  $f^{-1}$  exists if and only if f is bijective.



Definition. The **composition** of functions  $f : X \to Y$  and  $g : Y \to Z$  is a function from X to Z, denoted  $g \circ f$ , that is defined by  $(g \circ f)(x) = g(f(x)), x \in X$ .

$$X \xrightarrow{f} Y \xrightarrow{g} Z$$

Properties of compositions:

- If f and g are one-to-one, then  $g \circ f$  is also one-to-one.
- If  $g \circ f$  is one-to-one, then f is also one-to-one.
- If f and g are onto, then  $g \circ f$  is also onto.
- If  $g \circ f$  is onto, then g is also onto.
- If f and g are bijective, then  $g \circ f$  is also bijective.

• If f and g are invertible, then  $g \circ f$  is also invertible and  $(g \circ f)^{-1} = f^{-1} \circ g^{-1}$ .

• If  $id_Z$  denotes the identity function on a set Z, then  $f \circ id_X = f = id_Y \circ f$  for any function  $f : X \to Y$ .

• For any functions  $f: X \to Y$  and  $g: Y \to X$ , we have  $g = f^{-1}$  if and only if  $g \circ f = id_X$  and  $f \circ g = id_Y$ .

#### Cardinality of a set

*Definition.* Given two sets A and B, we say that A is of the same **cardinality** as B if there exists a bijective function  $f : A \rightarrow B$ . Notation: |A| = |B|.

**Theorem** The relation "is of the same cardinality as" is an equivalence relation, i.e., it is reflexive (|A| = |A| for any set A), symmetric (|A| = |B| implies |B| = |A|), and transitive (|A| = |B| and |B| = |C| imply |A| = |C|).

*Proof:* The identity map  $id_A : A \to A$  is bijective. If f is a bijection of A onto B, then the inverse map  $f^{-1}$  is a bijection of B onto A. If  $f : A \to B$  and  $g : B \to C$  are bijections then the composition  $g \circ f$  is a bijection of A onto C.

#### Countable and uncountable sets

A nonempty set is **finite** if it is of the same cardinality as  $\{1, 2, ..., n\} = [1, n] \cap \mathbb{N}$  for some  $n \in \mathbb{N}$ . Otherwise it is **infinite**.

An infinite set is called **countable** (or **countably infinite**) if it is of the same cardinality as  $\mathbb{N}$ . Otherwise it is **uncountable** (or **uncountably infinite**).

An infinite set E is countable if it is possible to arrange all elements of E into a single sequence (an infinite list)  $x_1, x_2, x_3, \ldots$  The sequence is referred to as an **enumeration** of E.

#### **Countable sets**

•  $2\mathbb{N}$ : even natural numbers.

Bijection  $f : \mathbb{N} \to 2\mathbb{N}$  is given by f(n) = 2n.

•  $\mathbb{N} \cup \{0\}$ : nonnegative integers.

Bijection  $f : \mathbb{N} \to \mathbb{N} \cup \{0\}$  is given by f(n) = n - 1.

•  $\mathbb{Z}$ : integers.

Enumeration of all integers: 0, 1, -1, 2, -2, 3, -3, ...Equivalently, a bijection  $f : \mathbb{N} \to \mathbb{Z}$  is given by f(n) = n/2 if n is even and f(n) = (1 - n)/2 if n is odd.

•  $E_1 \cup E_2$ , where  $E_1$  is finite and  $E_2$  is countable. First we list all elements of  $E_1$ . Then we append the list of all elements of  $E_2$ . If  $E_1$  and  $E_2$  are not disjoint, we also need to avoid repetitions in the joint list.

#### **Countable sets**

### • $E_1 \cup E_2$ , where $E_1$ and $E_2$ are countable.

Let  $x_1, x_2, x_3...$  be an enumeration of  $E_1$  and  $y_1, y_2, y_3, ...$  be an enumeration of  $E_2$ . Then  $x_1, y_1, x_2, y_2, ...$  enumerates the union (maybe with repetitions).

• Infinite set  $E_1 \cup E_2 \cup \ldots$ , where each  $E_n$  is finite.

First we list all elements of  $E_1$ . Then we append the list of all elements of  $E_2$ . Then we append the list of all elements of  $E_3$ , and so on... (and do not forget to avoid repetitions).

- $\mathbb{N}\times\mathbb{N}:$  pairs of natural numbers
- $\mathbb{Q}$ : rational numbers
- Algebraic numbers (roots of nonzero polynomials with integer coefficients).

#### **Theorem (Cantor)** The set $\mathbb{R}$ is uncountable.

*Proof:* It is enough to prove that the interval (0, 1) is uncountable. Assume the contrary. Then all numbers from (0, 1) can be arranged into an infinite list  $x_1, x_2, x_3, \ldots$  Any number  $x \in (0, 1)$  admits a decimal expansion of the form  $0.d_1d_2d_3\ldots$ , where each  $d_i \in \{0, 1, \ldots, 9\}$ . In particular,

 $\begin{aligned} x_1 &= 0.d_{11}d_{12}d_{13}d_{14}d_{15}\dots \\ x_2 &= 0.d_{21}d_{22}d_{23}d_{24}d_{25}\dots \\ x_3 &= 0.d_{31}d_{32}d_{33}d_{34}d_{35}\dots \end{aligned}$ 

Now for any  $n \in \mathbb{N}$  choose a decimal digit  $\tilde{d}_n$  such that  $\tilde{d}_n \neq d_{nn}$  and  $\tilde{d}_n \notin \{0,9\}$ . Then  $0.\tilde{d}_1\tilde{d}_2\tilde{d}_3...$  is the decimal expansion of some number  $\tilde{x} \in (0,1)$ . By construction, it is different from all expansions in the list. Although some real numbers admit two decimal expansions (e.g., 0.50000... and 0.49999...), the condition  $\tilde{d}_n \notin \{0,9\}$  ensures that  $\tilde{x}$  is not such a number. Thus  $\tilde{x}$  is not listed, a contradiction.

#### **Uncountable sets**

• Any interval (a, b) is of the same cardinality as (0, 1).

Bijection  $f:(0,1) \rightarrow (a,b)$  is given by f(x) = (b-a)x + a.

• All intervals of the form (a, b) have the same cardinality.

Follows by transitivity since they are all of the same cardinality as (0, 1).

• All intervals of the form  $(a, \infty)$  or  $(-\infty, a)$  are of the same cardinality as  $(0, \infty)$ .

Bijection  $f: (0, \infty) \to (a, \infty)$  is given by f(x) = x + a. Bijection  $f: (0, \infty) \to (-\infty, a)$  is given by f(x) = -x + a.

#### **Uncountable sets**

• (0,1) is of the same cardinality as  $(1,\infty)$ . Bijection  $f:(0,1) \to (1,\infty)$  is given by  $f(x) = x^{-1}$ .

•  $(0,\infty)$  is of the same cardinality as  $\mathbb{R}$ . Bijection  $f: \mathbb{R} \to (0,\infty)$  is given by  $f(x) = e^x$ .

• [0,1] is of the same cardinality as (0,1). Let  $x_1, x_2, x_3, \ldots$  be a sequence of distinct points in (0,1), say,  $x_n = (n+1)^{-1}$  for all  $n \in \mathbb{N}$ . Then a bijection  $f: [0,1] \to (0,1)$  is defined as follows:  $f(0) = x_1$ ,  $f(1) = x_2$ ,  $f(x_n) = x_{n+2}$  for all  $n \in \mathbb{N}$ , and f(x) = x otherwise.