MATH 433 Applied Algebra

Lecture 36: Euclidean algorithm for polynomials. Factorisation of polynomials.

Greatest common divisor of polynomials

Definition. Given non-zero polynomials $f, g \in \mathbb{F}[x]$, a **greatest common divisor** gcd(f,g) is a polynomial over the field \mathbb{F} such that (i) gcd(f,g) divides f and g, and (ii) if any $p \in \mathbb{F}[x]$ divides both f and g, then it divides gcd(f,g) as well.

Theorem (Bezout) The polynomial gcd(f,g) exists and is unique up to a scalar multiple. Moreover, it is a non-zero polynomial of the least degree that can be represented as uf + vg, where $u, v \in \mathbb{F}[x]$.

Theorem Given non-zero polynomials $f, g \in \mathbb{F}[x]$, an element $\alpha \in \mathbb{F}$ is a common root of f and g if and only if α is a root of gcd(f, g).

Idea of the proof: α is a common root of f and g if and only if the polynomial $x - \alpha$ is a common divisor of f(x) and g(x).

Euclidean algorithm for polynomials

Lemma 1 If a polynomial g divides a polynomial f then gcd(f,g) = g.

Lemma 2 If g does not divide f and r is the remainder of f by g, then gcd(f,g) = gcd(g,r).

Theorem For any non-zero polynomials $f, g \in \mathbb{F}[x]$ there exists a sequence of polynomials $r_1, r_2, \ldots, r_k \in \mathbb{F}[x]$ such that $r_1 = f$, $r_2 = g$, r_i is the remainder of r_{i-2} by r_{i-1} for $3 \le i \le k$, and r_k divides r_{k-1} . Then $gcd(f, g) = r_k$.

Problem. Find all common roots of real polynomials $p(x) = x^4 + 2x^3 - x^2 - 2x + 1$ and $q(x) = x^4 + x^3 + x - 1$.

Common roots of p and q are exactly roots of their greatest common divisor gcd(p,q). We can find gcd(p,q) using the Euclidean algorithm.

First we divide *p* by *q*:
$$x^4 + 2x^3 - x^2 - 2x + 1 = (x^4 + x^3 + x - 1)(1) + x^3 - x^2 - 3x + 2.$$

Next we divide q by the remainder $r_1(x) = x^3 - x^2 - 3x + 2$: $x^4 + x^3 + x - 1 = (x^3 - x^2 - 3x + 2)(x + 2) + 5x^2 + 5x - 5$.

Next we divide r_1 by the remainder $r_2(x) = 5x^2 + 5x - 5$: $x^3 - x^2 - 3x + 2 = (5x^2 + 5x - 5)(\frac{1}{5}x - \frac{2}{5}).$

Since r_2 divides r_1 , it follows that

$$gcd(p,q) = gcd(q,r_1) = gcd(r_1,r_2) = r_2.$$

The polynomial $r_2(x) = 5x^2 + 5x - 5$ has roots $(-1 - \sqrt{5})/2$ and $(-1 + \sqrt{5})/2$.

Irreducible polynomials

Definition. A non-constant polynomial $f \in \mathbb{F}[x]$ over a field \mathbb{F} is said to be **irreducible** over \mathbb{F} if it cannot be written as f = gh, where $g, h \in \mathbb{F}[x]$, and $\deg(g), \deg(h) < \deg(f)$.

Irreducible polynomials are for multiplication of polynomials what prime numbers are for multiplication of integers.

If an irreducible polynomial f is divisible by another polynomial g, then g is either of degree zero or a scalar multiple of f.

Unique Factorisation Theorem

Theorem Any polynomial $f \in \mathbb{F}[x]$ of positive degree admits a factorisation $f = p_1 p_2 \dots p_k$ into irreducible factors over \mathbb{F} . This factorisation is unique up to rearranging the factors and multiplying them by non-zero scalars.

Ideas of the proof: The **existence** is proved by strong induction on deg(f). It is based on a simple fact: if $p_1p_2...p_s$ is an irreducible factorisation of f and $q_1q_2...q_t$ is an irreducible factorisation of g, then $p_1p_2...p_sq_1q_2...q_t$ is an irreducible factorisation of fg.

The **uniqueness** is proved by (normal) induction on the number of irreducible factors. It is based on a (not so simple) fact: if an irreducible polynomial p divides a product of irreducible polynomials $q_1q_2 \ldots q_t$ then one of the factors q_1, \ldots, q_t is a scalar multiple of p.

Some facts and examples

• Any polynomial of degree 1 is irreducible.

• A polynomial $p(x) \in \mathbb{F}[x]$ is divisible by a polynomial of degree 1 if and only if it has a root. Indeed, if $p(\alpha) = 0$ for some $\alpha \in \mathbb{F}$, then p(x) is divisible by $x - \alpha$. Conversely, if p(x) is divisible by ax + b for some $a, b \in \mathbb{F}$, $a \neq 0$, then p has a root -b/a.

• A polynomial of degree 2 or 3 is irreducible if and only if it has no roots.

If such a polynomial splits into a product of two non-constant polynomials, then at least one of the factors is of degree 1.

• Polynomial $p(x) = (x^2 + 1)^2$ has no real roots, yet it is not irreducible over \mathbb{R} .

• Polynomial $p(x) = x^3 + x^2 - 5x + 2$ is irreducible over \mathbb{Q} .

We only need to check that p(x) has no rational roots. Since all coefficients are integers and the leading coefficient is 1, possible rational roots are integer divisors of the constant term: ± 1 and ± 2 . We check that p(1) = -1, p(-1) = 7, p(2) = 4 and p(-2) = 8.

• If a polynomial $p(x) \in \mathbb{R}[x]$ is irreducible over \mathbb{R} , then deg(p) = 1 or 2.

Assume deg(p) > 1. Then p has a complex root $\alpha = a + bi$ that is not real: $b \neq 0$. Complex conjugacy $\overline{r + si} = r - si$ commutes with arithmetic operations and preserves real numbers. Therefore $p(\overline{\alpha}) = \overline{p(\alpha)} = 0$ so that $\overline{\alpha}$ is another root of p. It follows that p(x) is divisible by $(x - \alpha)(x - \overline{\alpha})$ $= x^2 - (\alpha + \overline{\alpha})x + \alpha \overline{\alpha} = x^2 - 2ax + a^2 + b^2$, which is a real polynomial. Then p(x) must be a scalar multiple of it.

Factorisation over $\mathbb C$ and $\mathbb R$

Clearly, any polynomial $f \in \mathbb{F}[x]$ of degree 1 is irreducible over \mathbb{F} . Depending on the field \mathbb{F} , there may exist other irreducible polynomials as well.

Fundamental Theorem of Algebra Any nonconstant polynomial over the field \mathbb{C} has a root.

Corollary 1 The only irreducible polynomials over the field \mathbb{C} of complex numbers are linear polynomials. Equivalently, any polynomial $f \in \mathbb{C}[x]$ of a positive degree *n* can be factorised as $f(x) = c(x - \alpha_1)(x - \alpha_2) \dots (x - \alpha_n)$, where $c, \alpha_1, \dots, \alpha_n \in \mathbb{C}$ and $c \neq 0$.

Corollary 2 The only irreducible polynomials over the field \mathbb{R} of real numbers are linear polynomials and quadratic polynomials without real roots.

Examples of factorisation

•
$$f(x) = x^4 - 1$$
 over \mathbb{R} .
 $f(x) = (x^2 - 1)(x^2 + 1) = (x - 1)(x + 1)(x^2 + 1)$.
The polynomial $x^2 + 1$ is irreducible over \mathbb{R} .

•
$$f(x) = x^4 - 1$$
 over \mathbb{C} .
 $f(x) = (x^2 - 1)(x^2 + 1) = (x - 1)(x + 1)(x^2 + 1)$
 $= (x - 1)(x + 1)(x - i)(x + i)$.

•
$$f(x) = x^4 - 1$$
 over \mathbb{Z}_5 .

It follows from Fermat's Little Theorem that any non-zero element of the field \mathbb{Z}_5 is a root of the polynomial f. Hence f has 4 distinct roots. By the Unique Factorisation Theorem,

$$f(x) = (x-1)(x-2)(x-3)(x-4) = (x-1)(x+1)(x-2)(x+2).$$

•
$$f(x) = x^4 - 1$$
 over \mathbb{Z}_7 .

Note that the polynomial $x^4 - 1$ can be considered over any field. Moreover, the expansion $x^4 - 1 = (x^2 - 1)(x^2 + 1) = (x - 1)(x + 1)(x^2 + 1)$ holds over any field. It depends on the field whether the polynomial $g(x) = x^2 + 1$ is irreducible. Over the field \mathbb{Z}_7 , we have g(0) = 1, $g(\pm 1) = 2$, $g(\pm 2) = 5$ and $g(\pm 3) = 10 = 3$. Hence g has no roots. For polynomials of degree 2 or 3, this implies irreducibility.

•
$$f(x) = x^4 - 1$$
 over \mathbb{Z}_{17} .
The polynomial $x^2 + 1$ has roots ± 4 . It follows that $f(x) = (x - 1)(x + 1)(x^2 + 1) = (x - 1)(x + 1)(x - 4)(x + 4)$.

•
$$f(x) = x^4 - 1$$
 over \mathbb{Z}_2 .

For this field, we have 1 + 1 = 0 so that -1 = 1. Hence $x^4 - 1 = (x^2 - 1)(x^2 + 1) = (x^2 - 1)^2 = (x - 1)^2(x + 1)^2 = (x - 1)^4$.