Geometry of filtered structures on manifolds: Tanaka's prolongation and beyond

Igor Zelenko

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Definition of vector distributions

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 $D(q) = \operatorname{span}\{X_1(q), \ldots, X_l(q)\}$

Equivalence problem for vector distributions

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Question: When two germs of distributions are equivalent, or, in other words, when two rank ℓ distributions are locally equivalent?

Motivation 1: Control Theory

Igor Zelenko Geometry of filtered structures

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To a distribution D with a local basis $\{X_1, \ldots, X_\ell\}$ one can assign the following control system

 $\dot{q}(t) = u_1(t)X_1(q(t)) + \ldots + u_\ell(t)X_\ell(q(t)) ext{ a.e. } q(t) \in M, \ u(t) = (u_1(t), \ldots u_\ell(t)) \in \mathbb{R}^\ell.$

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Such control systems appear naturally in Robotics as systems describing car-like robots (cars with trailers) and, more generally, nonholonomic robots.

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The question in this setting: Given such control system to what more simple system can one transform it by a change of coordinates and a change of a local basis?

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Motivation 2: Geometric theory of differential equation

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Therefore, various type of equivalence of differential equations (contact, point etc) can be reformulated as equivalence problems for vector distributions.

Weak derived flag and small growth vector

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The filtration $D(q) = D^1(q) \subset D^2(q) \subset \dots D^j(q), \dots$ of the tangent bundle $T_q M$, called a *weak derived flag* of D at q.

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The tuple $(\dim D(q), \dim D^2(q), \ldots, \dim D^j(q), \ldots)$ is called the *small growth vector of Dat the point q* (or, shortly, s.v.g.).

Involutive and bracket-generating distributions

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Example:

$\ell = 2, n = 5.$

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 $D^3 = TM$

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Rough estimation of functional parameters

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We expect $\ell(n - \ell) - n$ functional invariants in our equivalence problem.

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Generic distributions without functional invariants

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Rectification of	Darboux's normal form	Engel's normal form
vector fields	$dx_1 - \sum_{i=1}^{\left[\frac{n-1}{2}\right]} x_{2i} dx_{2i+1} = 0$	$\begin{cases} dx_2 - x_3 dx_1 &= 0 \\ dx_3 - x_4 dx_1 &= 0 \end{cases}$

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General ideology for solving equivalence problems

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The way to solve the equivalence problem is to construct the canonical frame (coframe) or the structure of an absolute parallelism on a certain *N*-dimensional fiber bundle P over *M*, $\{\mathcal{F}_i\}_{i=1}^N \subset Vec(M)$ such that

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Assume that $[\mathcal{F}_i, \mathcal{F}_j] = \sum_{k=1}^N c_{ji}^k \mathcal{F}_k$

The structure functions c_{ii}^k are invariants

Dimension of (local) group of symmetries of D is $\leq N$.

Cartan's (2,3,5) case

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Tanaka's approach: main ideas

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All steps are described in the language of pure Linear Algebra: in terms of natural algebraic operations in the category of graded Lie algebras

Review of Tanaka's theory: the symbol of D at a point

For the weak derived flag at $q \in M$ $D(q) = D^1(q) \subset D^2(q) \subset \ldots D^j(q) \subset \cdots \subset D^{\mu}(q) = T_q M$

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and consider the corresponding graded object:

$$\mathfrak{m}(q) = \mathfrak{g}^{-1}(q) \oplus \mathfrak{g}^{-2}(q) \oplus \cdots \oplus \mathfrak{g}^{-\mu}(q)$$

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 $\mathfrak{m}(q)$ is called the symbol of the distribution D at the point q

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The flat distribution of constant symbol \mathfrak{m}

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Question: What is the algebra of infitesimal symmetries of the flat distribution of type m?

Universal algebraic prolongation & symmetries of the flat distribution

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The universal prolongation of the symbol $\mathfrak{m} = \bigoplus_{i=-\mu} \mathfrak{g}^i$ is the maximal non-degenerate graded Lie algebra containing \mathfrak{m} as its negative part. More precisely,

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- \$\mathcal{L}(m)\$ is the maximal graded algebra satisfying conditions (1) and (2) above.

Universal algebraic prolongation & symmetries of the flat distribution: continued

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The universal algebraic prolongation is in fact the kernel of certain coboundary operator for certain Lie algebra cohomology (generalized Spencer or antisymmetrization operator).

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Example: Universal prolongation of flat (2,3,5) distribution

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$$\underset{\widetilde{\mathfrak{m}}}{\hookrightarrow}$$

The grading corresponds to the marking of the shorter root in the Dynkin diagram of G_2 .

Tanaka's Main Theorem of prolongation

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Theorem (Tanaka, 1970)

To a distribution D with constant symbol m one can assign in a canonical way a bundle over M of dimension equal to dim u(m) equipped with a canonical frame.

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- To a distribution D with constant symbol m one can assign in a canonical way a bundle over M of dimension equal to dim \$\mathcal{L}(m)\$ equipped with a canonical frame.
- Oimension of algebra of infinitesimal symmetries of D is not greater than dim u(m).
- This upper bound is sharp and is achieved if and only of a distribution is locally equivalent to the flat distribution D_m.

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- **(3)** P^k is endowed with the canonical frame.

Therefore Tanaka's approach allows one to predict the number of prolongations steps and the dimension of the bundle, where the canonical frame lives, without making concrete normalizations on each step (as the original Cartan method of equivalence suggests)

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Restrictions and disadvantages of Tanaka's approach

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All constructions strongly depend on the notion of symbol.

In order to apply this machinery to all bracket-generating (ℓ, n) -distributions with fixed ℓ and n, one has

- to classify all *n*-dimensional graded nilpotent Lie algebras with *l* generators.- hopeless task in general;
- to generilize the Tanaka prolongation procedure to distributions with nonconstant symbol, because the set of all possible symbols may contain moduli.

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 m_ϵ = span{Y₁, Y₂} ⊕ span{Y₃} ⊕ span{Y₄, Y₅} ⊕ span{Y₆}

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Alternative approach - Symplectification Procedure

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The origin of the method - Optimal Control Theory

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The key idea: study of the flow of abnormal extremals

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distribution *D* only.

They foliate a special even dimensional submanifold \mathcal{H}_D of the **projectivization** $\mathbb{P}(T^*M)$ of the cotangent bundle T^*M .

Jacobi curve of abnormal extremal

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The set N of abnormal extremals considered as the quotient of the special submanifold \mathcal{H}_D by the foliation of these extremals is endowed with the canonical contact distribution Δ induced by the tautological 1-form (the Liouville form) on T^*M .

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Collecting the osculating spaces of this curve of any order together with their skew symmetric complements we assign to the abnormal extremal γ a curve F_{γ} of isotropic/coisotropic subspaces on the hyperplane $\Delta(\gamma)$ of $T_{\gamma}N$ called Jacobi curve of the extremal γ .

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More precise properties of Jacobi curve

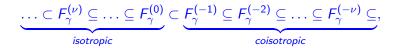
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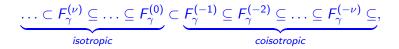
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The tangent vector to the Jacobi curve at a point corresponding to λ can be identified with a line $s_{\lambda} \subset \mathfrak{csp}(\bigoplus_{i \in \mathbb{Z}} \operatorname{Gr}^{i}(\lambda))$ of degree -1, i.e. s.t. $s_{\lambda}(\operatorname{Gr}^{i}(\lambda)) \subset \operatorname{Gr}^{i-1}(\lambda))$

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 s_{λ} is called the symbol of the Jacobi curve at λ .

Finiteness of set of symbols of curves

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This follows from more general fact (E.Vinberg, 1976): If G is a semisimple Lie group, \mathfrak{g} is its Lie algebra with given grading $\mathfrak{g} = \bigoplus_{i=-\mu}^{\mu} \mathfrak{g}_i$, and G_0 is the connected subgroup of G with the Lie algebra \mathfrak{g}_0 , then the set of orbits of elements of \mathfrak{g}_{-1} w.r.t. the adjoint action of G_0 is finite.

Jacobi symbols of distributions

Finiteness of the set of symbols, up to isomorphism+ classification of symplectic symbols

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 $s \subset \mathfrak{csp}_{-1}(\bigoplus X^{i})$ Jacobi symbol of fixed graded the distribution D at q symplectic space $V := \bigoplus X^{i}$

New Formulation:

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 Jacobi symbols are much coarser characteristic of distributions than Tanaka symbols: distributions with different Tanaka symbols and even with different small growth vectors may have the same Jacobi symbol.

Distributions of maximal class

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Actually we do not have any example of bracket generating (2, n)-distributions with small growth vector (2, 3, 5, ...) which are not of maximal class.

For example, all (2,6)-distributions with hyperbolic, parabolic, and elliptic Tanaka symbols have the same Jacobi symbol.

Geometry of curves of flags of isotropic/coisotropic subspaces with constant symbol $s \subset csp(\oplus X^i)$

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Main theorem on Geometry of Curves of Flags

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Remark This results can be generalized to natural classes of curves (and submanifolds) in arbitrary parabolic homogeneous spaces G/P (and more general homogeneous spaces if the Lie Algebra of G has fixed grading).

From canonical moving frames for Jacobi curves to canonical frames for distributions

Build the following graded Lie Algebra

$$B(s) = \underbrace{\eta}^{g^{-2}} \oplus \underbrace{(\bigoplus X^{l})}_{V} \oplus \underbrace{\mathfrak{U}_{F}(s)}^{g^{0}}$$

The Heisenberg algebra - the Tanaka symbol of the contact distribution Δ

Let $\mathfrak{U}_T(B(s))$ be the Tanaka universal algebraic prolongation of B(s) (i.e. the maximal nondegenerate graded Lie algebra, containing B(s) as its nonpositive part).

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Theorem (Doubrov-Zelenko) If *D* is a distribution with Jacobi symbol *s*, rankD = 2 or rank*D* is odd, and dim $\mathfrak{U}_T(B(s)) < \infty$, then there exists a canonical frame for *D* on a manifold of dimension equal to dim $\mathfrak{U}_T(B(s))$.

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Moreover, there exists a distribution with Jacobi symbol s s.t. its algebra of infinitesimal symmetries is isomorphic to $\mathfrak{U}_T(B(s))$ - symplectically flat distribution with Jacobi symbol s.

The case of rank 2 distributions of maximal class on *n*-dimensional manifold

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Igor Zelenko Geometry of filtered structures

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2(n-3) boxes

- The flat curve with symbol s_n² is a curve of complete flags consisting of all osculating subspaces of the rational normal curve in P²ⁿ⁻⁷ (t → [1:t:...:t²ⁿ⁻⁷));
- $\mathfrak{U}_F(s) =$ is the image of the irreducible embedding of \mathfrak{gl}_2 into \mathfrak{gl}_{2n-6} .

Symmetry algebras for symplectically flat rank 2 distributions

- **→** → **→**

Symmetry algebras for symplectically flat rank 2 distributions

• *n* = 5

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Symmetry algebras for symplectically flat rank 2 distributions

• n = 5 $U_T(B(s_5^2)) = G_2$ (Cartan, 1910)

Symmetry algebras for symplectically flat rank 2 distributions

- n = 5 $U_T(B(s_5^2)) = G_2$ (Cartan, 1910) • $n = 6 U_1(B(s_2^2)) = B(s_2^2)$ the series
- n = 6 U_T(B(s²_n)) = B(s²_n) the semidirect sum of gl(2, ℝ) and (2n 5)-dimensional Heisenberg algebra n_{2n-5}.

(*) *) *) *)

Symmetry algebras for symplectically flat rank 2 distributions

n = 5 U_T(B(s²₅)) = G₂ (Cartan, 1910)
n = 6 U_T(B(s²_n)) = B(s²_n) - the semidirect sum of gl(2, ℝ) and (2n - 5)-dimensional Heisenberg algebra n_{2n-5}.



